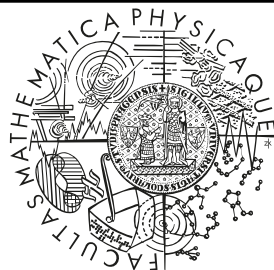


Complexity of Scheduling Few Types of Jobs on Related and Unrelated Machines

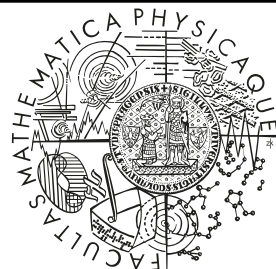
Martin Koutecký and **Johannes Zink**



FACULTY
OF MATHEMATICS
AND PHYSICS
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Complexity of Scheduling Few Types of Jobs on Related and Unrelated Machines

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Introduction: Scheduling

2

SCHEDULING old fundamental task in combinatorial optimization

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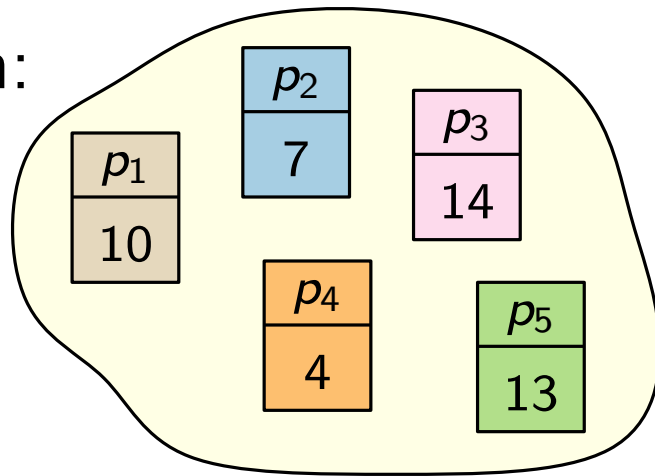
Given:

Introduction: Scheduling

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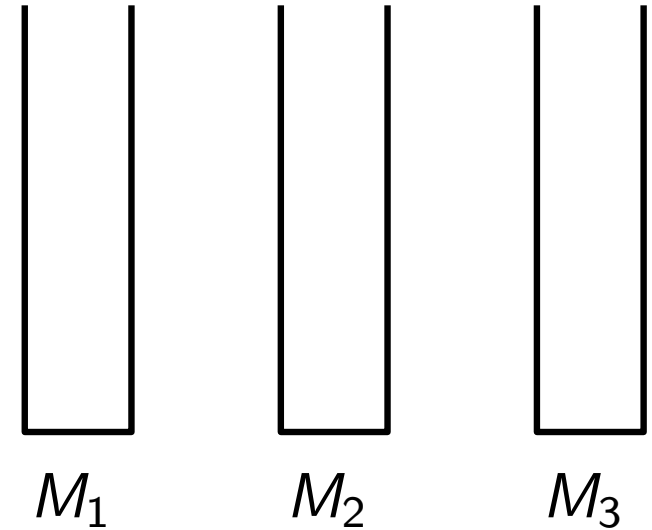
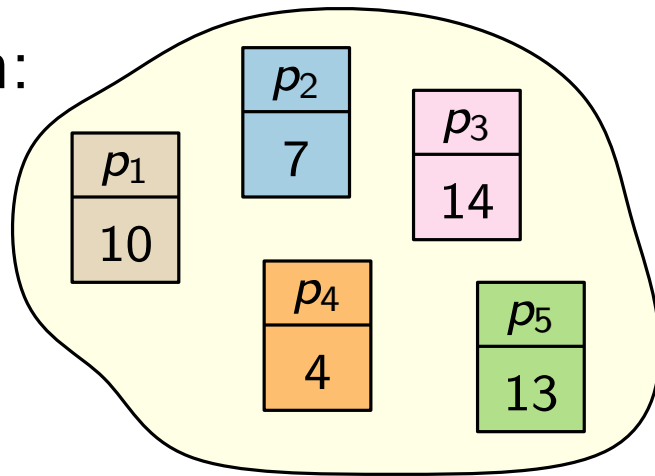
set of n jobs with individual processing times

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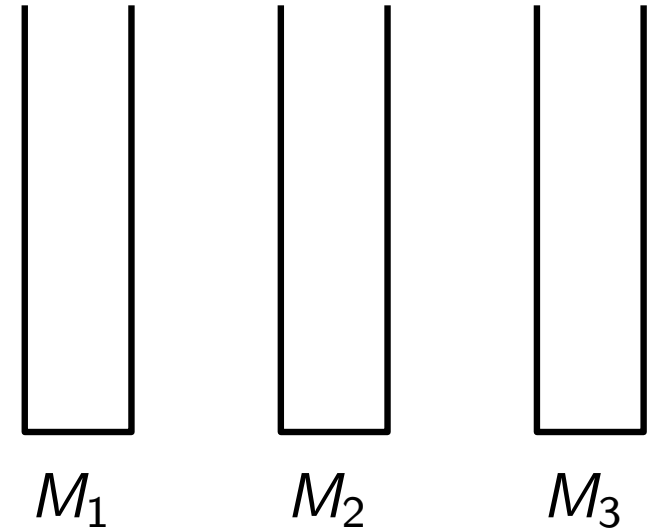
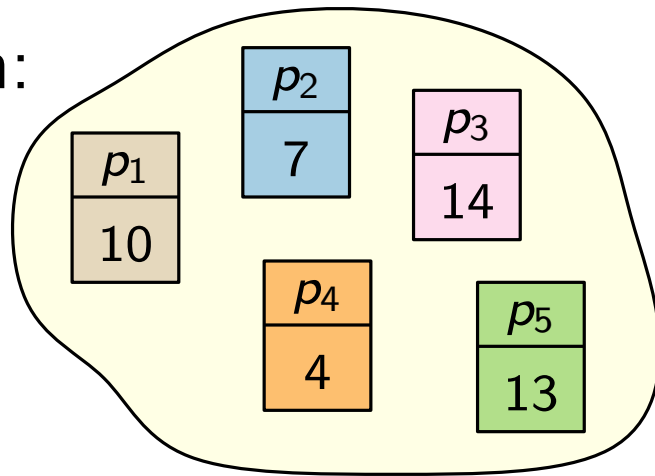
m identical machines

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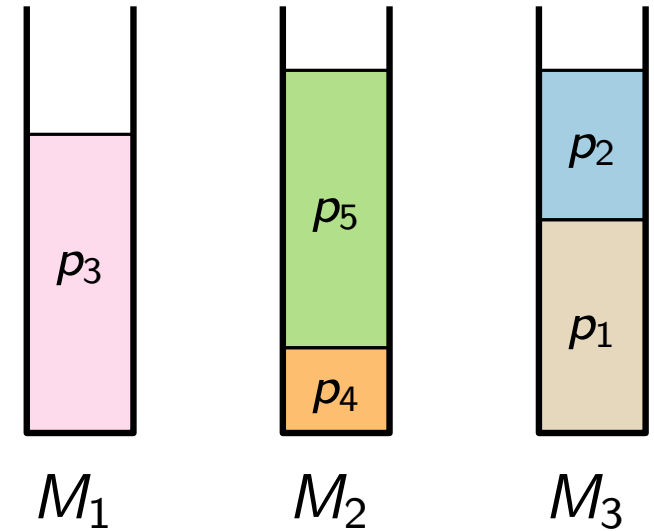
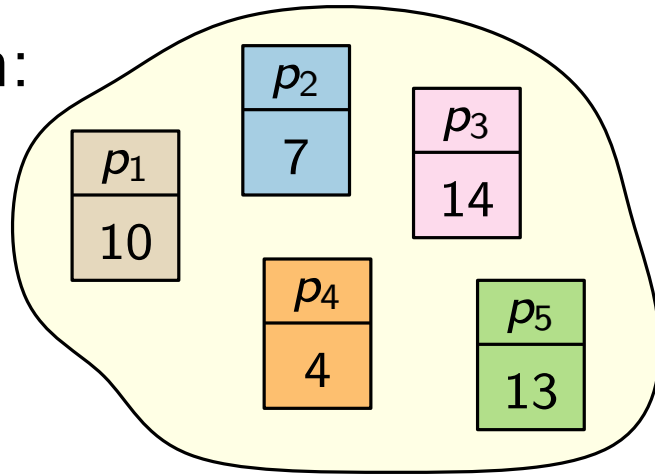
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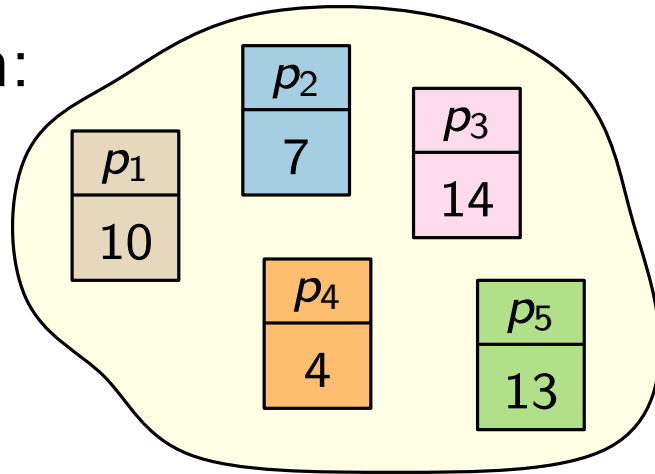
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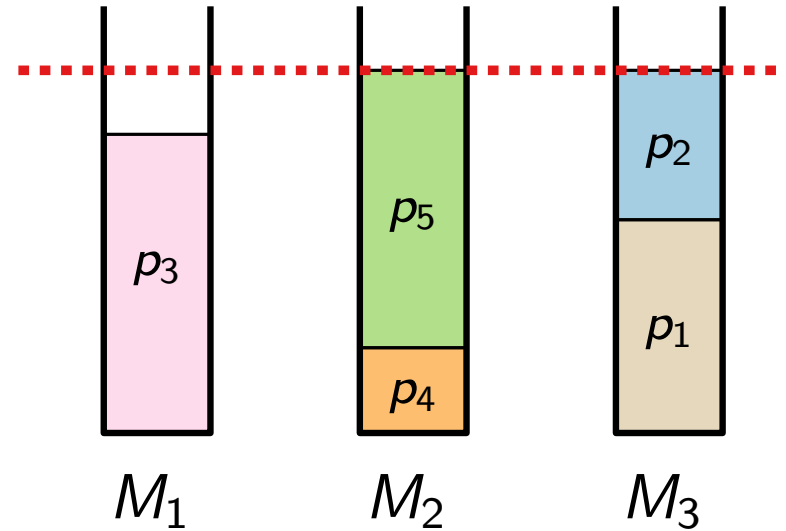
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$$C_{\max} = 17$$



set of n jobs with individual processing times

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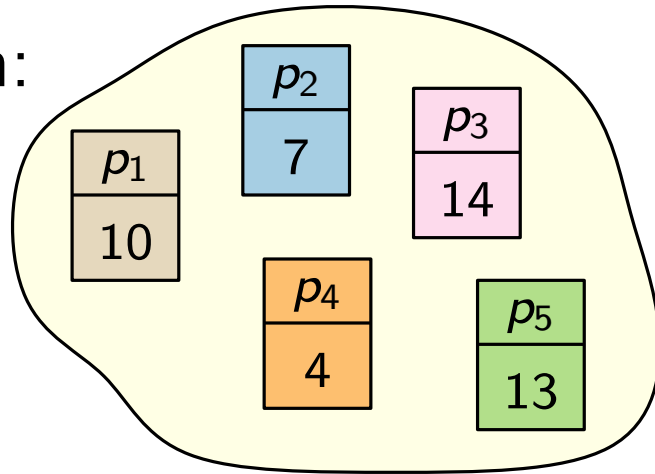
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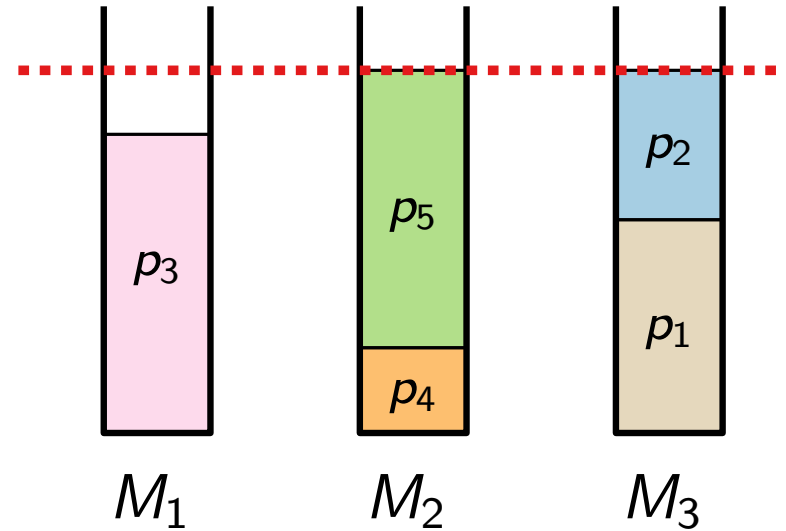
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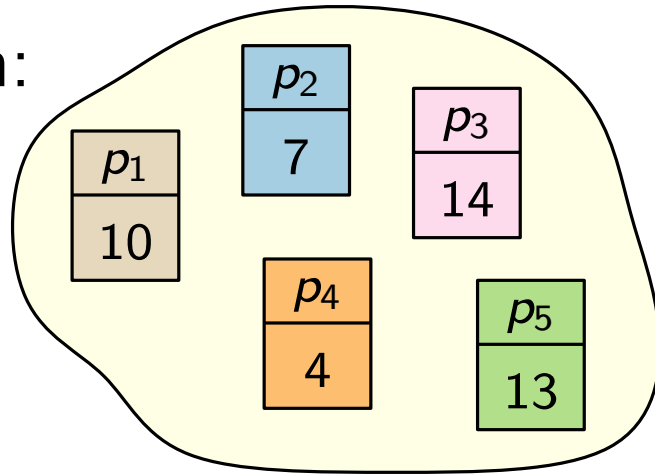
$$P || C_{\max}$$

Introduction: Scheduling

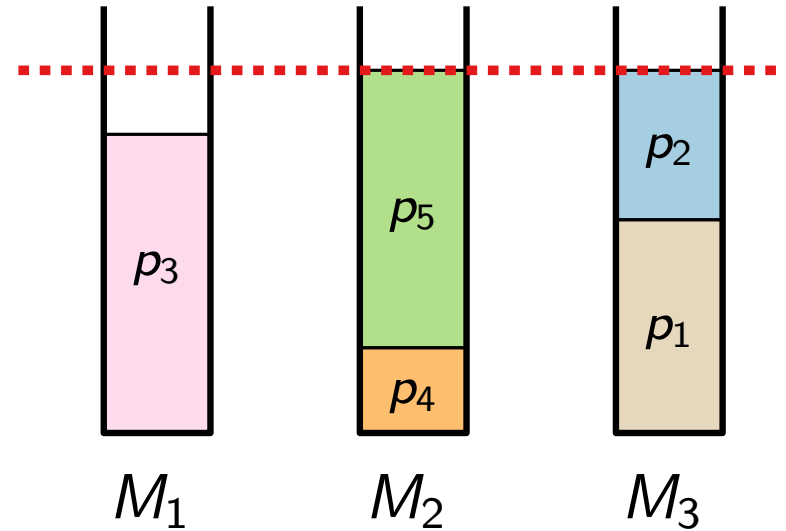
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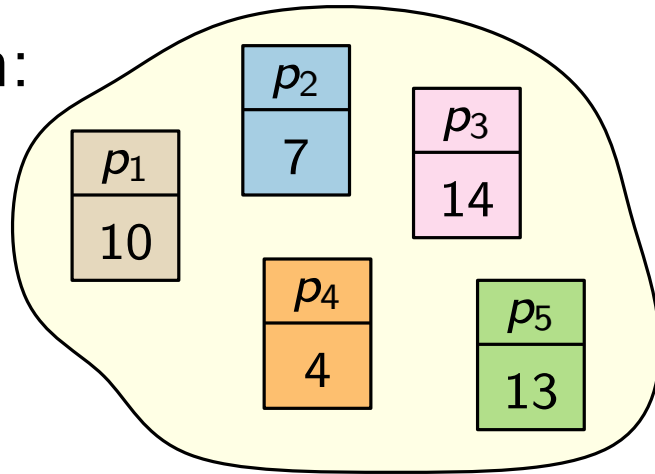
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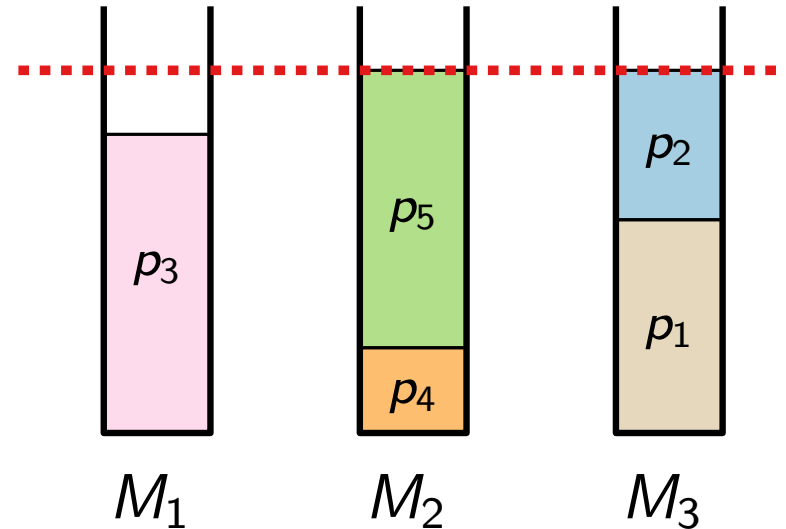
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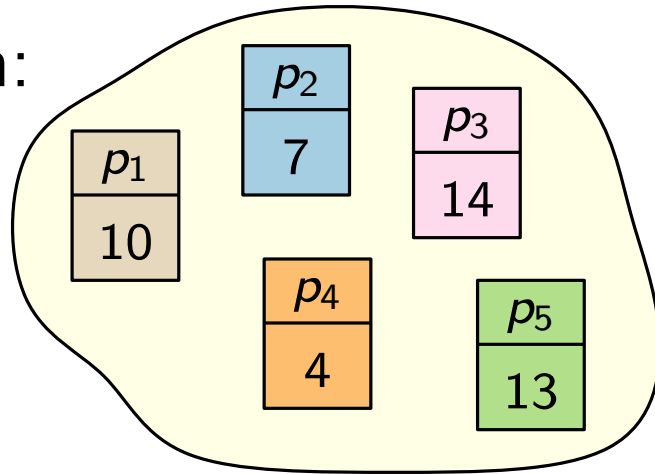
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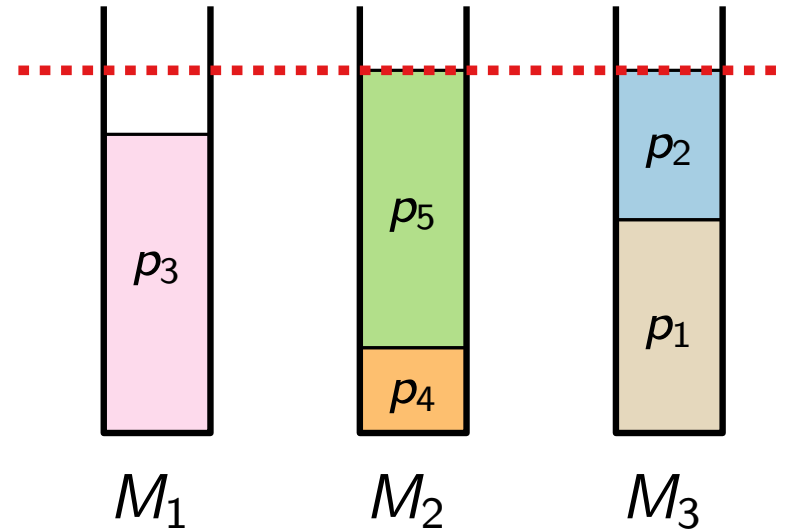
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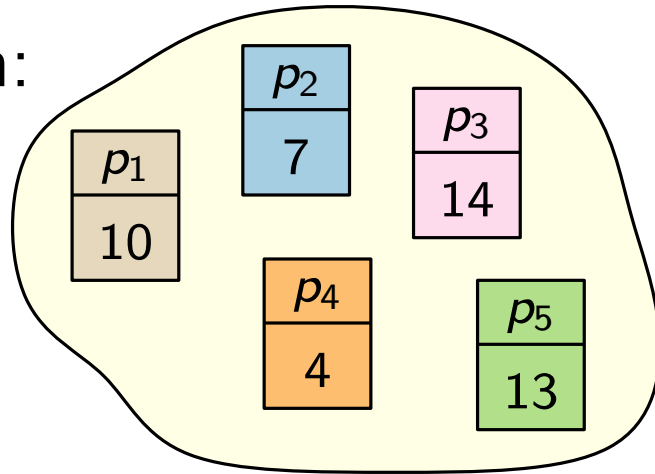
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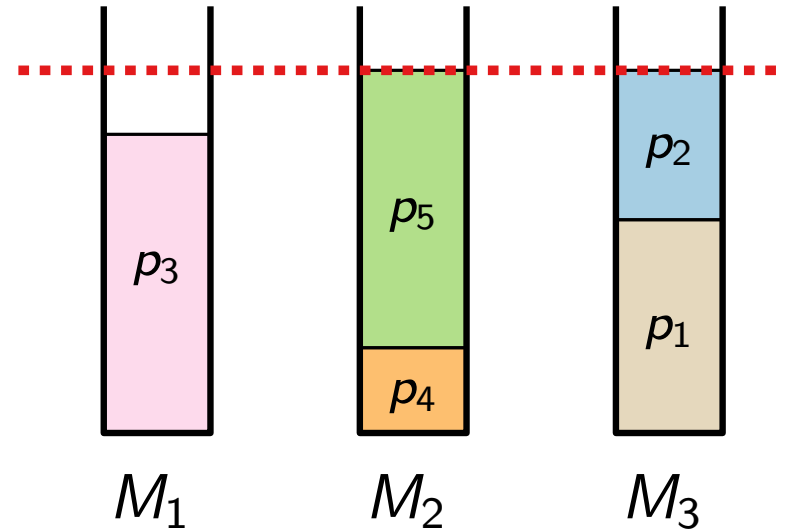
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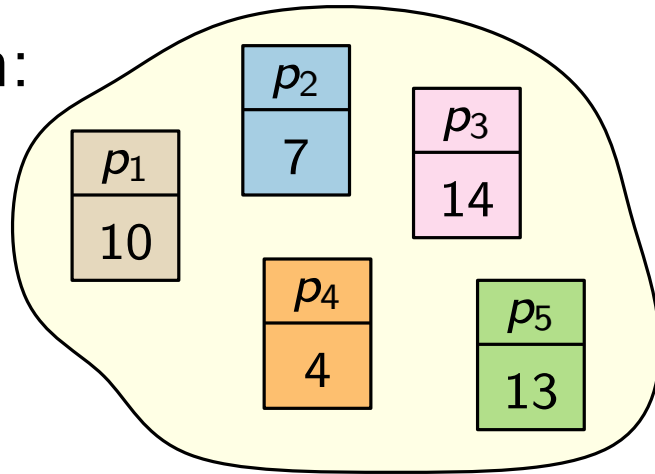
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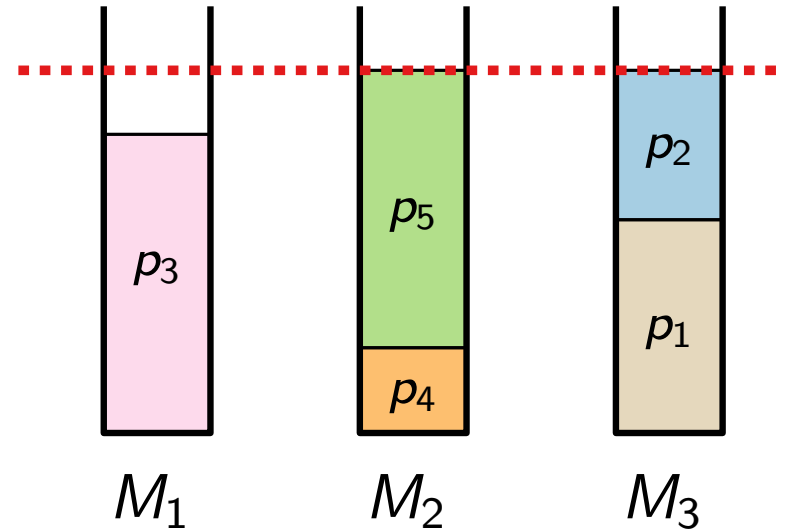
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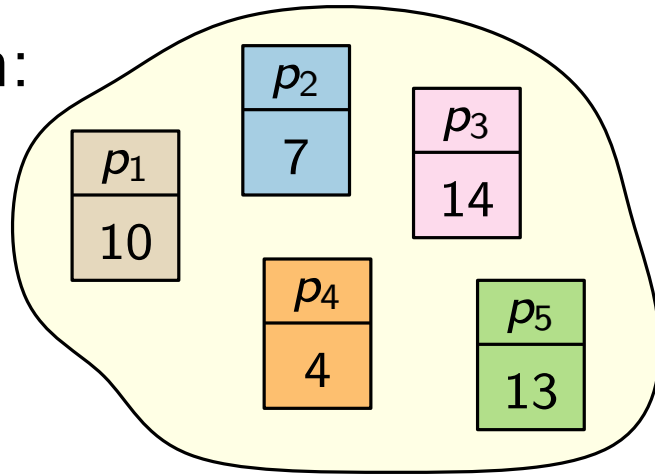
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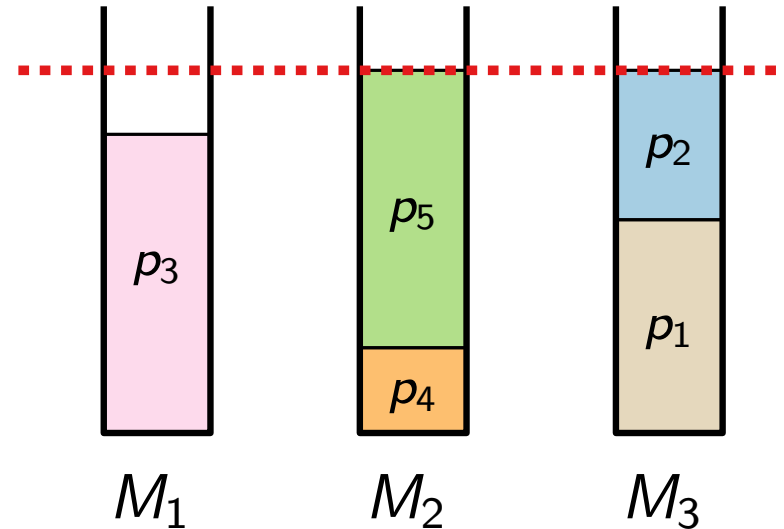
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numbers \rightarrow bins

no bin overfull

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makespan minimization

identical machines

Introduction: Scheduling Few Job Types

3

So how to simplify our model? What about ...

Introduction: Scheduling Few Job Types

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So how to simplify our model? What about ...

...having few machines?

Introduction: Scheduling Few Job Types

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BIN PACKING NP-hard f. const. #bins, even for 2 (PARTITION)

Introduction: Scheduling Few Job Types

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Introduction: Scheduling Few Job Types

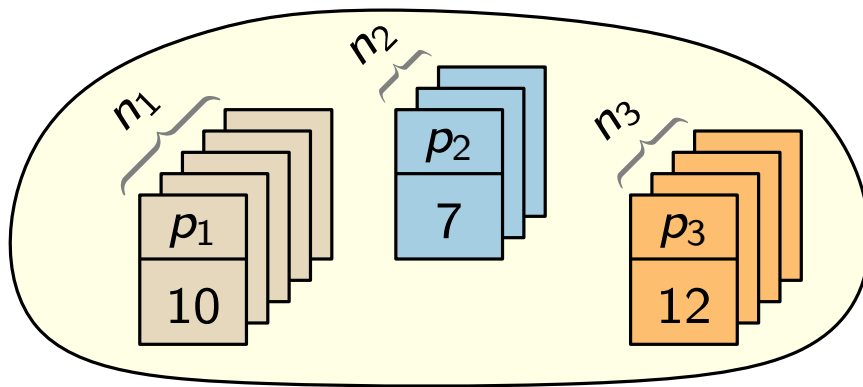
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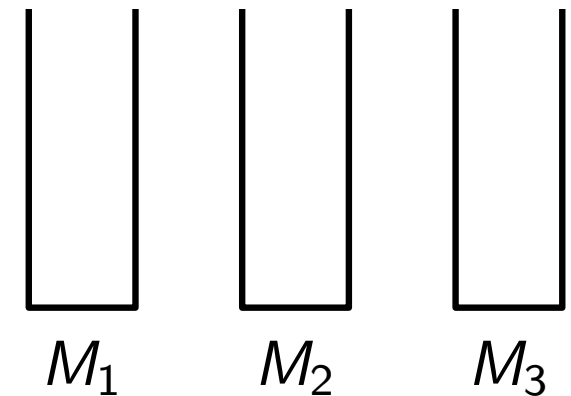
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k job types with n_1, \dots, n_k identical jobs each



m identical machines

Introduction: Scheduling Few Job Types

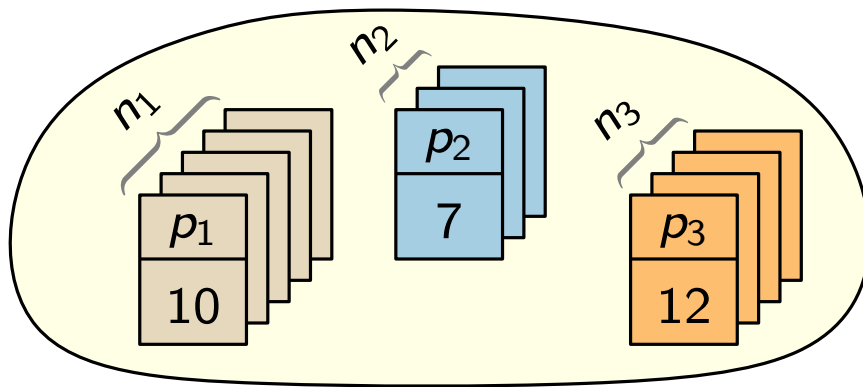
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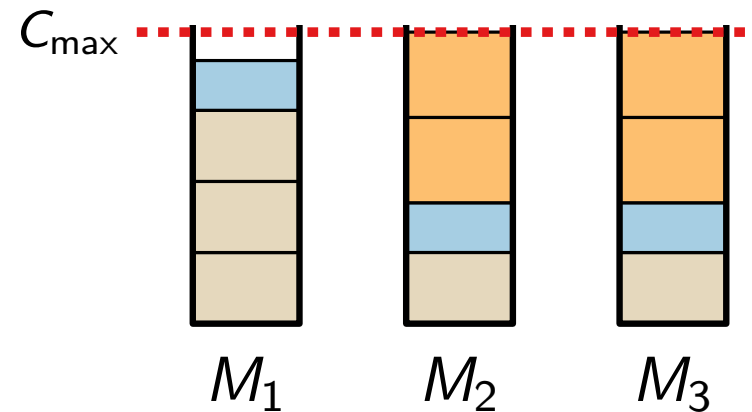
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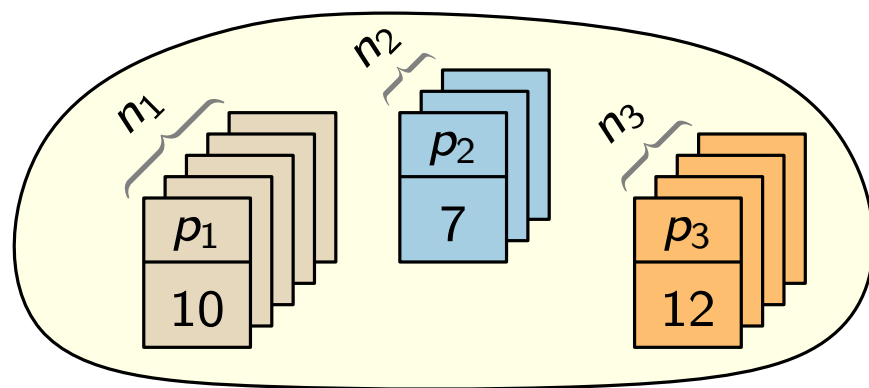
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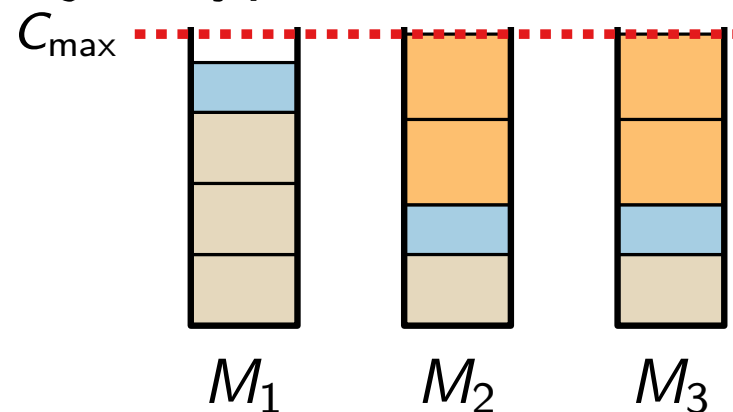
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$P|HM|C_{\max}$

identical machines

high multiplicity of jobs

makespan minimization

Introduction: Scheduling Few Job Types

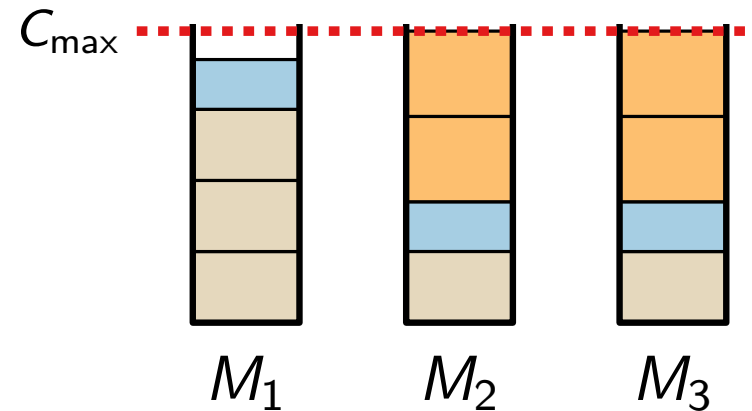
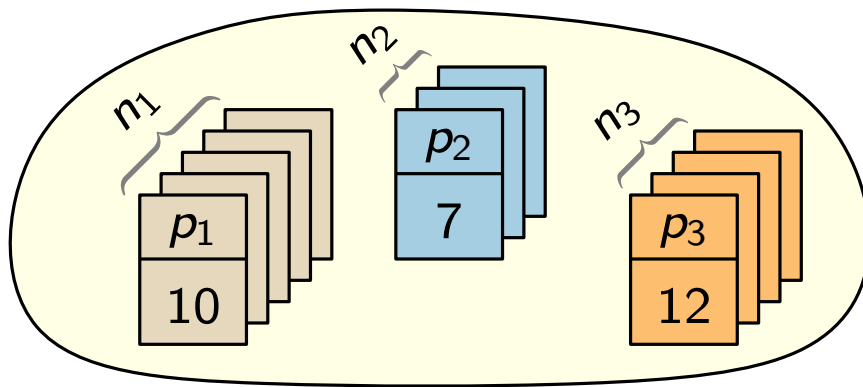
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$P|HM|C_{\max}$ is poly. time solvable (k const.) [Goemans, Rothvoss '14].

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high multiplicity of jobs

makespan minimization

Scheduling Models

* | * | C_{\max} : objective function is makespan minimization

Scheduling Models

|| C_{\max} : objective function is makespan minimization

| HM |: high multiplicity of identical jobs

Scheduling Models

$*|*|C_{\max}$: objective function is makespan minimization

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Scheduling Models

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$Q|*|*$: uniformly related machines

Scheduling Models

4

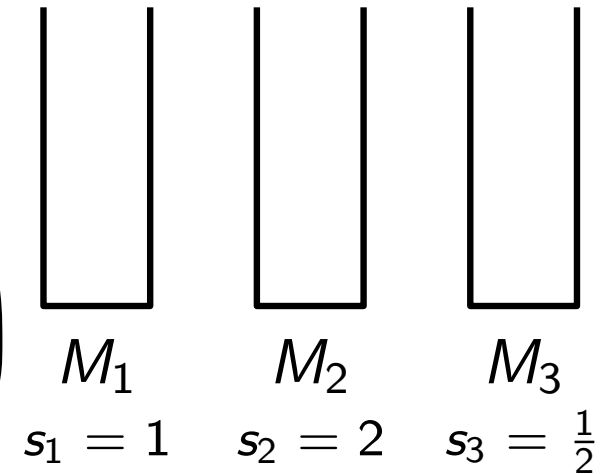
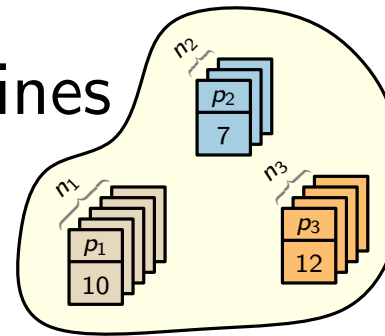
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$*|HM|*$: high multiplicity of identical jobs

$P|*|*$: identical machines

$Q|*|*$: uniformly related machines

- each machine M_i has a speed s_i
- needs time p_j/s_i



Scheduling Models

4

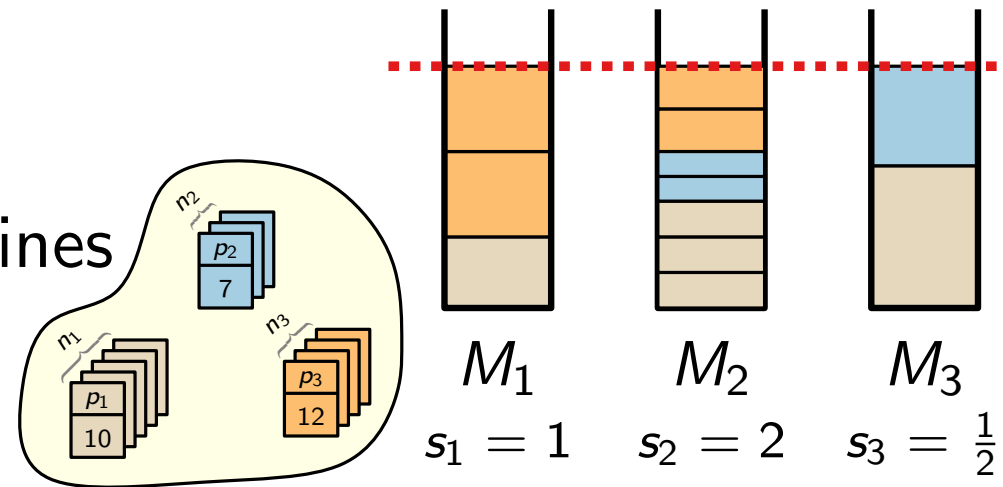
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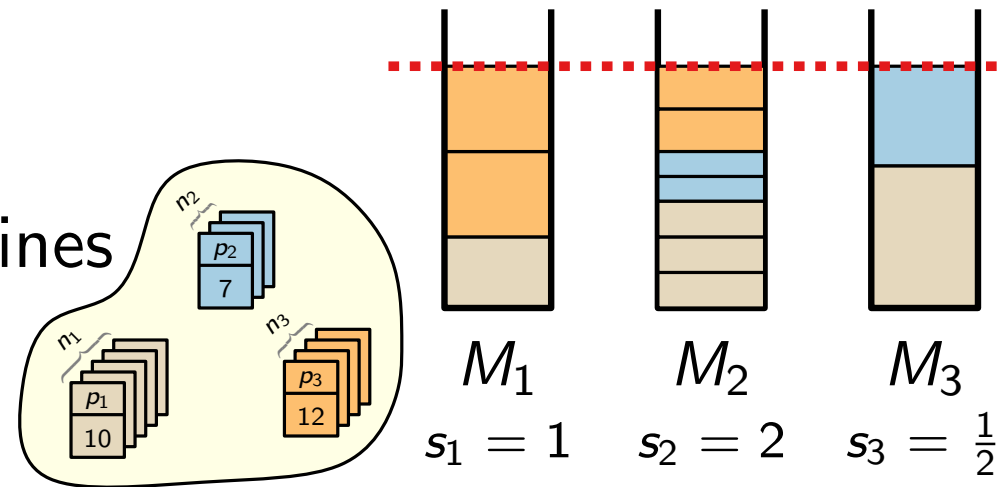
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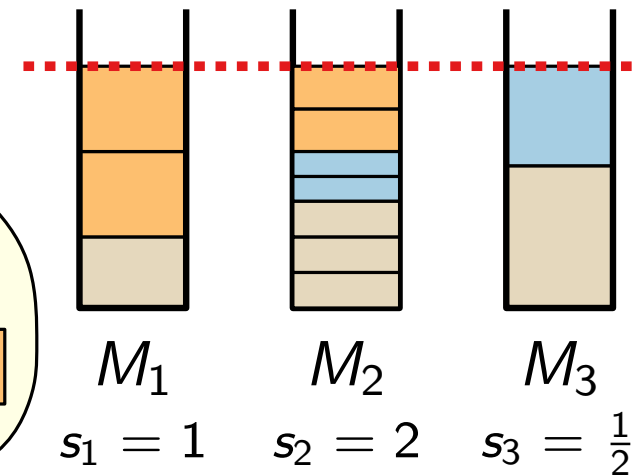
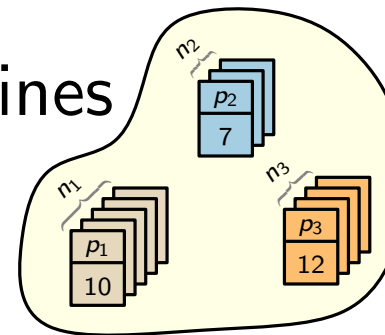
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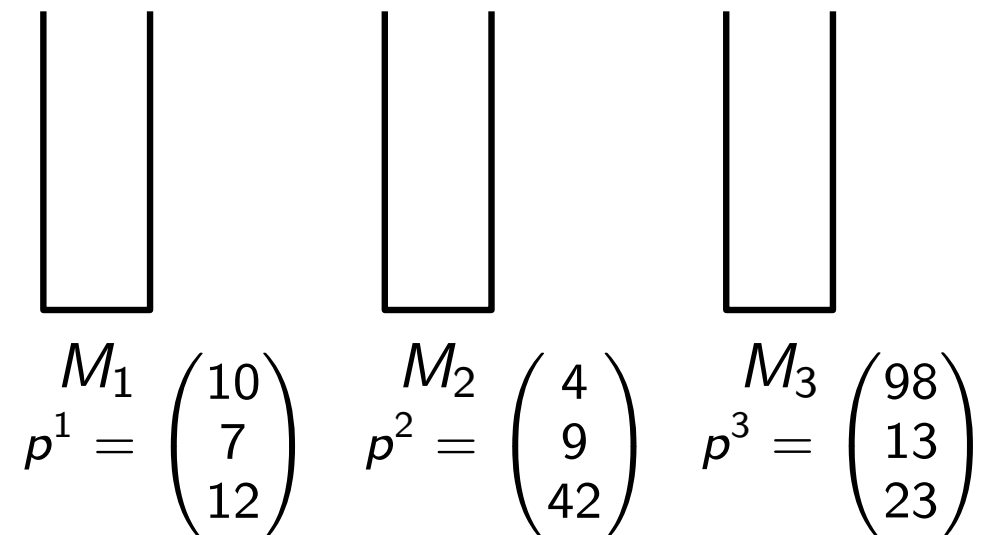
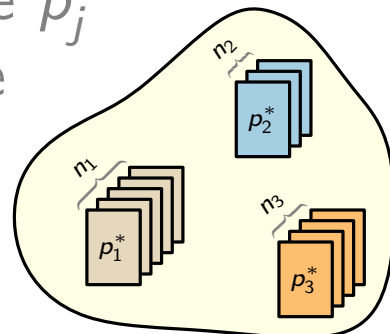
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Scheduling Models

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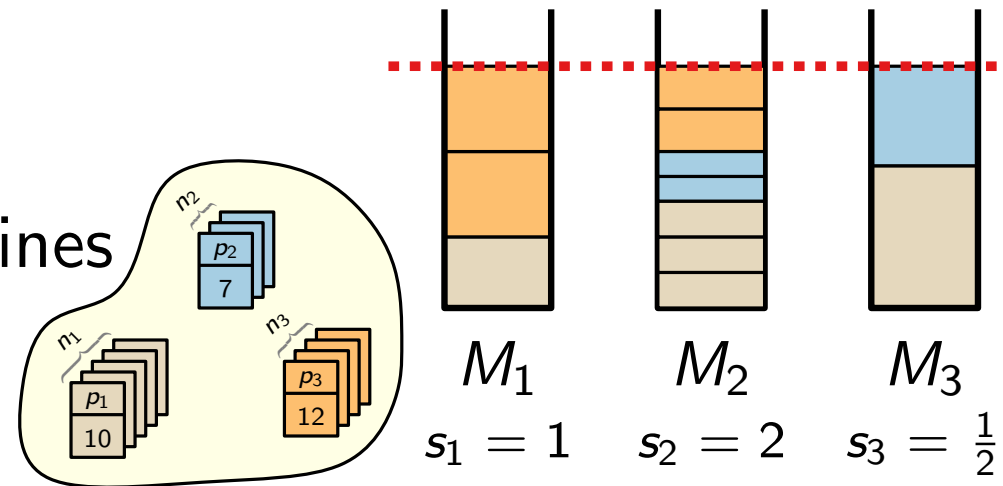
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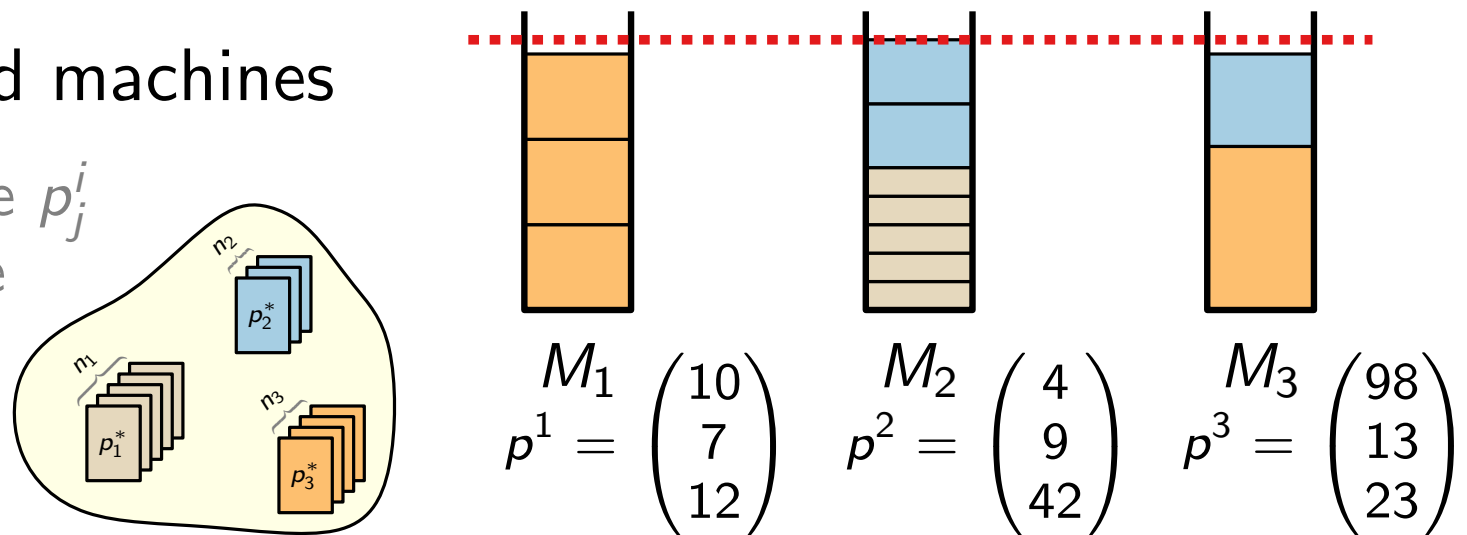
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Parametrized Complexity

Parametrized Complexity

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- A problem is *fixed-parameter tractable* (FPT) in k if it can be solved by an algorithm of runtime $f(k) \cdot n^{O(1)}$.
(f : some computable function, n : input size)

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- W[1]-hard problems are unlikely to be FPT.

Our Contribution

6

P : identical machines

Q : related machines

R : unrelated machines

HM : high multipl. jobs

C_{\max} : min. makespan

$P||C_{\max}$: NP-complete

$P|HM|C_{\max}$: poly. time

k : # job types

Our Contribution

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So, what if we don't use identical machines?

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CUTTING STOCK is NP-hard
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1. hardness reduction

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$P||C_{\max}$ is FPT parameterized by k .

2. obtaining FPT

$\{R, Q\}||C_{\max}$ is in XP parameterized by k , and
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Hardness Reduction: Overview

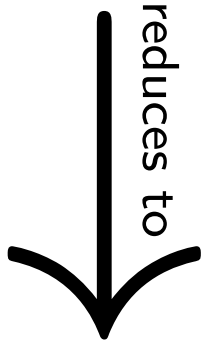
7

BIN PACKING (tight instances)
[known to be NP-complete]

Hardness Reduction: Overview

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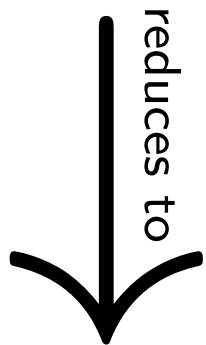


BALANCED BIN PACKING
(tight instances)

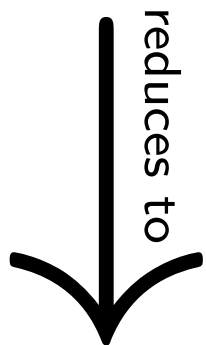
Hardness Reduction: Overview

7

BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING
(tight instances)

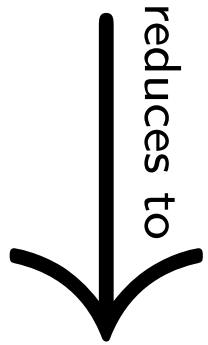


$Q|HM|C_{\max}$

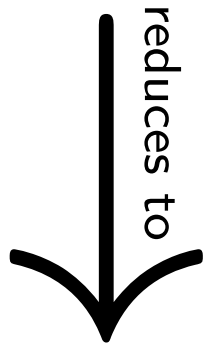
Hardness Reduction: Overview

7

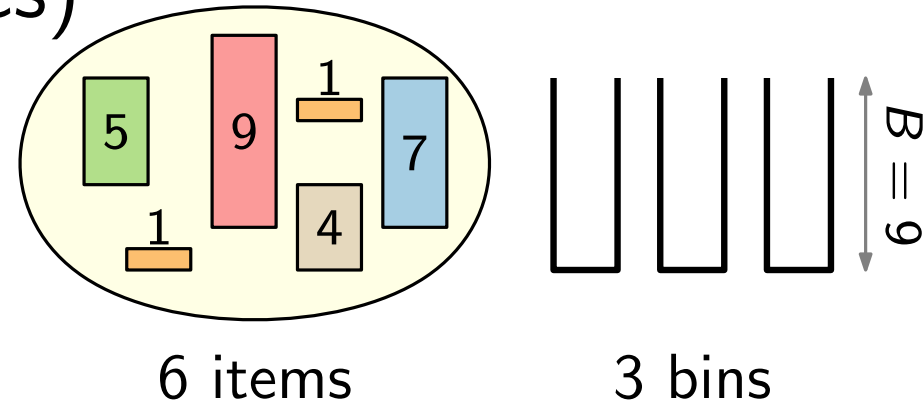
BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING
(tight instances)



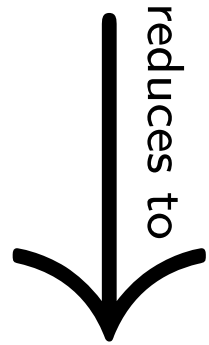
$Q|HM|C_{\max}$



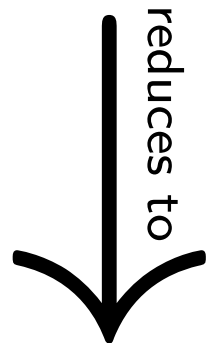
Hardness Reduction: Overview

7

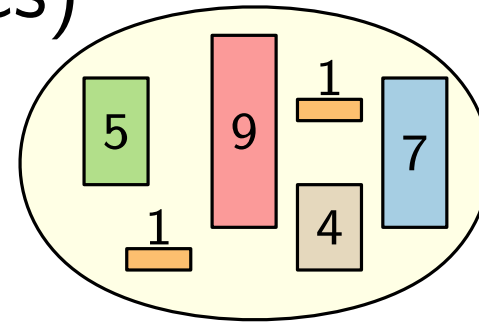
BIN PACKING (tight instances)
[known to be NP-complete]



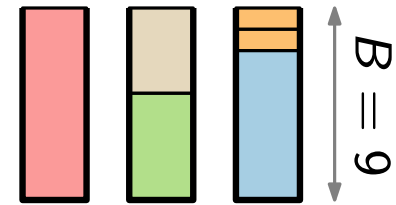
BALANCED BIN PACKING
(tight instances)



$Q|HM|C_{\max}$



6 items

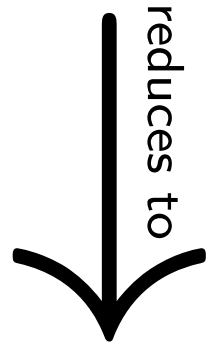


3 bins

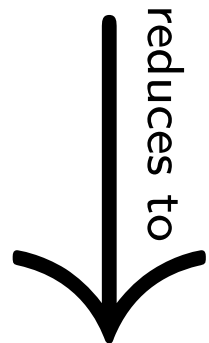
Hardness Reduction: Overview

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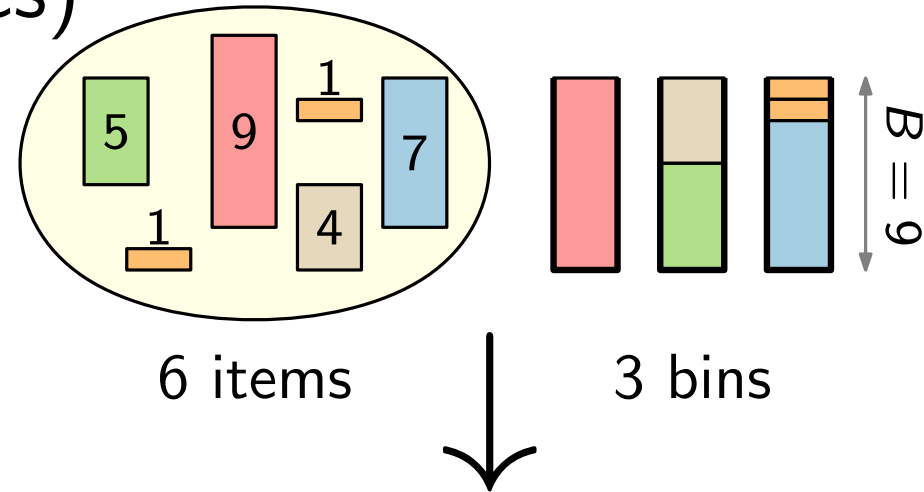
BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING
(tight instances)



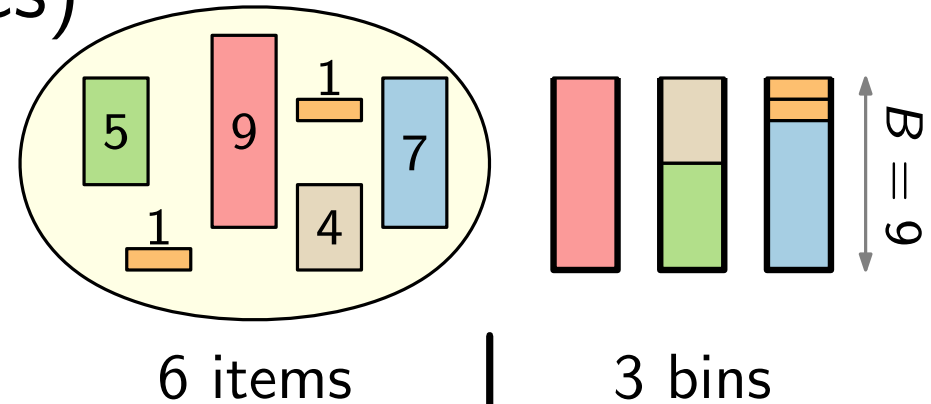
$Q|HM|C_{\max}$



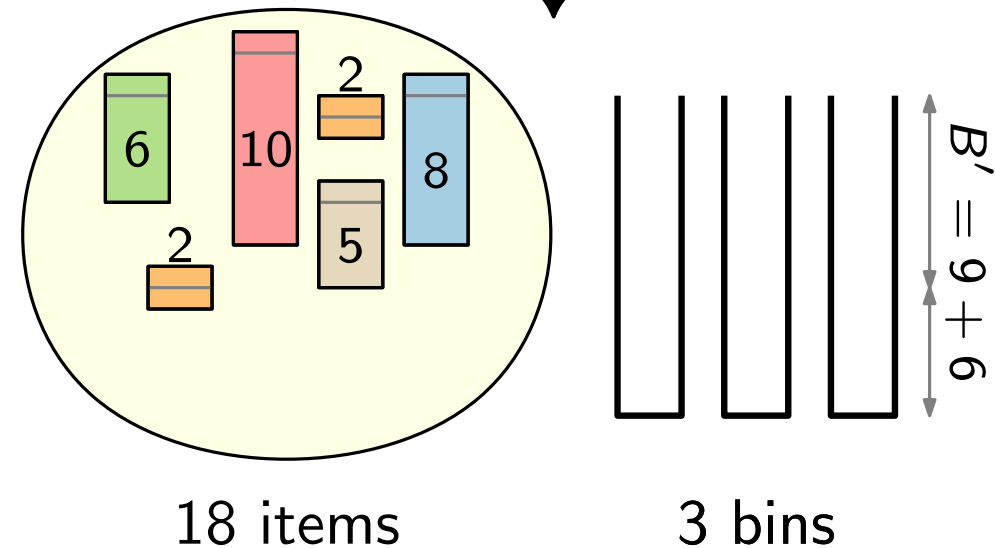
Hardness Reduction: Overview

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BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING
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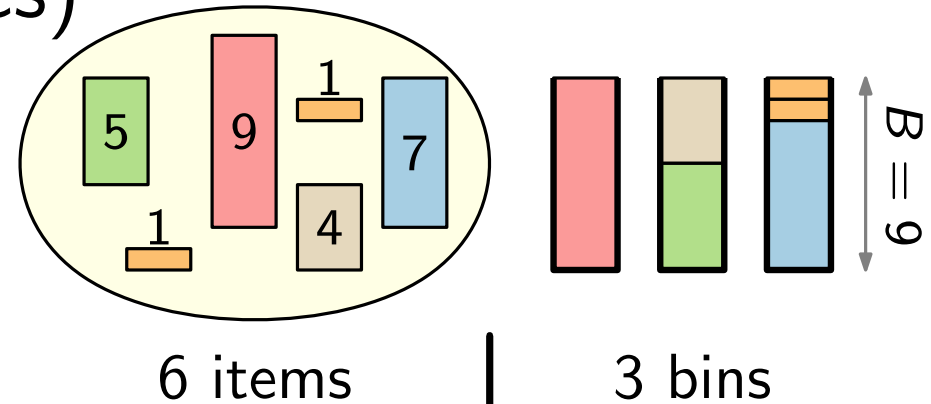


$Q|HM|C_{\max}$

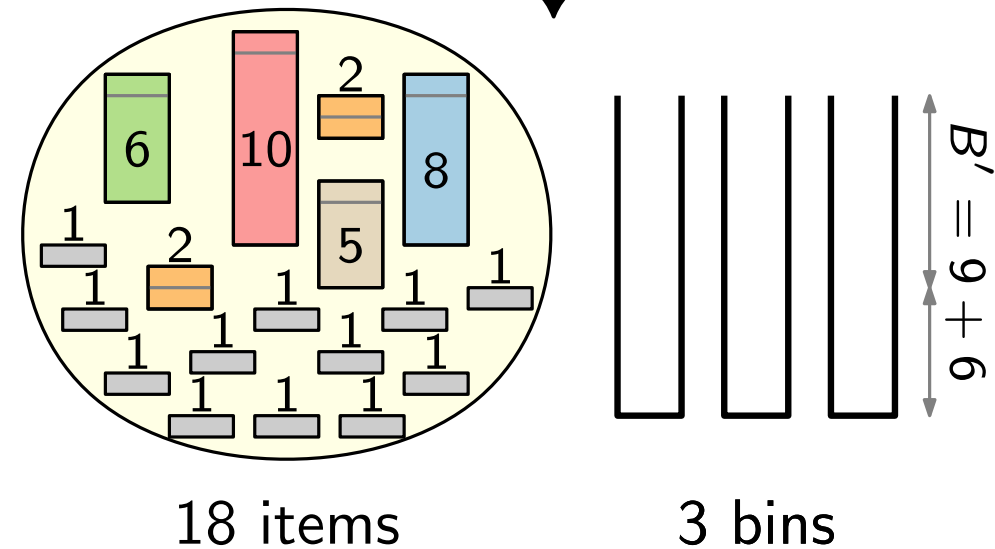
Hardness Reduction: Overview

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BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING
(tight instances)

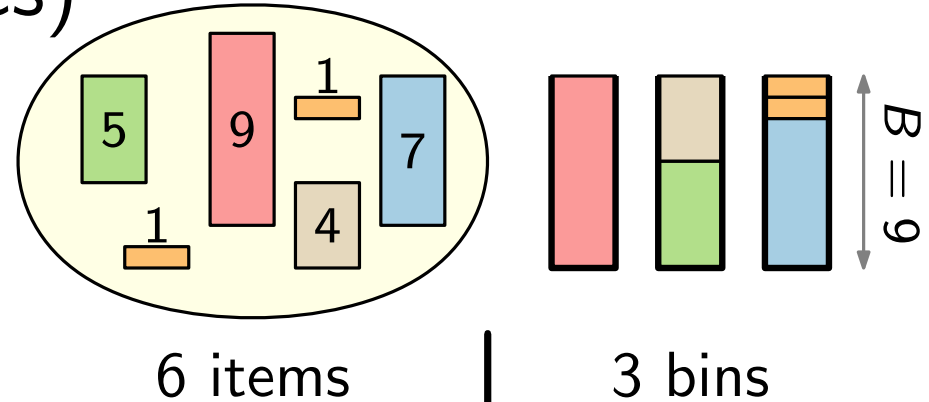


$Q|HM|C_{\max}$

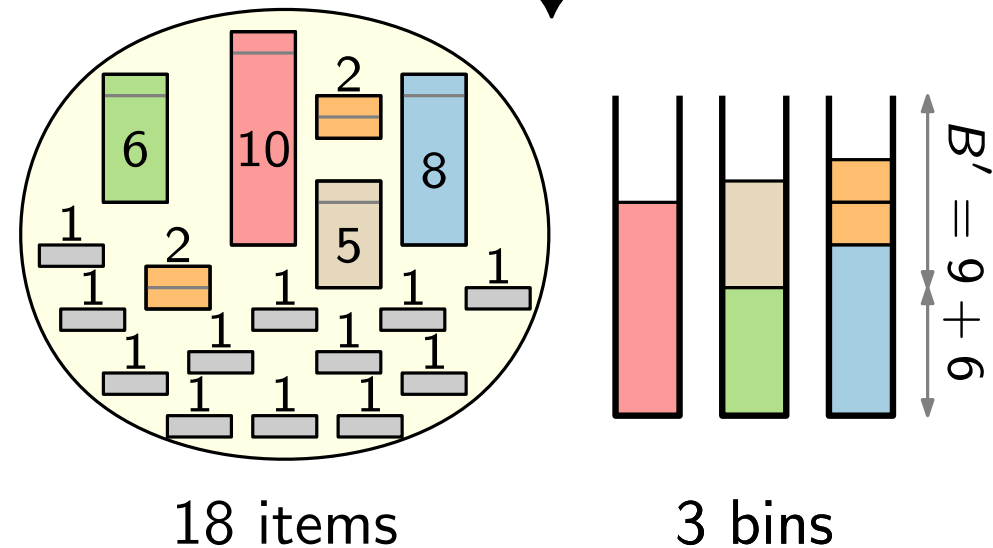
Hardness Reduction: Overview

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BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING (tight instances)

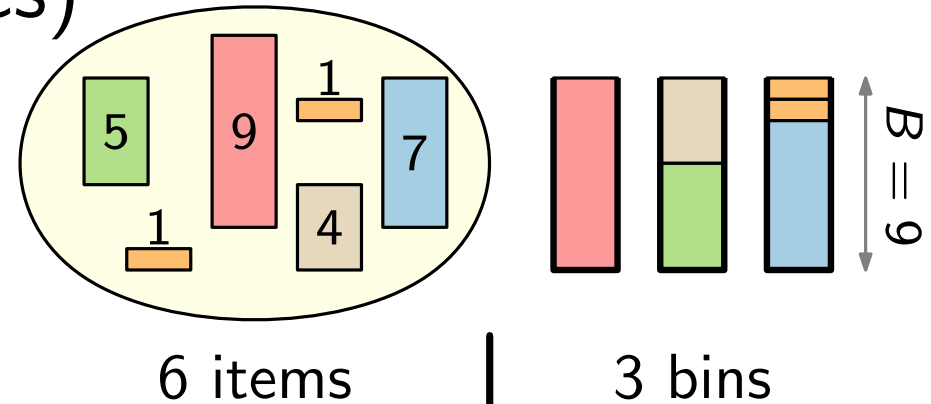


$Q|HM|C_{\max}$

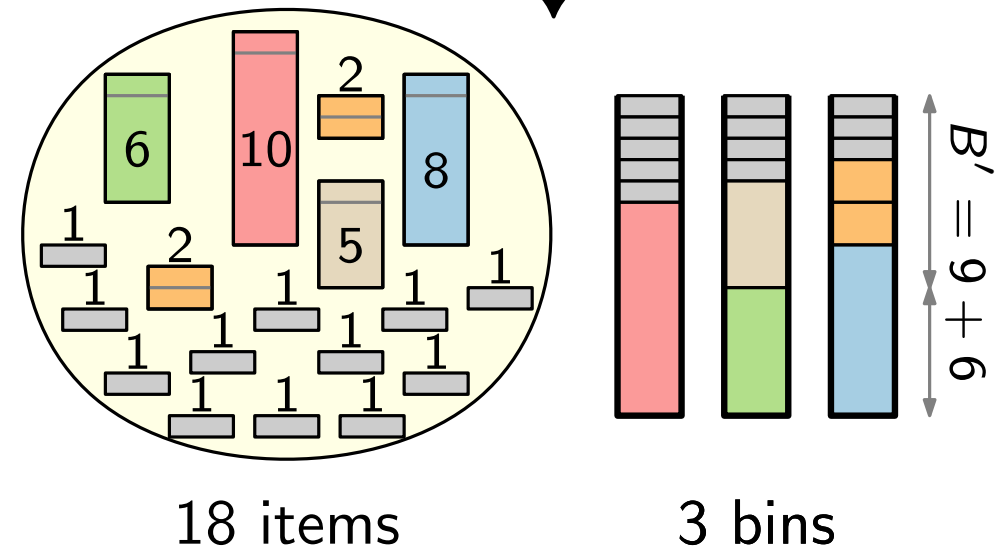
Hardness Reduction: Overview

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BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING (tight instances)

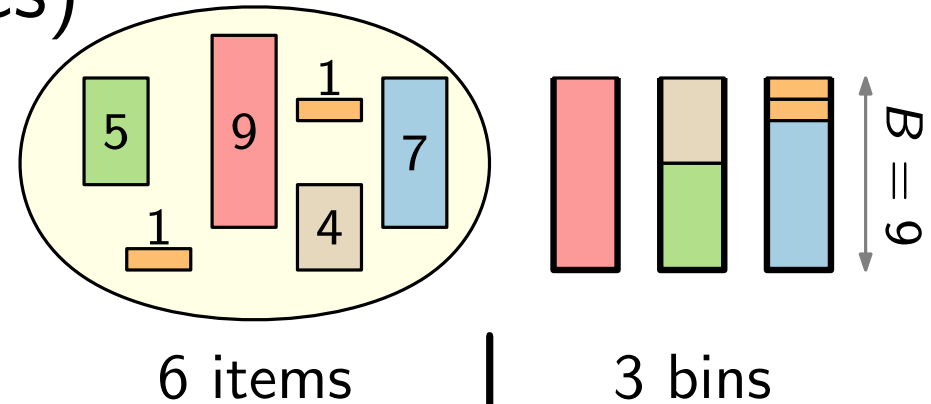


$Q|HM|C_{\max}$

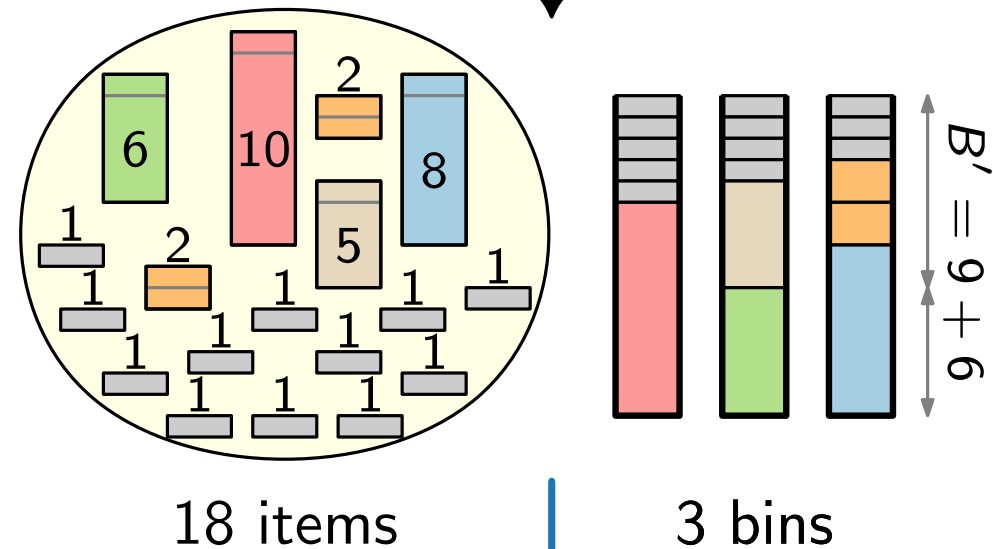
Hardness Reduction: Overview

7

BIN PACKING (tight instances)
[known to be NP-complete]



BALANCED BIN PACKING (tight instances)



next

$Q|HM|C_{\max}$

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
- k bins of size B
- $A := \sum_{i=1}^m a_i = kB$

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
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- $A := \sum_{i=1}^m a_i = kB$

Idea:

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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- $A := \sum_{i=1}^m a_i = kB$

Idea: • for number a_i : use machine M_i
($\Rightarrow m$ machines)

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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- Idea:**
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BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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 \dots, γ_j^k

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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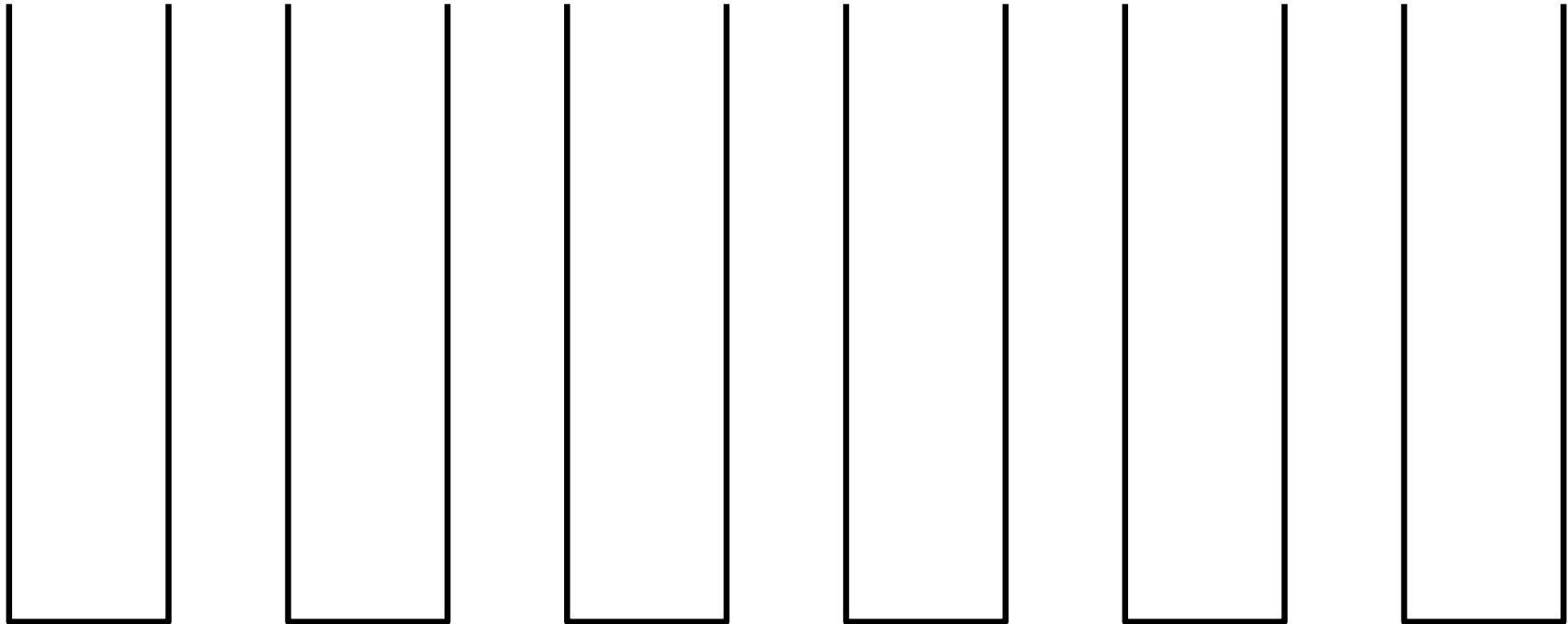
- Idea:**
- for number a_i : use machine M_i
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BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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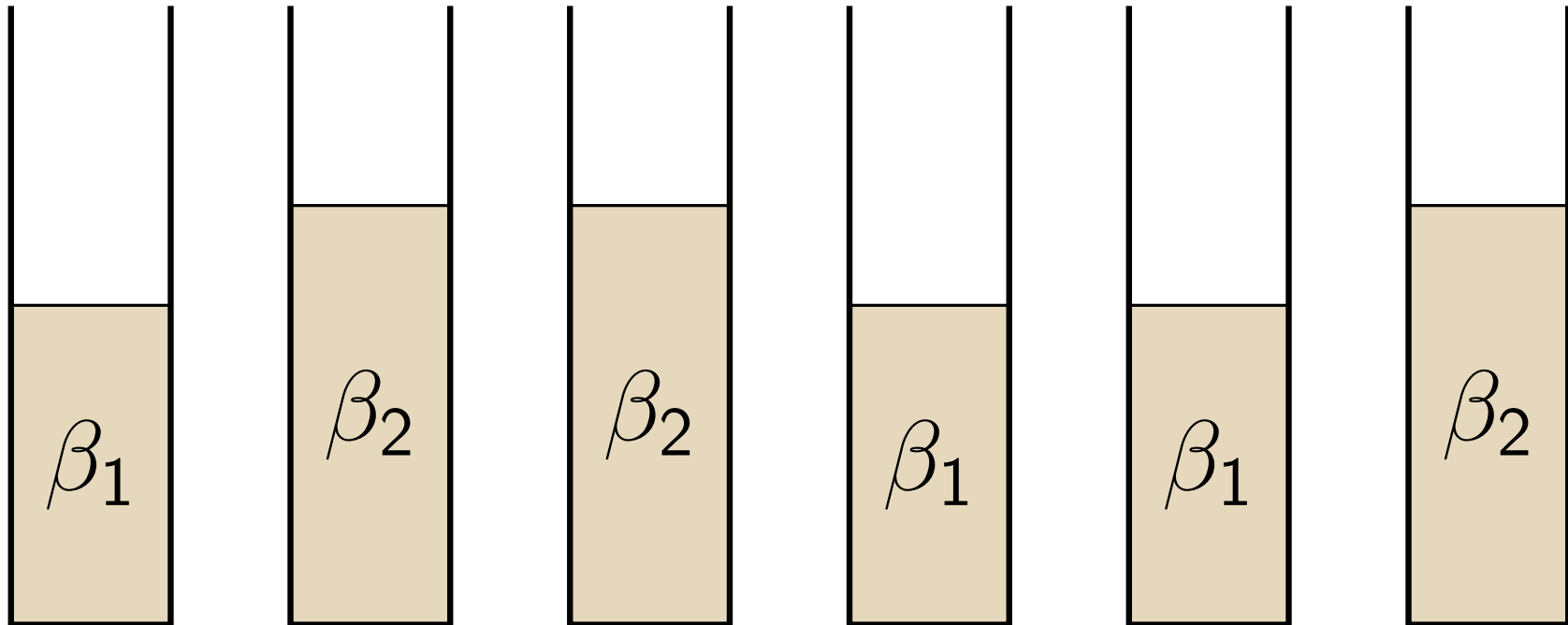


BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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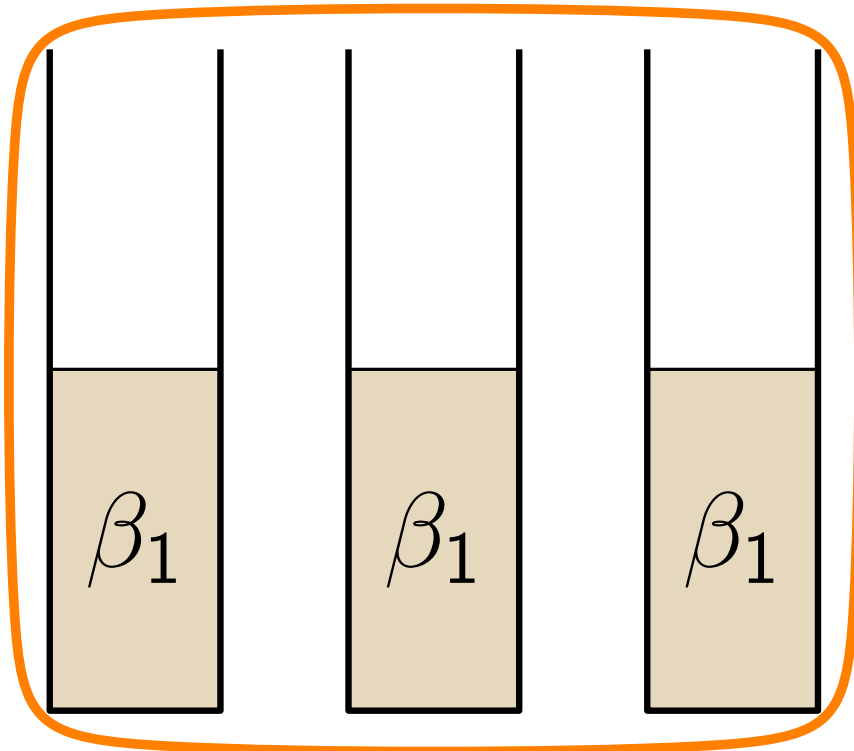
BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

BALANCED BIN
PACKING instance:

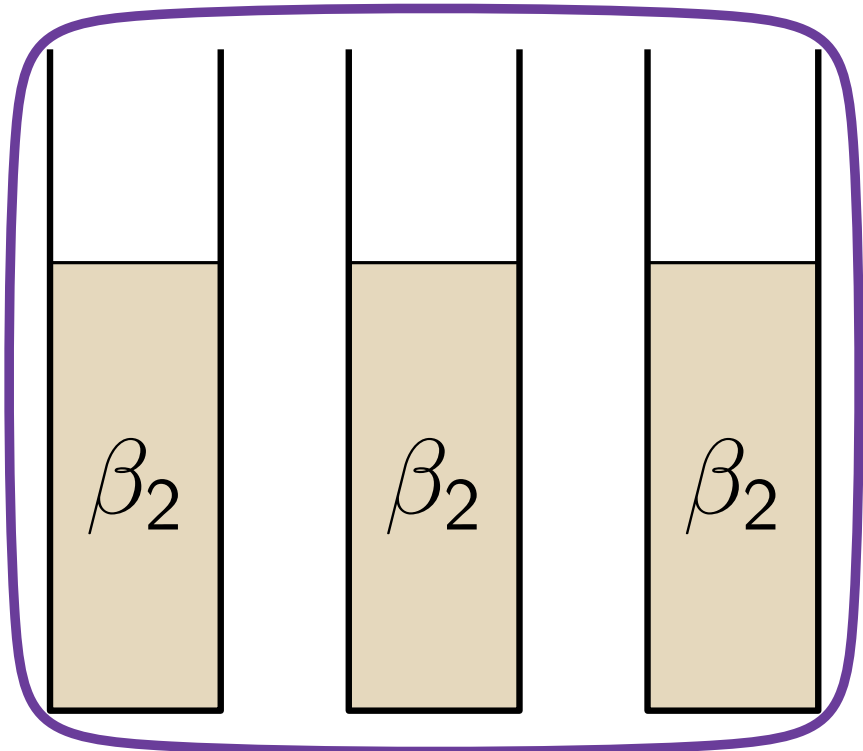
- multiset of numbers $\{a_1, \dots, a_m\}$
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- $A := \sum_{i=1}^m a_i = kB$

- Idea:**
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 - β_j on machine $M_i \Leftrightarrow a_i$ in the j -th bin

Bin 1



Bin 2



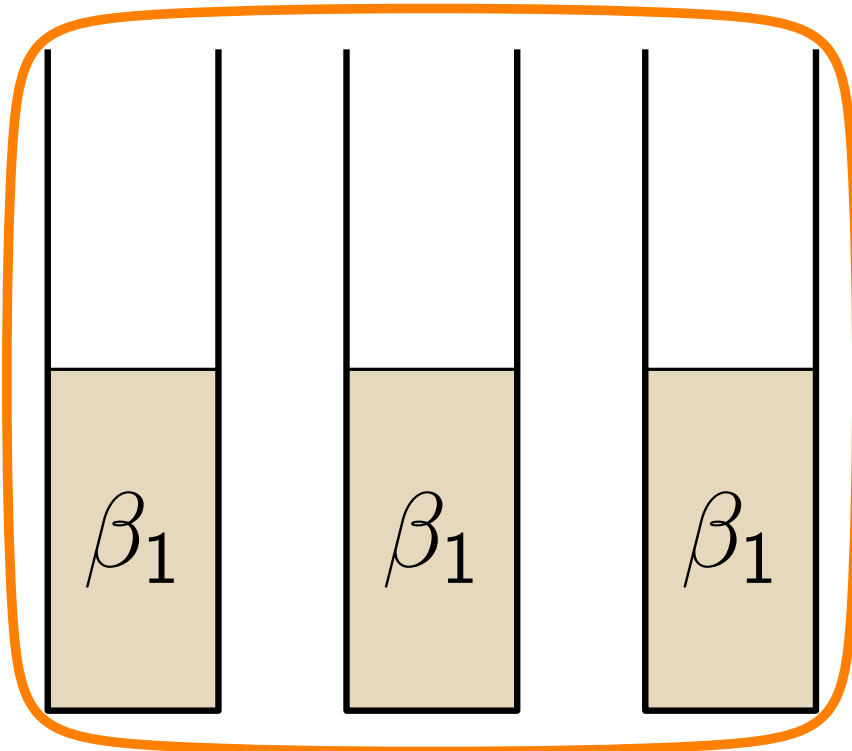
BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

BALANCED BIN
PACKING instance:

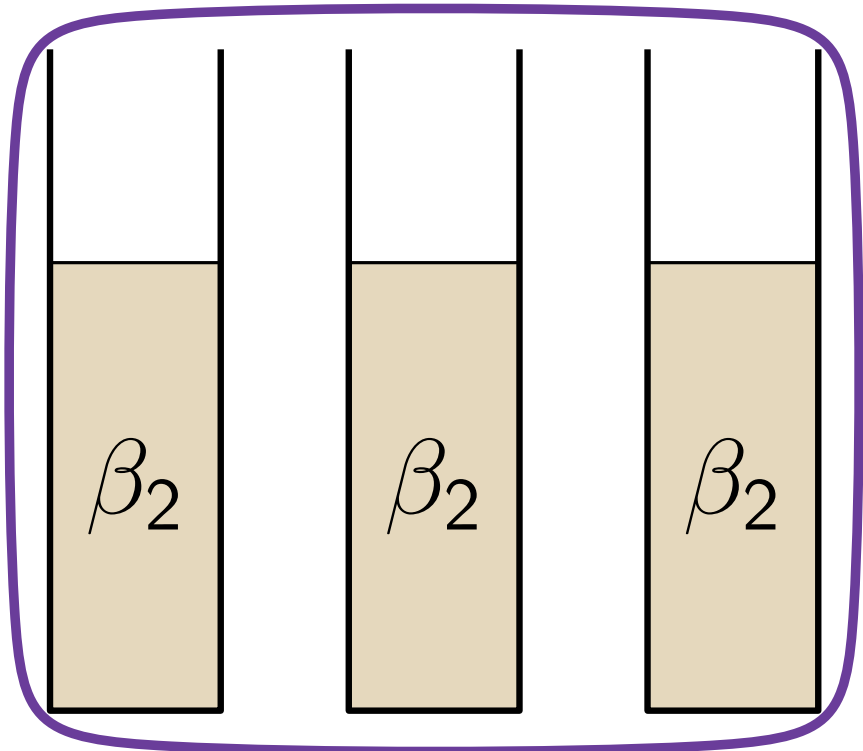
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- Idea:**
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 - β_j on machine $M_i \Leftrightarrow a_i$ in the j -th bin
- γ -type jobs enforce that each bin has the same sum of items

Bin 1



Bin 2



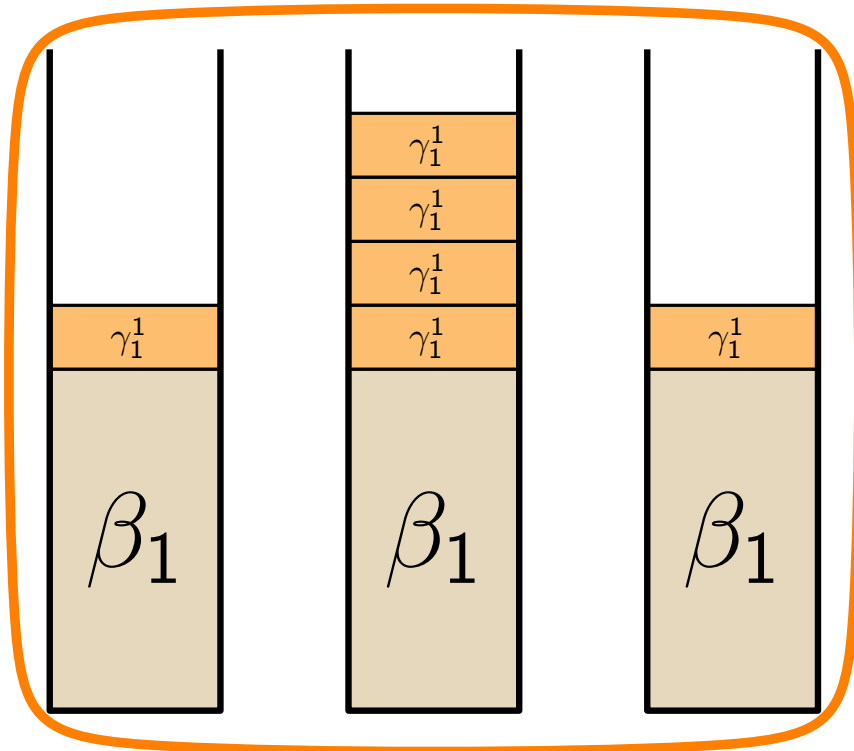
BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

BALANCED BIN
PACKING instance:

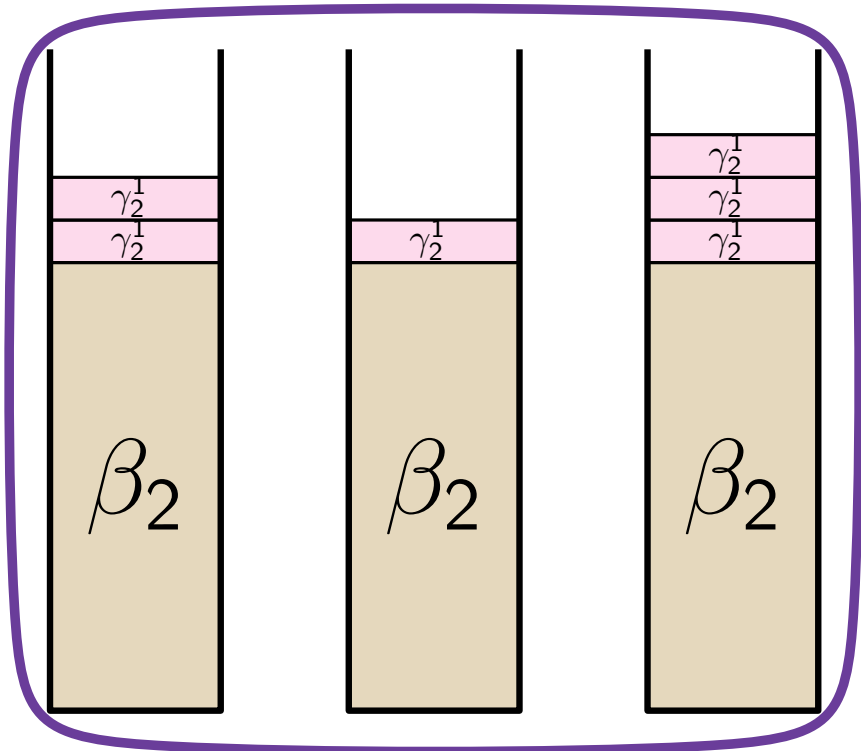
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Bin 1



Bin 2



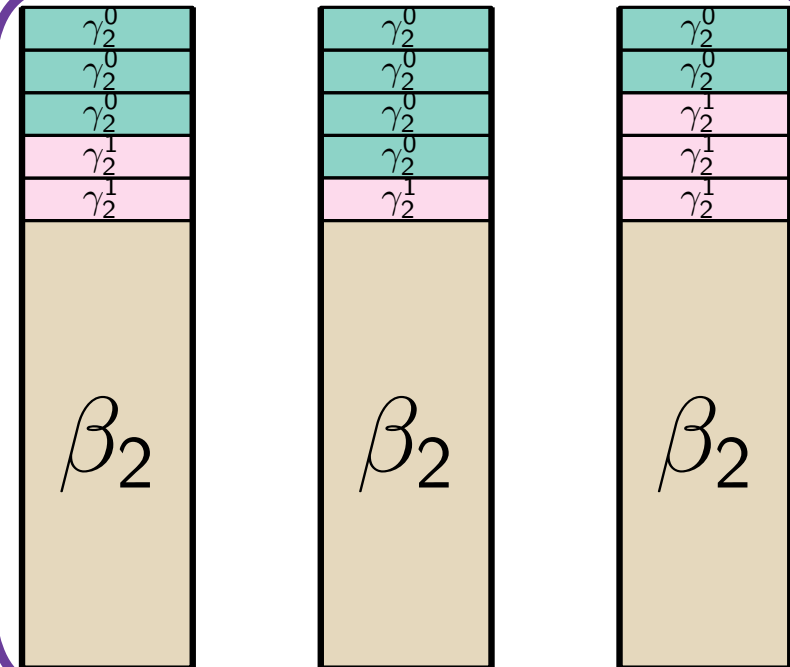
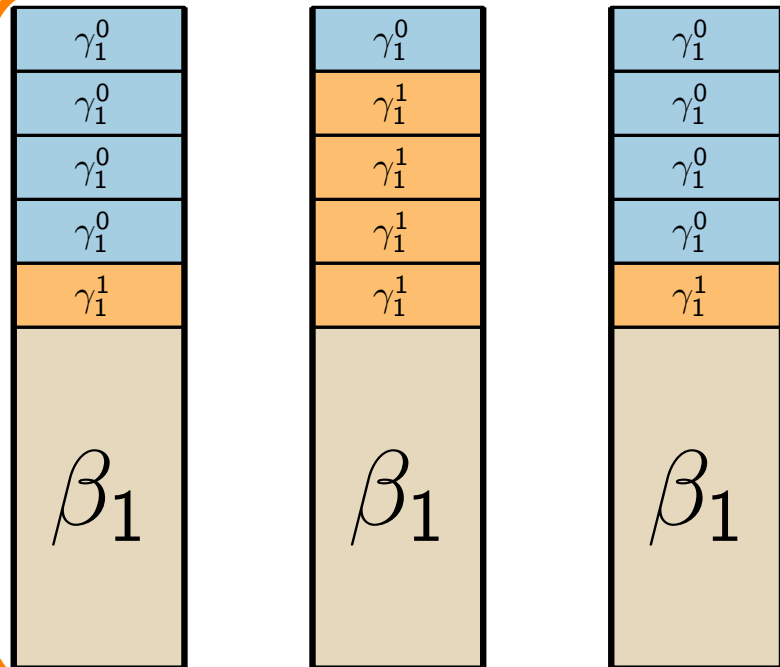
BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁸

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Bin 1

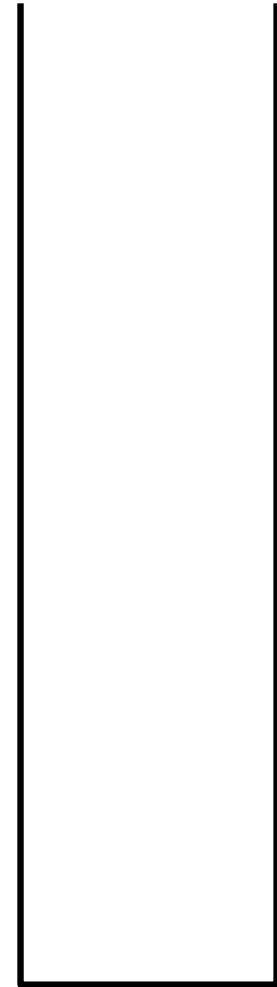


Bin 2

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

BALANCED BIN
PACKING instance:

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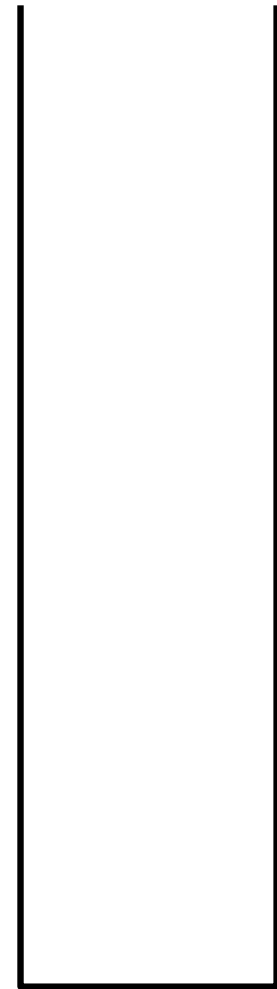
Machine M_i

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
- k bins of size B
- $A := \sum_{i=1}^m a_i = kB$

- Speed of M_i is slightly faster than 1. Allows to process jobs of lengths $T + a_i$ in time T .



Machine M_i

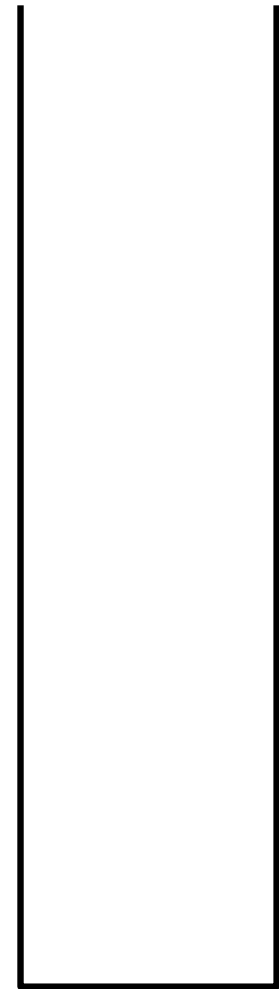
BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

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\Rightarrow think of M_i has “capacity” $T + a_i$



Machine M_i

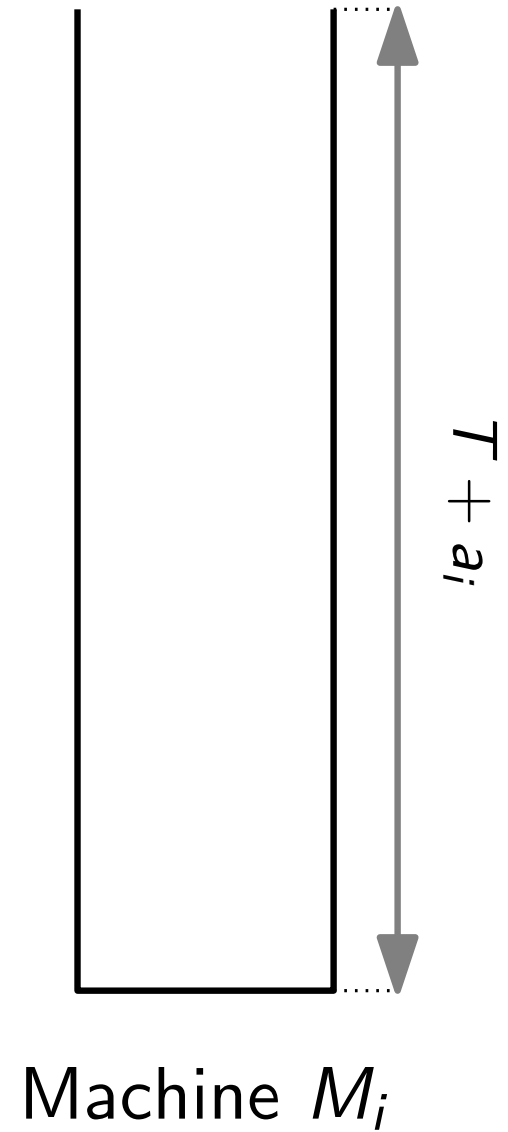
BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

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BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

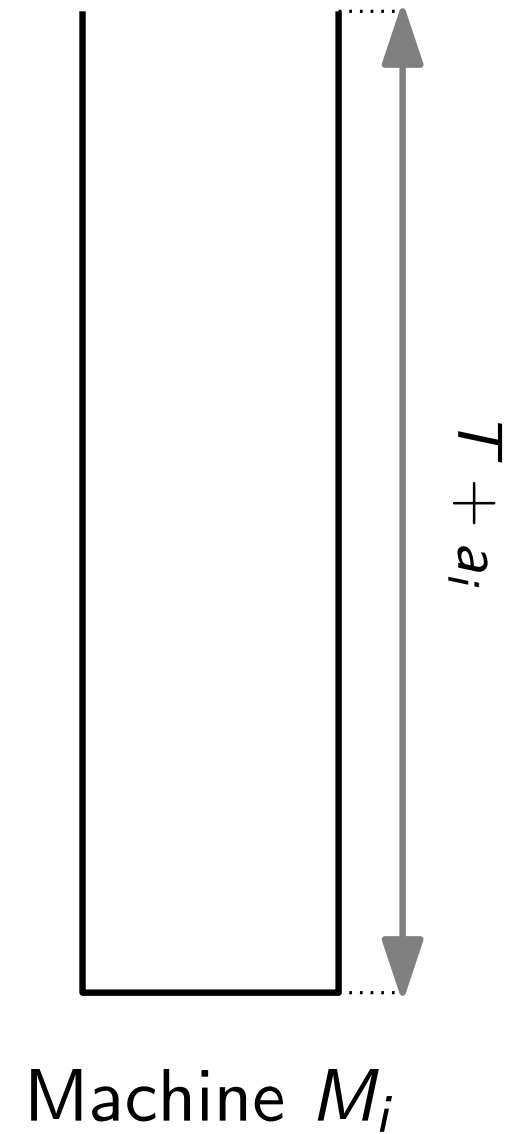
BALANCED BIN
PACKING instance:

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- k bins of size B
- $A := \sum_{i=1}^m a_i = kB$

- Speed of M_i is slightly faster than 1. Allows to process jobs of lengths $T + a_i$ in time T .

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- β -type jobs are so large that we have ≤ 1 per machine.



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

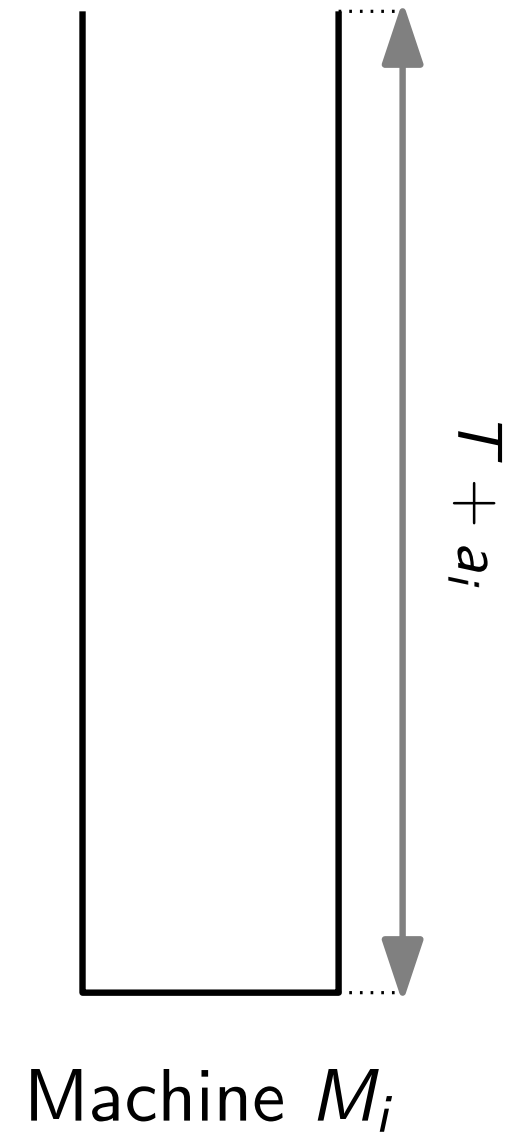
BALANCED BIN
PACKING instance:

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\Rightarrow think of M_i has “capacity” $T + a_i$

- β -type jobs are so large that we have ≤ 1 per machine.
- We have m machines & m β -type jobs.



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

BALANCED BIN
PACKING instance:

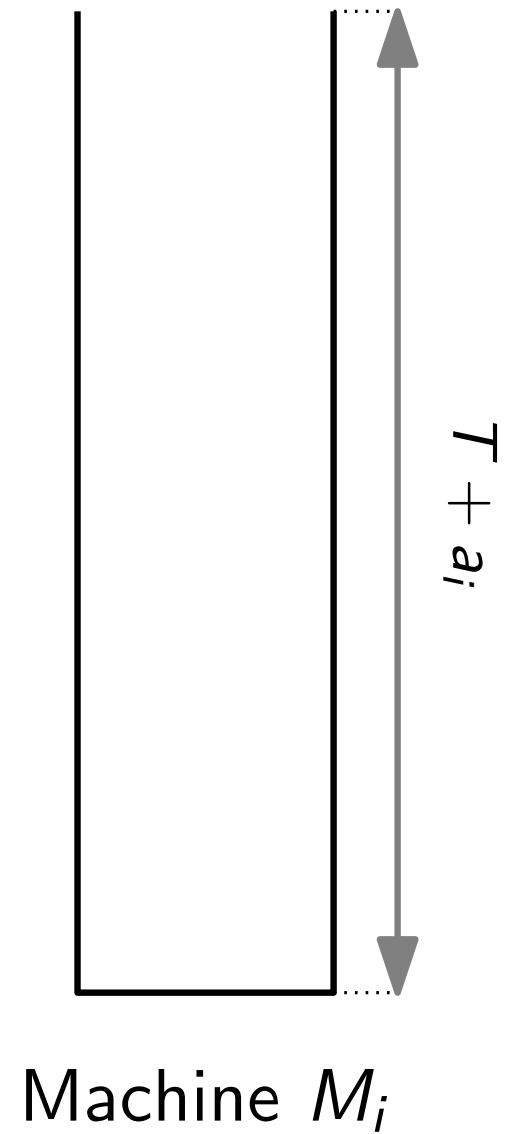
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- $A := \sum_{i=1}^m a_i = kB$

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- β -type jobs are so large that we have ≤ 1 per machine.
- We have m machines & m β -type jobs.

\Rightarrow One β -type job / machine



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

BALANCED BIN
PACKING instance:

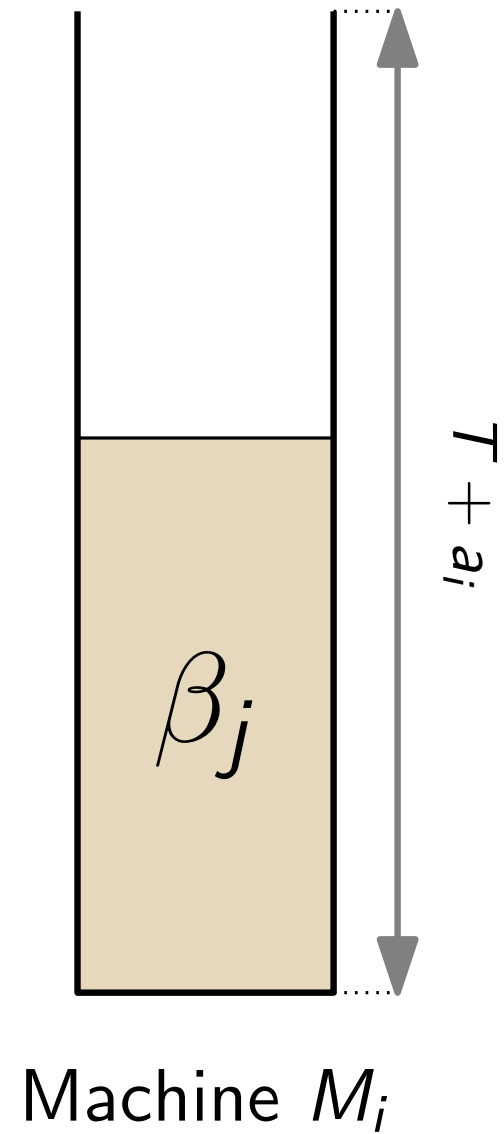
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BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

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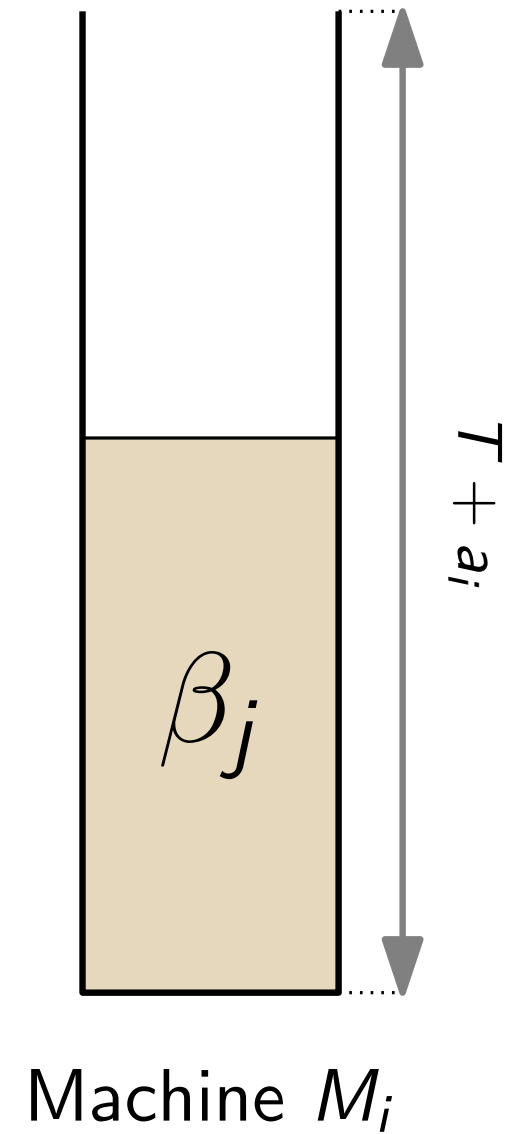
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\Rightarrow think of M_i has “capacity” $T + a_i$

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- We have m machines & m β -type jobs.

\Rightarrow One β -type job / machine

- β_j leaves space of $At_j + a_i$



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ⁹

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PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
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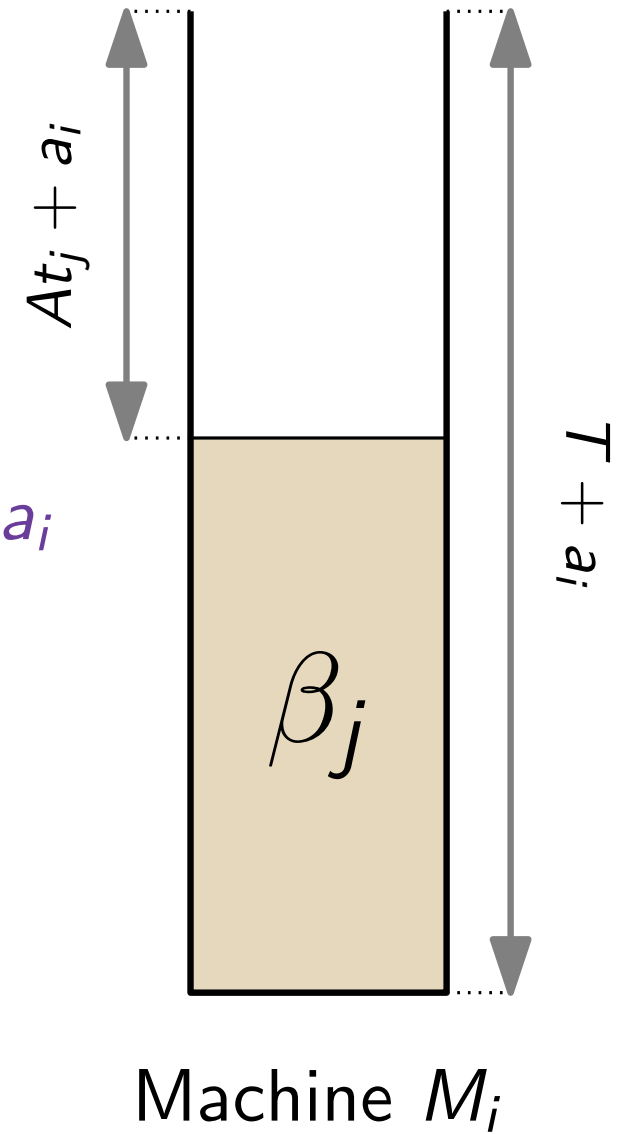
- Speed of M_i is slightly faster than 1. Allows to process jobs of lengths $T + a_i$ in time T .

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- β -type jobs are so large that we have ≤ 1 per machine.
- We have m machines & m β -type jobs.

\Rightarrow One β -type job / machine

- β_j leaves space of $At_j + a_i$



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹⁰

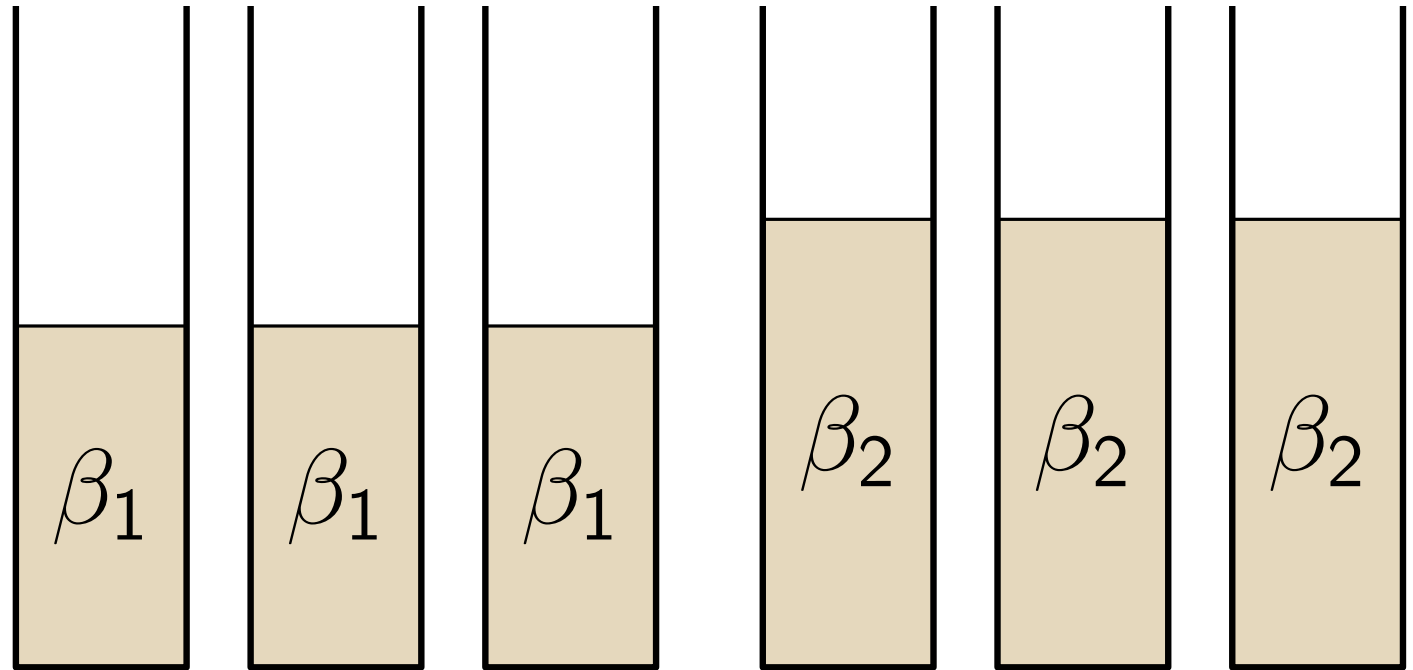
BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
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- $A := \sum_{i=1}^m a_i = kB$

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}^{10}$

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
- k bins of size B
- $A := \sum_{i=1}^m a_i = kB$

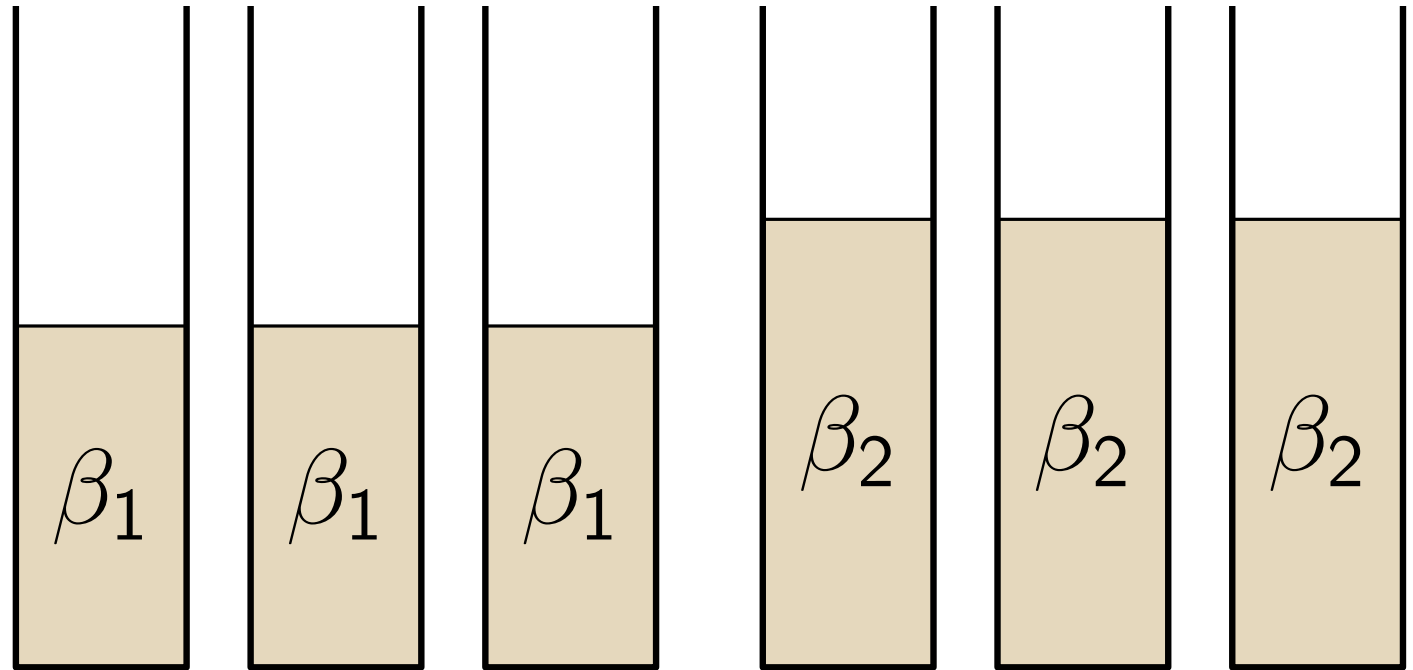


BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}^{10}$

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
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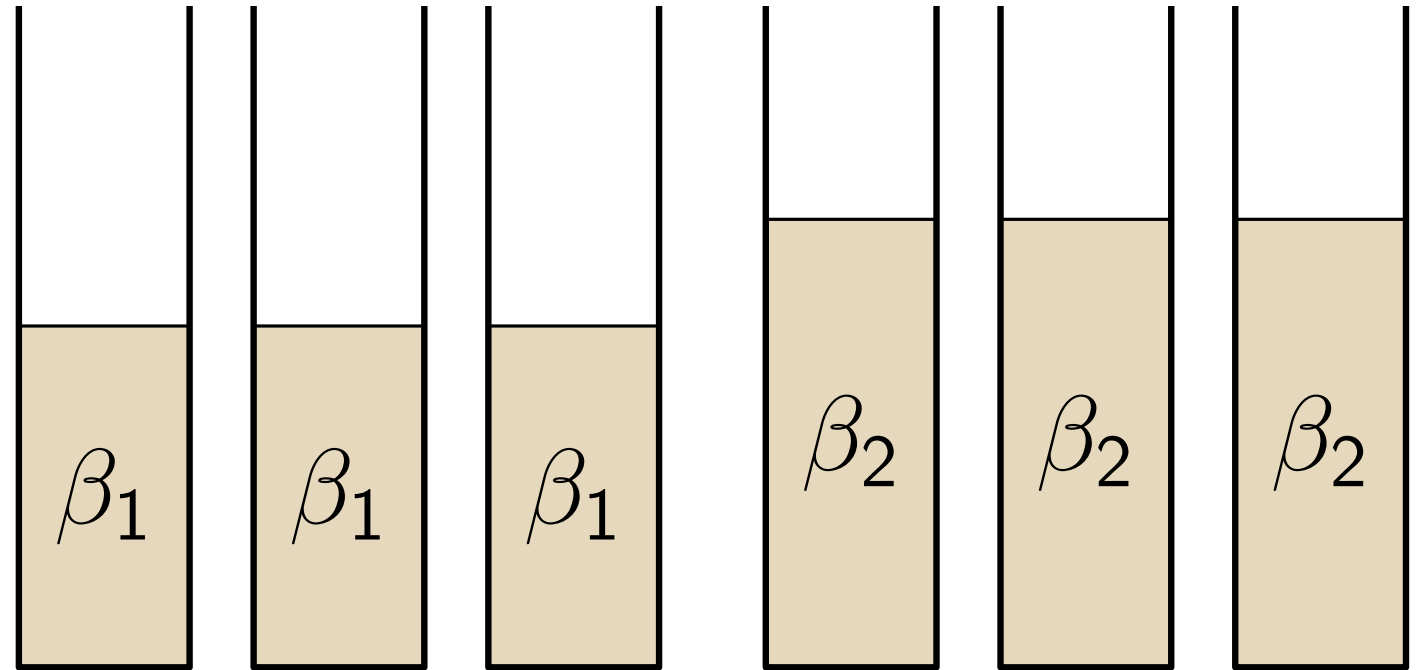
- We have mA
 γ -type jobs



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}^{10}$

BALANCED BIN
PACKING instance:

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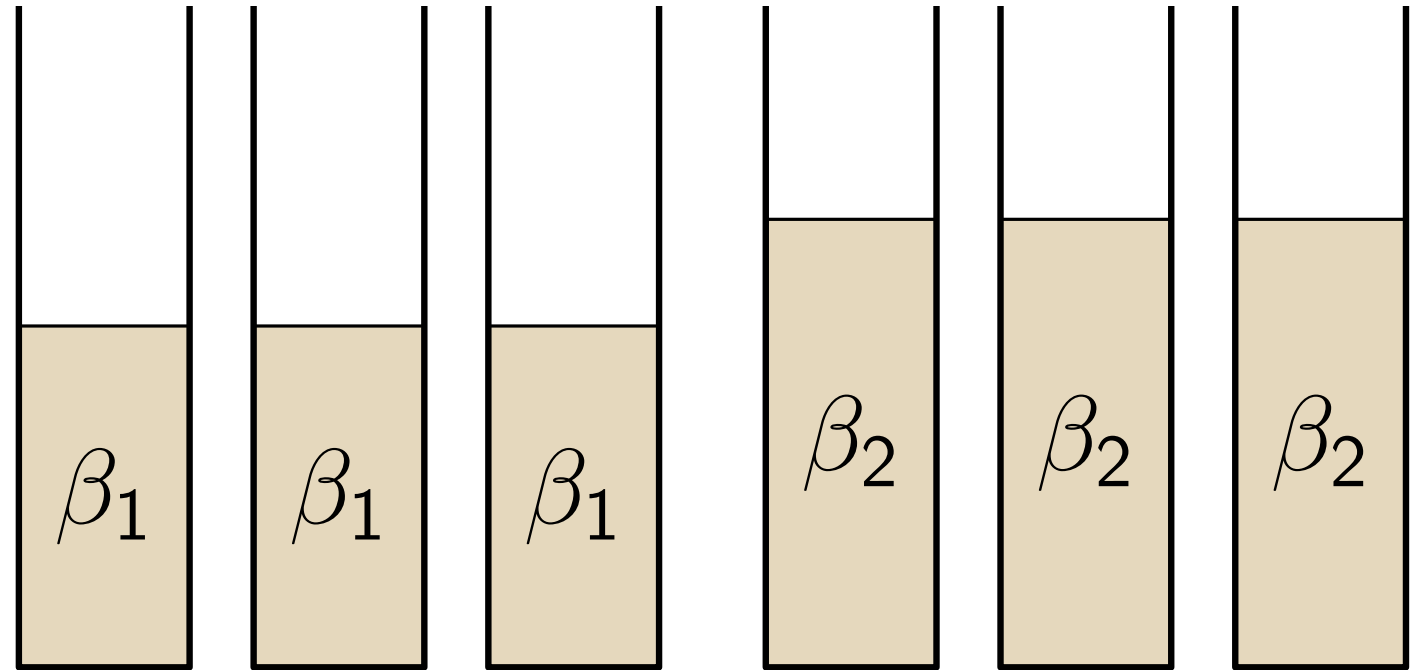


- We have mA γ -type jobs
- Any machine can execute $\leq A$ γ -type jobs

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}^{10}$

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
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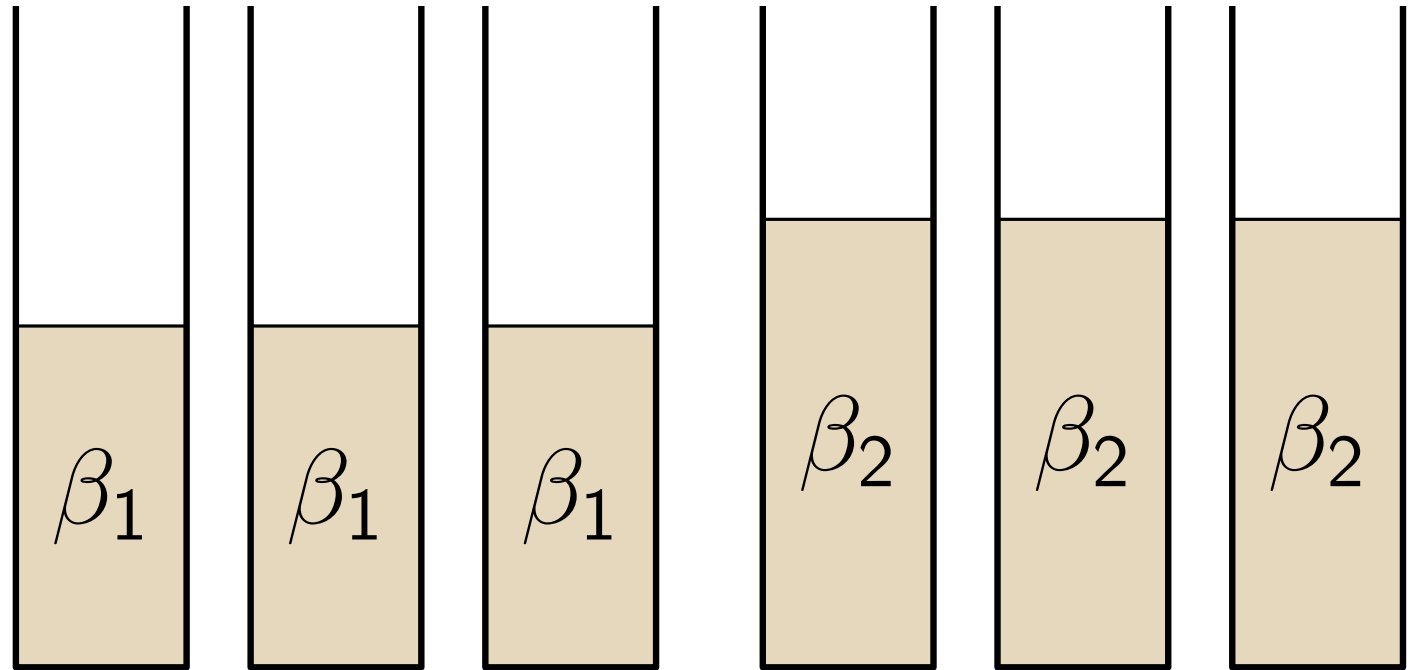
- We have mA γ -type jobs
- Any machine can execute $\leq A$ γ -type jobs

\Rightarrow exactly A γ -type jobs / machine

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹⁰

BALANCED BIN
PACKING instance:

- multiset of numbers $\{a_1, \dots, a_m\}$
- k bins of size B
- $A := \sum_{i=1}^m a_i = kB$



- We have mA γ -type jobs

- Any machine can execute $\leq A$ γ -type jobs

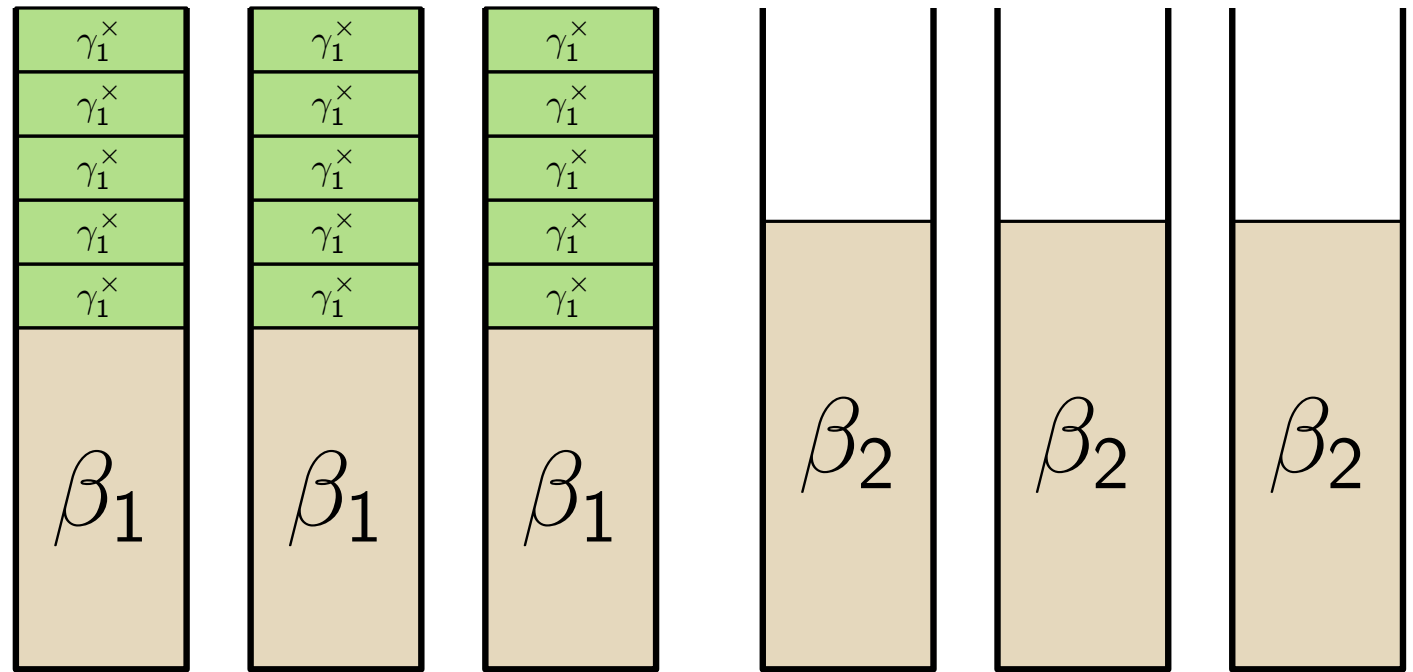
\Rightarrow exactly A γ -type jobs / machine

- As $\gamma_1^\times > \gamma_2^\times$, only machines with β_1 can host A γ_1^\times jobs

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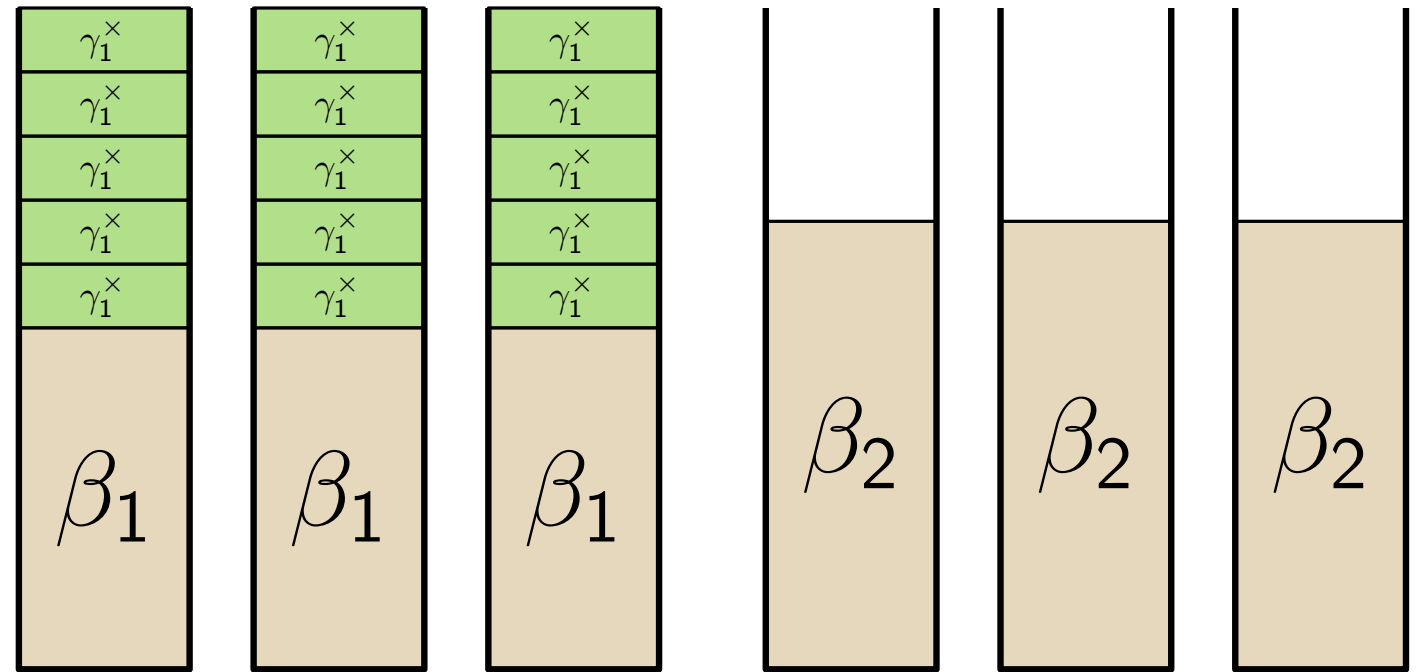
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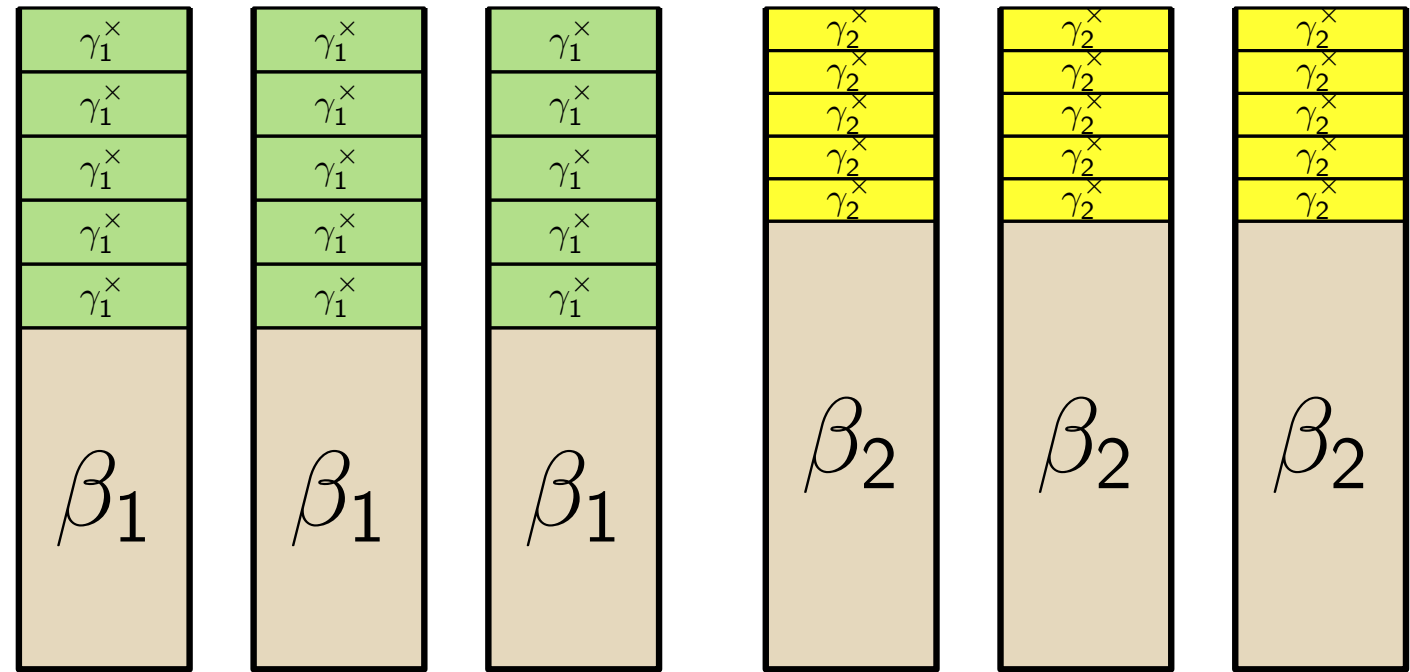
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- Only machines with β_2 remain for γ_2^x , and so on

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- k bins of size B
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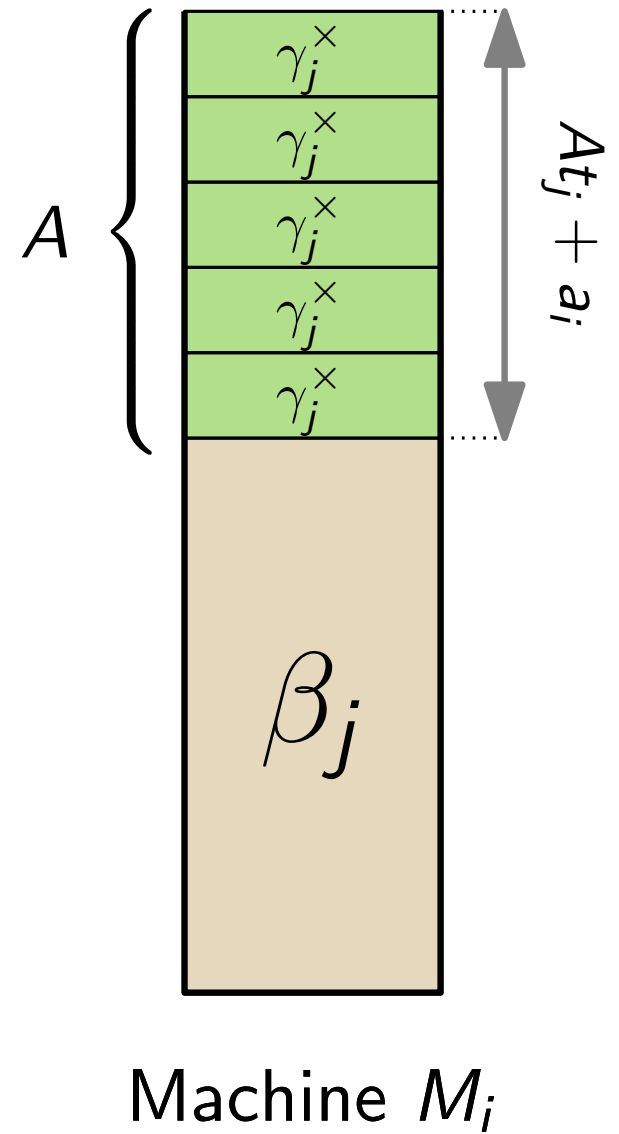
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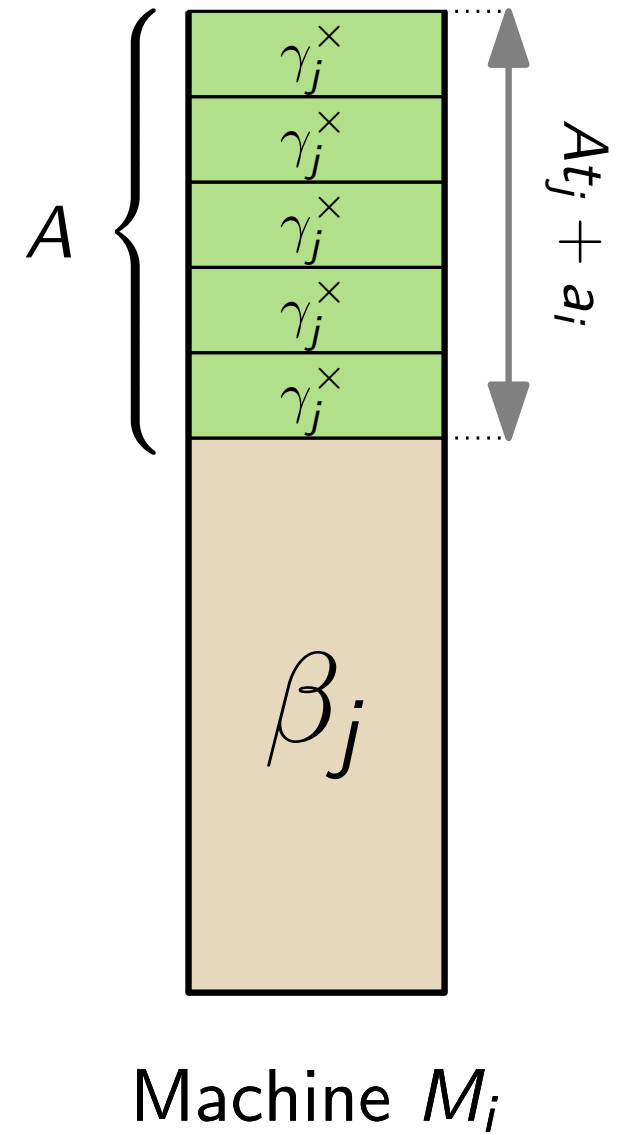
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BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹¹



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹¹

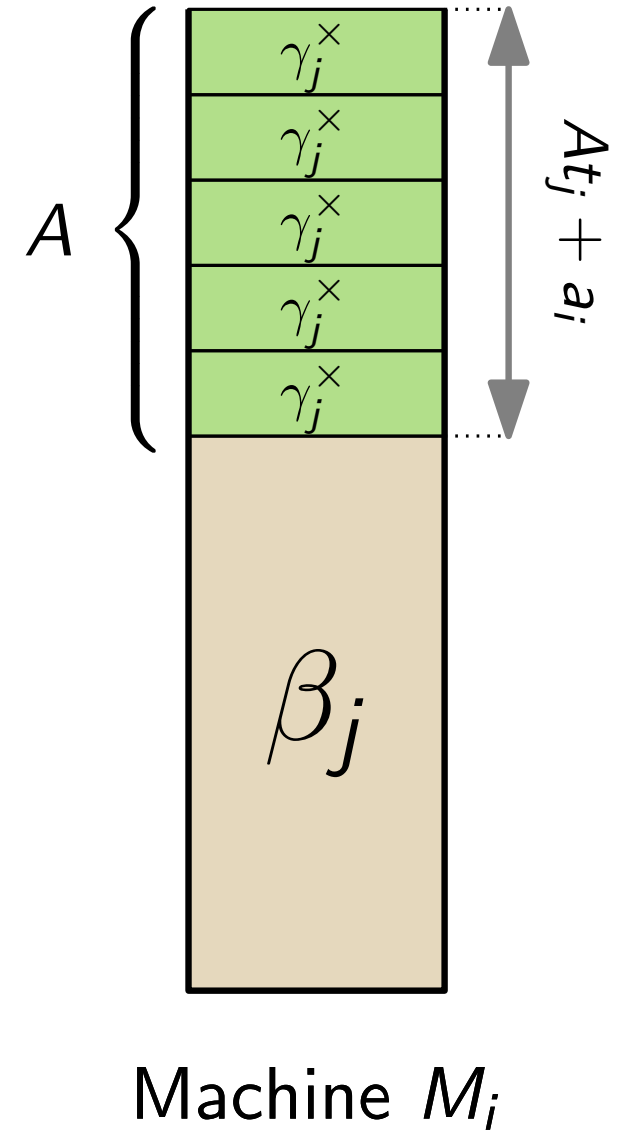
Distinguishing between γ_j^0 and γ_j^1 :



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹¹

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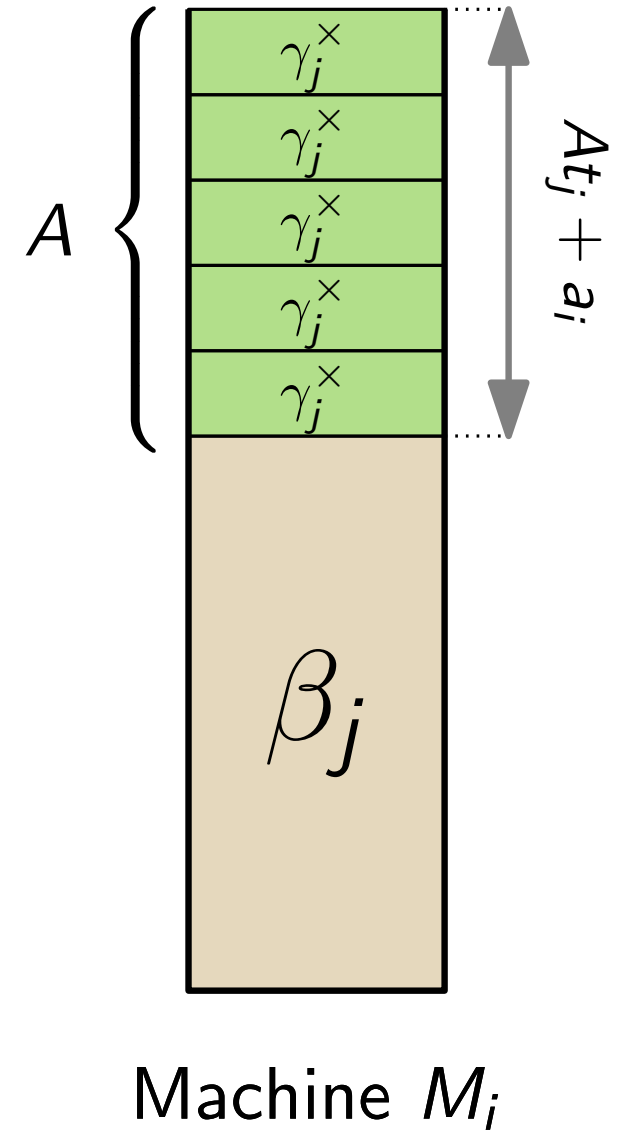
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BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹¹

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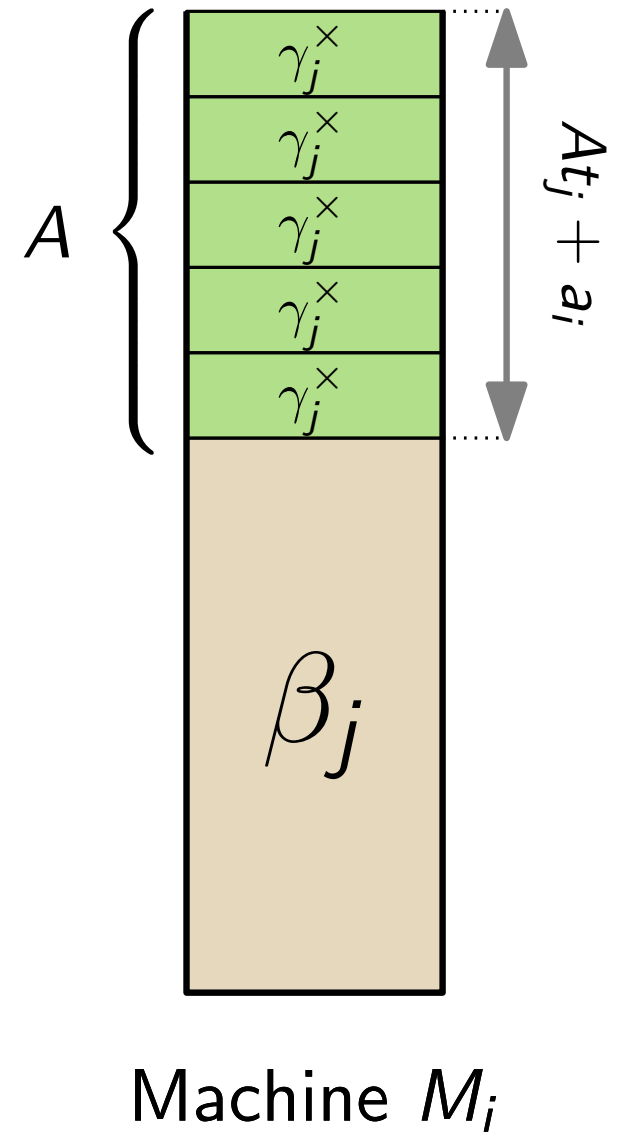


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$\Rightarrow \leq a_i$ jobs of type γ_j^1 per M_i

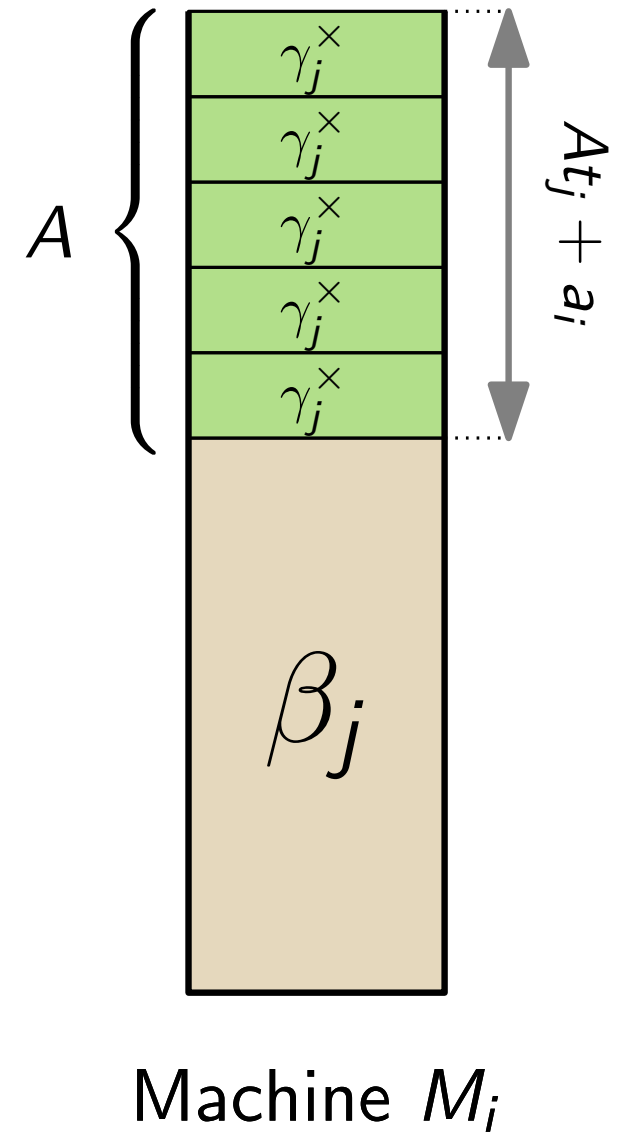


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$\Rightarrow \leq a_i$ jobs of type γ_j^1 per M_i
 $\geq A - a_i$ jobs of type γ_j^0 per M_i



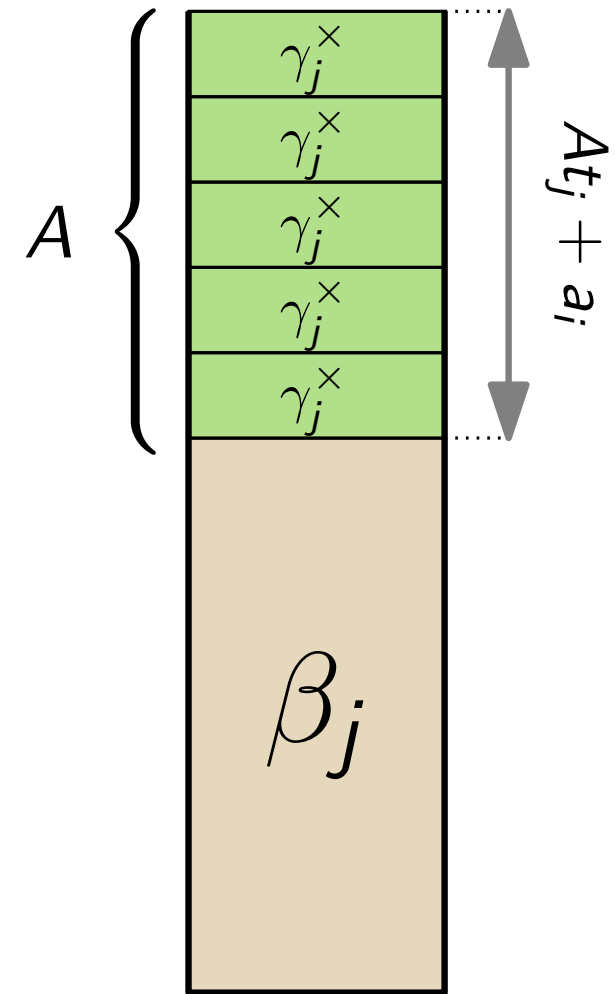
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- We have $A = \sum_{i=0}^m a_i$ jobs of type γ_{\times}^1 in total.



Machine M_i

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹¹

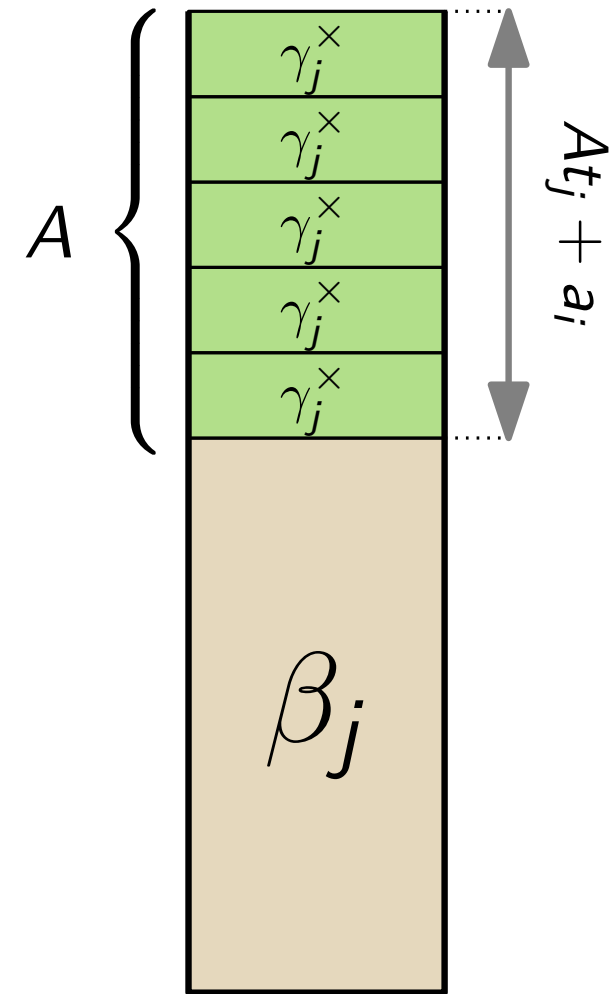
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$\Rightarrow M_i$ has exactly a_i jobs of type γ_j^1



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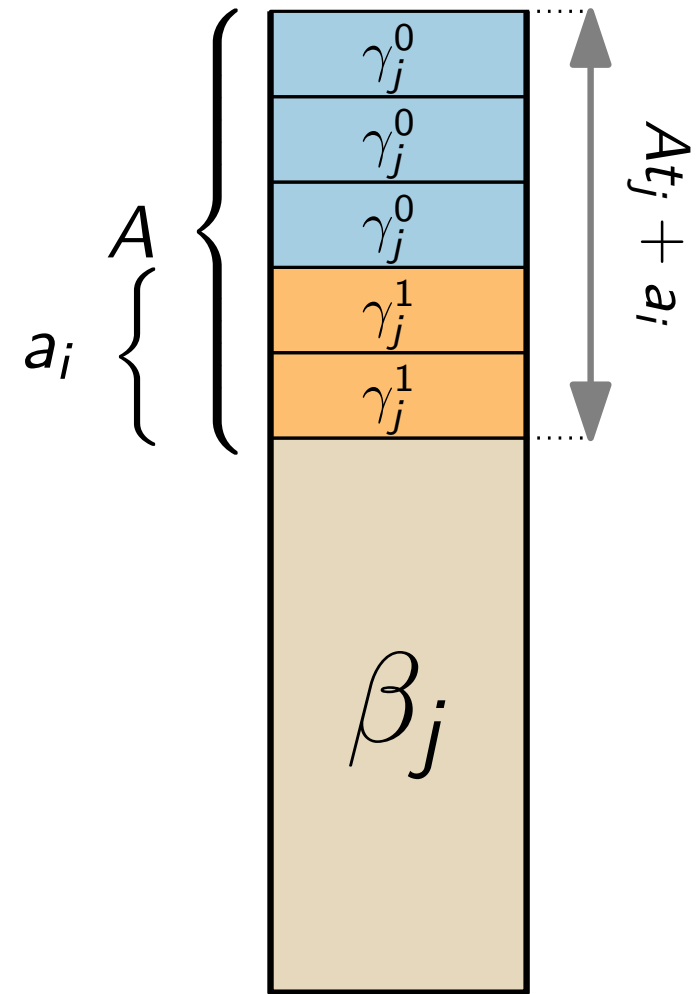
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- We have $A = \sum_{i=0}^m a_i$ jobs of type γ_x^1 in total.

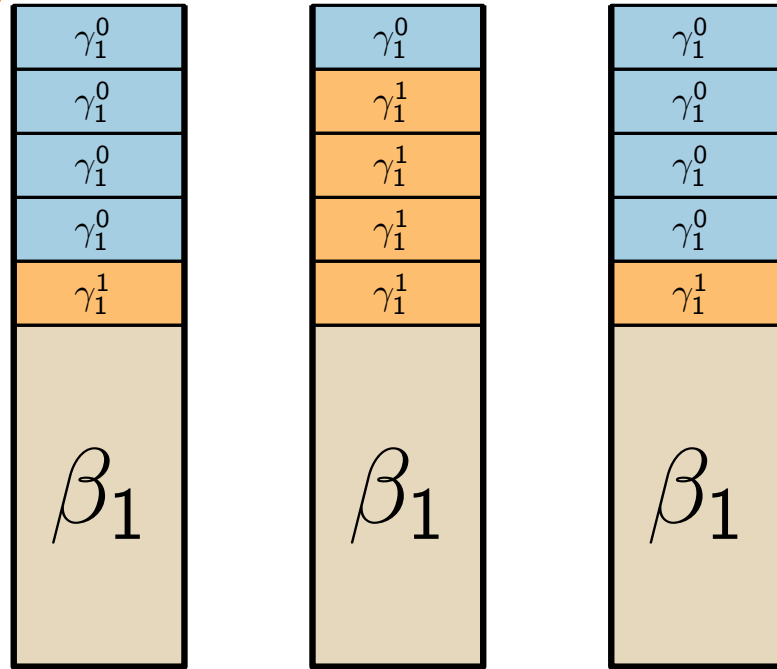
$\Rightarrow M_i$ has exactly a_i jobs of type γ_j^1



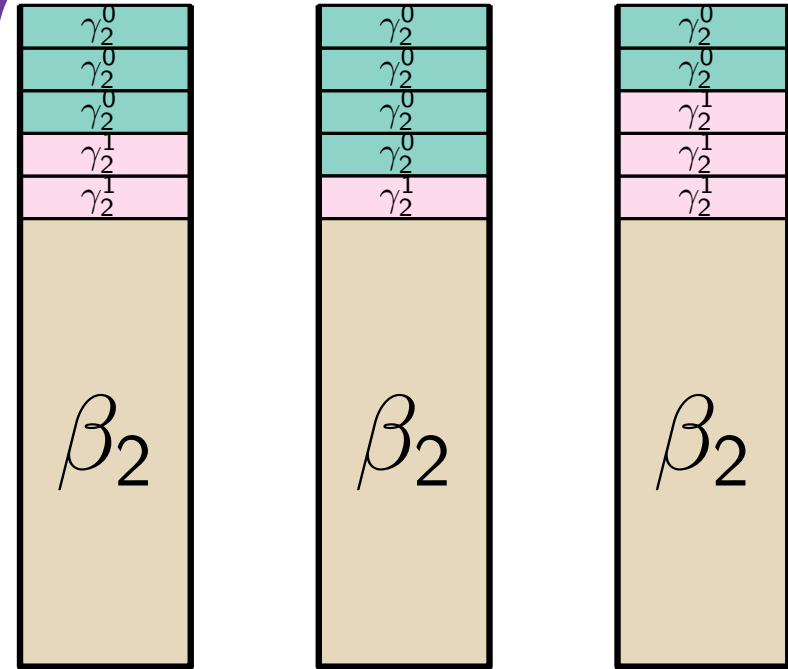
Machine M_i

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹²

Bin 1

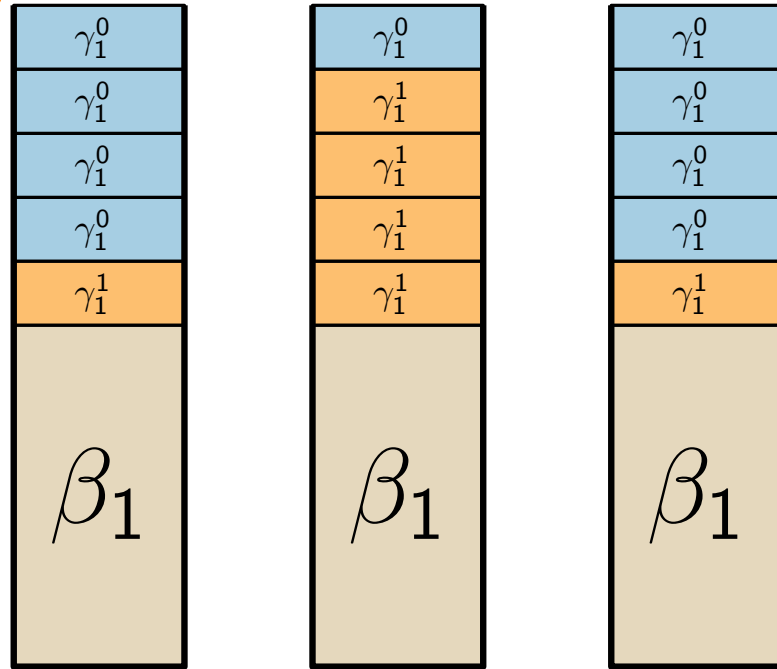


Bin 2



BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹²

Bin 1

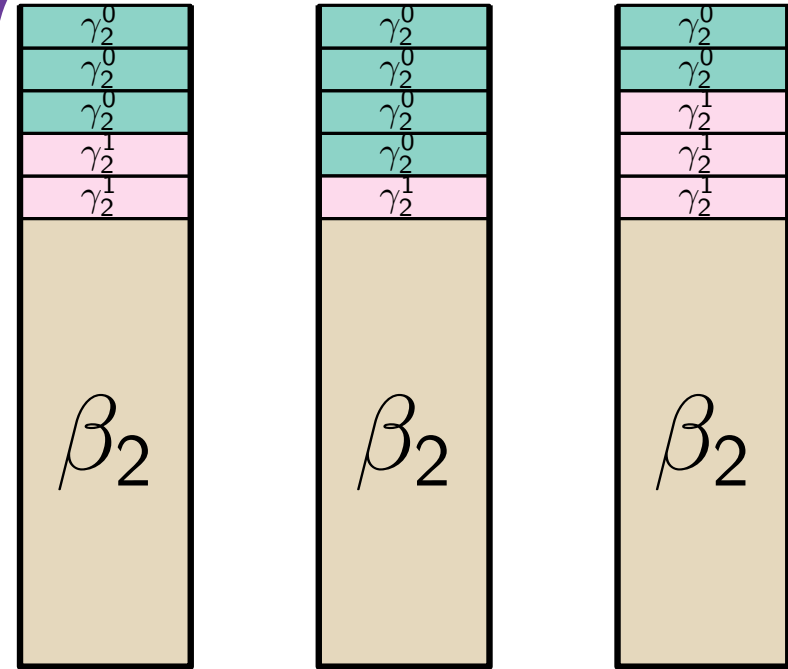


1

4

1

Bin 2

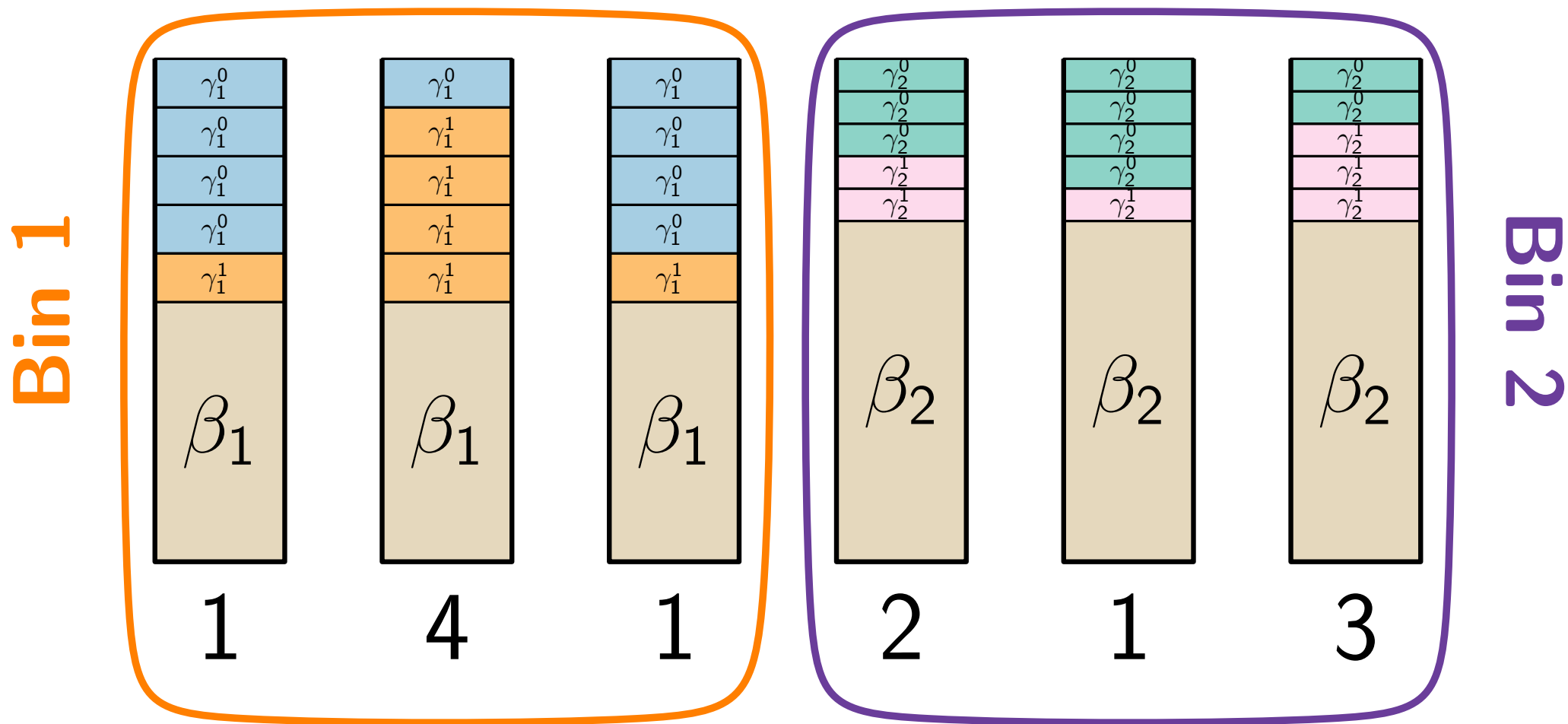


2

1

3

BALANCED BIN PACKING $\rightarrow Q|HM|C_{\max}$ ¹²



For $k = 2$ bins, BALANCED BIN PACKING is already NP-hard (\rightarrow PARTITION), there we use $2 \cdot 3 = 6$ job types.

$Q|HM|C_{\max}$ is NP-hard already for 6 job types.

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And with some small modifications of this reduction:

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CUTTING STOCK is NP-hard already with 8 item types.

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$\{R, Q\}||C_{\max}$ is $W[1]$ -hard parameterized by the number of job types even for unary input.

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$\{R, Q\}||C_{\max}$ is $W[1]$ -hard parameterized by the number of job types even for unary input.

Beside C_{\max} , most of our hardness results also hold true for the objectives ℓ_2 and $\sum w_j C_j$.

Fixed-Parameter Tractability

14

$P||C_{\max}$ is FPT parameterized by the number of job types k .

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Proof Idea:

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FPT if the largest job size p_{\max} is small

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But our vector p of processing times for jobs may contain large numbers!

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But our vector \mathbf{p} of processing times for jobs may contain large numbers!

- Use coefficient reduction algorithm by Frank and Tardos. This yields a vector $\bar{\mathbf{p}}$, which is equivalent for comparisons, but its largest entry is in order n^k .

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\uparrow
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$(\bar{\mathbf{p}}, \bar{C}_{\max})$

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$(\bar{\mathbf{p}}, \bar{C}_{\max})$

m (\mathbf{p}, C_{\max})

Fixed-Parameter Tractability

14

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Proof Idea:

assignment of jobs to machines

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FPT if the largest job size p_{\max} is small

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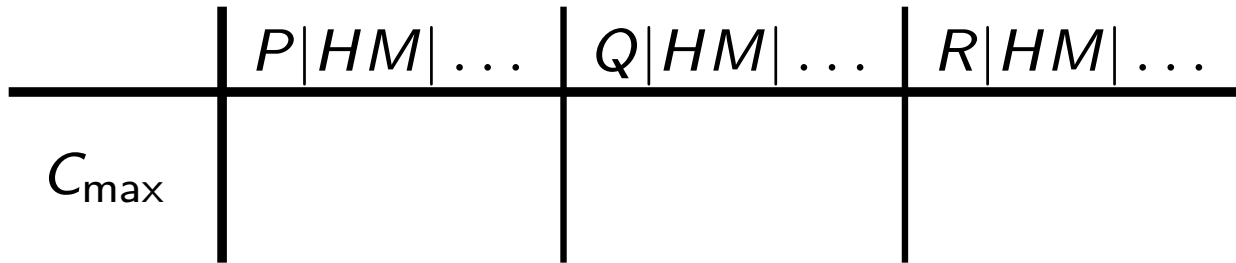
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$(\bar{\mathbf{p}}, \bar{C}_{\max})$

m (\mathbf{p}, C_{\max})

Summary

15



P : identical machines

Q : related machines

R : unrelated machines

HM : high multipl. jobs

C_{\max} : min. makespan

ℓ_2 : min. time under ℓ_2 -Norm

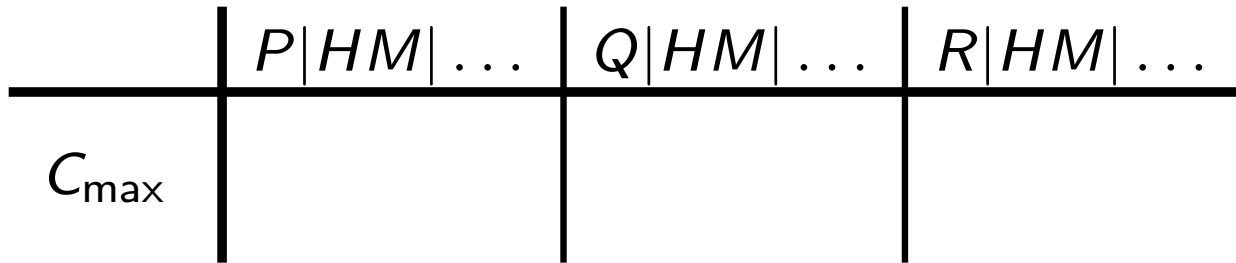
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k : # job types

Summary

known before

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$
C_{\max}	poly. time for const. k		

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Summary



known before
new

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$

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 C_{\max} : min. makespan
 ℓ_2 : min. time under ℓ_2 -Norm
 $\sum w_j C_j$: min. sum of
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Summary



known before
new

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$	$P \dots$	$Q \dots$	$R \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$			

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Summary



known before
new

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$	$P \dots$	$Q \dots$	$R \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k		

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$	$P \dots$	$Q \dots$	$R \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP in k	XP in k

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Summary


 known before
 new
 open

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C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP W[1]- in k hard	XP W[1]- in k hard
ℓ_2	?	NP-hard for $k \geq 6$	NP-hard for $k \geq 7$?	XP W[1]- hard	XP W[1]- hard

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C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP in k	W[1]-hard
ℓ_2	?	NP-hard for $k \geq 6$	NP-hard for $k \geq 7$?	XP	W[1]-hard
$\sum w_j C_j$?	?	NP-hard for $k \geq 7$?	XP	W[1]-hard

Open Problems

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 R : unrelated machines
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 ℓ_2 : min. time under ℓ_2 -Norm
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ℓ_2	?	NP-hard for $k \geq 6$	NP-hard for $k \geq 7$?	XP	W[1]-hard
$\sum w_j C_j$?	?	NP-hard for $k \geq 7$?	XP	W[1]-hard

Open Problems

- ? above in the table

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 new
 open

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$	$P \dots$	$Q \dots$	$R \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP W[1]- in k hard	XP W[1]- in k hard
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$\sum w_j C_j$?	?	NP-hard for $k \geq 7$?	XP ?	XP W[1]- hard

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- ? above in the table
- Is it also NP-hard for fewer job types, e.g. $k = 2$ or 3 ?

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	known before
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	open

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C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP in k	W[1]-hard in k
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Open Problems

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- Is it also NP-hard for fewer job types, e.g. $k = 2$ or 3 ?
- Is $P|HM|C_{\max}$ FPT in k or not?

Summary

	known before
	new
	open

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$	$P \dots$	$Q \dots$	$R \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP in k	W[1]-hard in k
ℓ_2	?	NP-hard for $k \geq 6$	NP-hard for $k \geq 7$?	XP	W[1]-hard
$\sum w_j C_j$?	?	NP-hard for $k \geq 7$?	XP	W[1]-hard

Open Problems

- ? above in the table
- Is it also NP-hard for fewer job types, e.g. $k = 2$ or 3 ?
- Is $P|HM|C_{\max}$ FPT in k or not?
- Consider more constraints & obj. (release/due times, tardiness, ...)

Summary

	known before
	new
	open

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	$P HM \dots$	$Q HM \dots$	$R HM \dots$	$P \dots$	$Q \dots$	$R \dots$
C_{\max}	poly. time for const. k	NP-hard for $k \geq 6$	NP-hard for $k \geq 4$	FPT in k	XP in k W[1]-hard	XP in k W[1]-hard
ℓ_2	?	NP-hard for $k \geq 6$	NP-hard for $k \geq 7$?	XP W[1]-hard	XP W[1]-hard
$\sum w_j C_j$?	?	NP-hard for $k \geq 7$?	XP ?	XP W[1]-hard

Open Problems

- ? above in the table
- Is it also NP-hard for fewer job types, e.g. $k = 2$ or 3 ?
- Is $P|HM|C_{\max}$ FPT in k or not?
- Consider more constraints & obj. (release/due times, tardiness, ...)
- Is CUTTING STOCK W[1]-hard when input is given in unary?