

Computational Complexity of the α -Ham-Sandwich Theorem

Man-Kwun Chiu

Aruni Choudhary

Wolfgang Mulzer

Institut für Informatik
Freie Universität Berlin

Wednesday, 18th March 2020

European Workshop on Computational Geometry 2020

Input

- 1 point sets $P_1, P_2, \dots, P_d \subset \mathbb{R}^d$, of sizes n_1, \dots, n_d , respectively, and
- 2 any vector $\alpha = (\alpha_1, \dots, \alpha_d)$, where $1 \leq \alpha_i \leq n_i$ for all $i \in [d]$.

Input

- 1 point sets $P_1, P_2, \dots, P_d \subset \mathbb{R}^d$, of sizes n_1, \dots, n_d , respectively, and
- 2 any vector $\alpha = (\alpha_1, \dots, \alpha_d)$, where $1 \leq \alpha_i \leq n_i$ for all $i \in [d]$.

Question

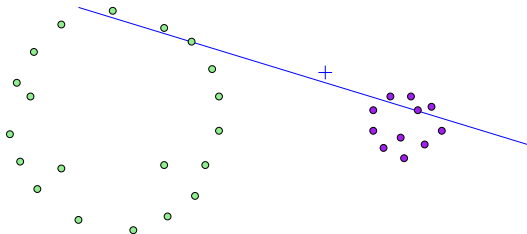
Is there an oriented hyperplane H such that $|H^+ \cap P_i| = \alpha_i$ for all i ?

Input

- 1 point sets $P_1, P_2, \dots, P_d \subset \mathbb{R}^d$, of sizes n_1, \dots, n_d , respectively, and
- 2 any vector $\alpha = (\alpha_1, \dots, \alpha_d)$, where $1 \leq \alpha_i \leq n_i$ for all $i \in [d]$.

Question

Is there an oriented hyperplane H such that $|H^+ \cap P_i| = \alpha_i$ for all i ?

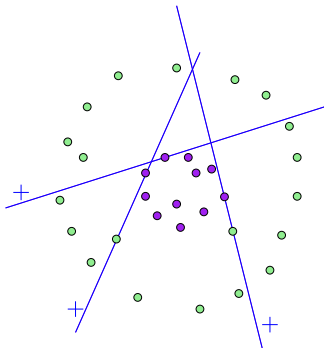


Input

- 1 point sets $P_1, P_2, \dots, P_d \subset \mathbb{R}^d$, of sizes n_1, \dots, n_d , respectively, and
- 2 any vector $\alpha = (\alpha_1, \dots, \alpha_d)$, where $1 \leq \alpha_i \leq n_i$ for all $i \in [d]$.

Question

Is there an oriented hyperplane H such that $|H^+ \cap P_i| = \alpha_i$ for all i ?



Input

- 1 point sets $P_1, P_2, \dots, P_d \subset \mathbb{R}^d$, of sizes n_1, \dots, n_d , respectively, and
- 2 any vector $\alpha = (\alpha_1, \dots, \alpha_d)$, where $1 \leq \alpha_i \leq n_i$ for all $i \in [d]$.

Question

Is there an oriented hyperplane H such that $|H^+ \cap P_i| = \alpha_i$ for all i ?

- the answer is true under certain **conditions**...
- ...shown by [William Steiger, Jihui Zhao, 2010]
- continuous version (P_i s are convex sets, $\alpha_i \in [0, 1]$) - [Imre Bárány, Alfredo Hubard, and Jesús Jerónimo, 2008]
- a *generalization* of the classic Ham-Sandwich Theorem?

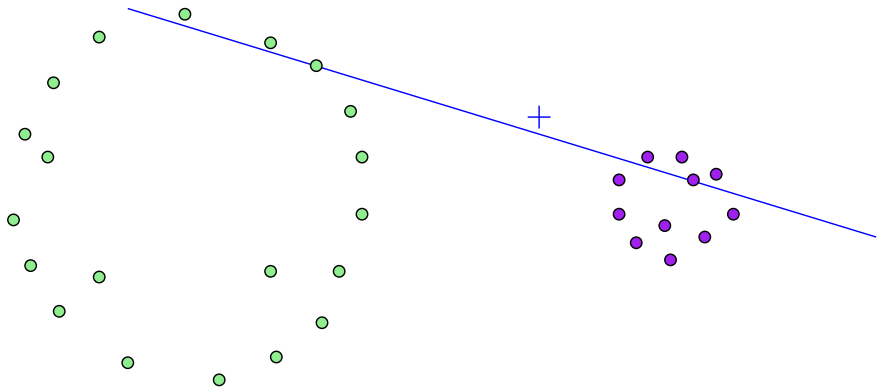
Input

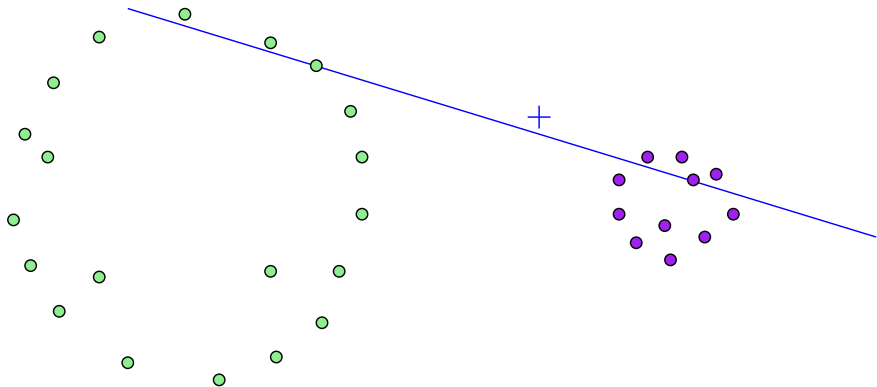
- 1 point sets $P_1, P_2, \dots, P_d \subset \mathbb{R}^d$, of sizes n_1, \dots, n_d , respectively, and
- 2 any vector $\alpha = (\alpha_1, \dots, \alpha_d)$, where $1 \leq \alpha_i \leq n_i$ for all $i \in [d]$.

Question

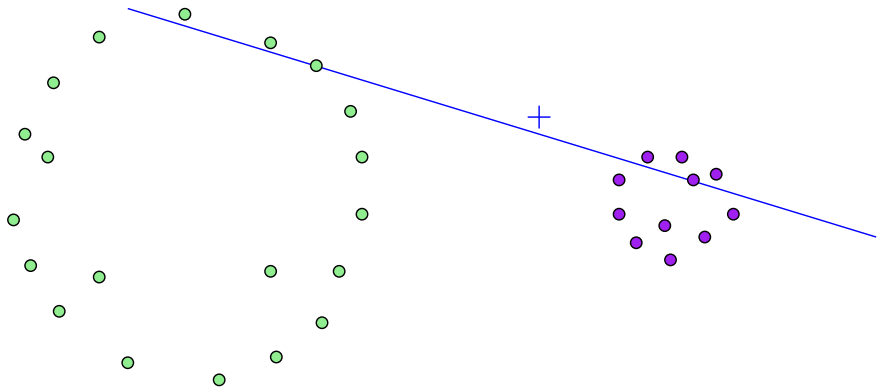
Is there an oriented hyperplane H such that $|H^+ \cap P_i| = \alpha_i$ for all i ?

- the answer is true under certain **conditions**...
- ...shown by [William Steiger, Jihui Zhao, 2010]
- continuous version (P_i s are convex sets, $\alpha_i \in [0, 1]$) - [Imre Bárány, Alfredo Hubard, and Jesús Jerónimo, 2008]
- a *generalization* of the classic Ham-Sandwich Theorem? **NO**



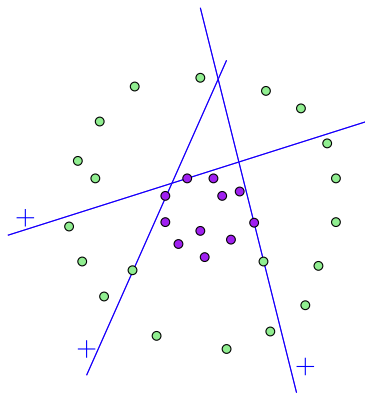


- taking one point from each color (point set) forms a **colorful** set
- a **hyperplane** is incident to a **set**

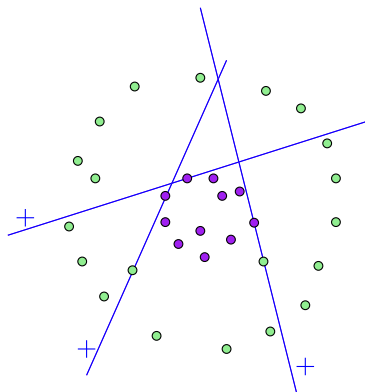


- taking one point from each color (point set) forms a **colorful** set
- a **hyperplane** is incident to a **set**
- only interested in **hyperplanes** (=sets) as solutions
- easy to define orientation

Conditions (i)

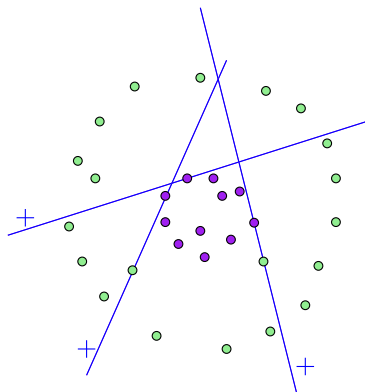


Conditions (i)



- the sets P_1, \dots, P_d must be *well-separated*
- disjoint index sets $I, J \subset [d]$, $\text{conv}(\{\cup_{i \in I} P_i\}) \cap \text{conv}(\{\cup_{j \in J} P_j\}) = \emptyset$

Conditions (i)

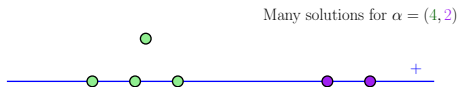


- the sets P_1, \dots, P_d must be *well-separated*
- disjoint index sets $I, J \subset [d]$, $\text{conv}(\{U_{i \in I} P_i\}) \cap \text{conv}(\{U_{j \in J} P_j\}) = \emptyset$
- well-separation is expensive to verify
- **short certificate** of violation: $I, J \subset [d] \dots$

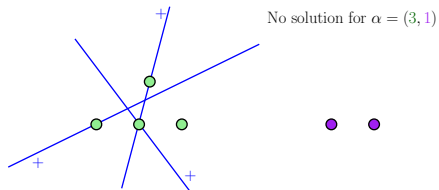
Conditions (ii)



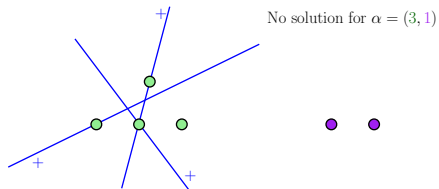
Conditions (ii)



Conditions (ii)

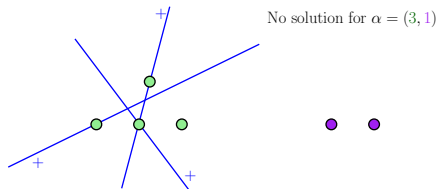


Conditions (ii)



- P_1, \dots, P_d must be in *general position (weak)*

Conditions (ii)



- P_1, \dots, P_d must be in *general position (weak)*
- weak general position is also expensive to verify
- **short certificate** of violation: a set of $(d + 1)$ points (of d colors) of affine dimension $d - 1$

Theorem (α -Ham-Sandwich Theorem, [Steiger and Zhao, 2010])

Let $P_1, \dots, P_d \subset \mathbb{R}^d$ be well-separated point sets in weak general position. Let $\alpha = (\alpha_1, \dots, \alpha_d)$ be any vector, where $\alpha_i \in [n_i]$ for all $i \in [d]$. Then there is a **unique oriented hyperplane** H such that $|H^+ \cap P_i| = \alpha_i$ for all i .

Theorem (α -Ham-Sandwich Theorem, [Steiger and Zhao, 2010])

Let $P_1, \dots, P_d \subset \mathbb{R}^d$ be well-separated point sets in weak general position. Let $\alpha = (\alpha_1, \dots, \alpha_d)$ be any vector, where $\alpha_i \in [n_i]$ for all $i \in [d]$. Then there is a **unique oriented hyperplane** H such that $|H^+ \cap P_i| = \alpha_i$ for all i .

- there are $n_1 \times n_2 \times \dots \times n_d$ **sets** (hence **hyperplanes**), and
- $n_1 \times n_2 \times \dots \times n_d$ choices of α -vector... hence, a bijection

Theorem (α -Ham-Sandwich Theorem, [Steiger and Zhao, 2010])

Let $P_1, \dots, P_d \subset \mathbb{R}^d$ be well-separated point sets in weak general position. Let $\alpha = (\alpha_1, \dots, \alpha_d)$ be any vector, where $\alpha_i \in [n_i]$ for all $i \in [d]$. Then there is a **unique oriented hyperplane** H such that $|H^+ \cap P_i| = \alpha_i$ for all i .

- there are $n_1 \times n_2 \times \dots \times n_d$ **sets** (hence **hyperplanes**), and
- $n_1 \times n_2 \times \dots \times n_d$ choices of α -vector... hence, a bijection

If well-separation or weak general position fails,

- for some α there is no solution, and
- for some α there are multiple solutions

An anomaly



An actual sandwich¹ is not well-separated... all cuts are not guaranteed

¹source:wikiHow

Complexity

- Steiger and Zhao gave a prune-and-search algorithm taking time $O\left(n(\log n)^{d-3}\right)$
- Bereg improved the pruning step; running time $n2^{O(d)}$

Complexity

- Steiger and Zhao gave a prune-and-search algorithm taking time $O\left(n(\log n)^{d-3}\right)$
- Bereg improved the pruning step; running time $n2^{O(d)}$

We study the computational complexity of this problem

- premise: "promise" of well-separation and weak general position
- effect: a solution exists for each α -vector
- convert it to a non-promise, total problem

Definition (Alpha-HS)

$$P = P_1 \cup \dots \cup P_d \text{ in } \mathbb{R}^d$$

$$\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{N}^d \text{ such that } \alpha_i \leq |P_i| \forall i \in [d]$$

Output: any of

G1 an $(\alpha_1, \dots, \alpha_d)$ -cut

GV1 a certificate of violation of weak general position

GV2 a certificate of violation of well-separation

Definition (Alpha-HS)

$$P = P_1 \cup \dots \cup P_d \text{ in } \mathbb{R}^d$$

$$\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{N}^d \text{ such that } \alpha_i \leq |P_i| \forall i \in [d]$$

Output: any of

G1 an $(\alpha_1, \dots, \alpha_d)$ -cut

GV1 a certificate of violation of weak general position

GV2 a certificate of violation of well-separation

- **G1** corresponds to the desired solution
- **GV1** and **GV2** are violations

Definition (Alpha-HS)

$$P = P_1 \cup \dots \cup P_d \text{ in } \mathbb{R}^d$$

$$\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{N}^d \text{ such that } \alpha_i \leq |P_i| \forall i \in [d]$$

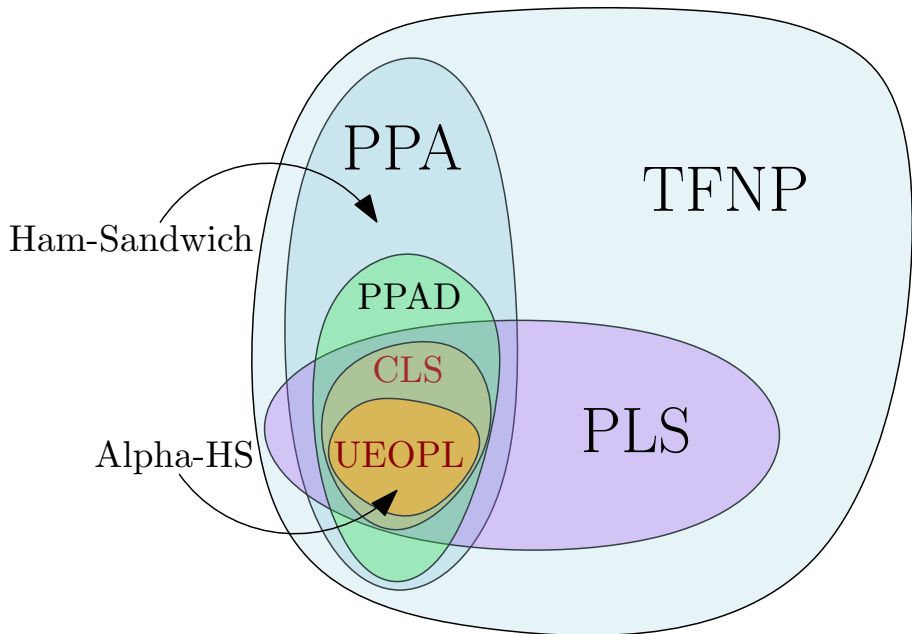
Output: any of

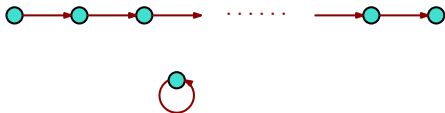
G1 an $(\alpha_1, \dots, \alpha_d)$ -cut

GV1 a certificate of violation of weak general position

GV2 a certificate of violation of well-separation

- **G1** corresponds to the desired solution
- **GV1** and **GV2** are violations
- **G1** is guaranteed if no violations are presented
- so ALPHA-HS is a total search problem, lying in TFNP
- we can do better...

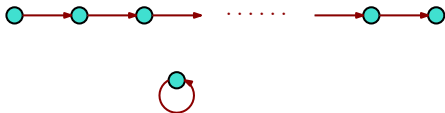




UNIQUEEOPL

Input: a description of a graph G , satisfying

Line

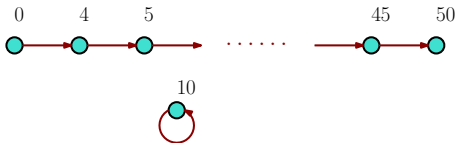


UNIQUEEOPPL

Input: a description of a graph G , satisfying

- each vertex has in-degree and out-degree at most one

Potential Line

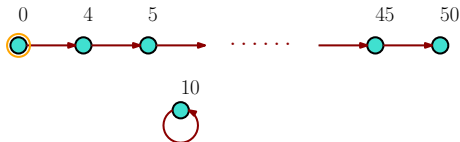


UNIQUEEOPL

Input: a description of a graph G , satisfying

- each vertex has in-degree and out-degree at most one
- each vertex has a potential...
- ...that increases strictly along the line

Potential Line

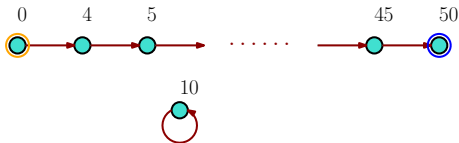


UNIQUEEOPPL

Input: a description of a graph G , satisfying

- each vertex has in-degree and out-degree at most one
- each vertex has a potential...
- ...that increases strictly along the line
- a vertex of in-degree 0 and out-degree 1 (**start of line**) is given

Unique End of Potential Line



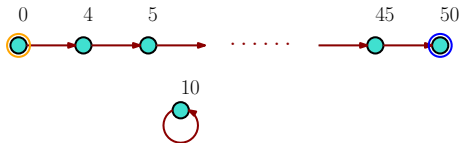
- goal: find an **end of line** (vertex of in-degree 1 and out-degree 0)

UNIQUEEOPL

Input: a description of a graph G , satisfying

- each vertex has in-degree and out-degree at most one
- each vertex has a potential...
- ...that increases strictly along the line
- a vertex of in-degree 0 and out-degree 1 (**start of line**) is given

Unique End of Potential Line



- goal: find an **end of line** (vertex of in-degree 1 and out-degree 0)

UNIQUEEOPL

Input: a description of a graph G , satisfying

- each vertex has in-degree and out-degree at most one
- each vertex has a potential...
- ...that increases strictly along the line
- a vertex of in-degree 0 and out-degree 1 (**start of line**) is given
- introduced by [Fearley, Gordon, Mehta, and Savani [ICALP 2019]]

- boolean circuits compute neighbors and potential
- circuits: n -bit input, $\text{poly}(n)$ gates, graph: 2^n vertices
- inefficient to verify behavior of circuits

UNIQUEEOPPL

- boolean circuits compute neighbors and potential
- circuits: n -bit input, $\text{poly}(n)$ gates, graph: 2^n vertices
- inefficient to verify behavior of circuits

Output:

U1 an end-of-line solution

UV violations

- boolean circuits compute neighbors and potential
- circuits: n -bit input, $\text{poly}(n)$ gates, graph: 2^n vertices
- inefficient to verify behavior of circuits

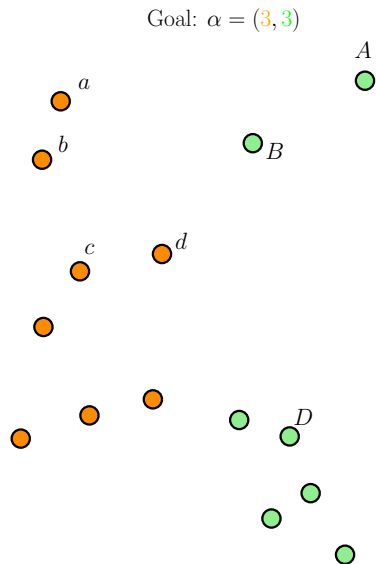
Output:

U1 an end-of-line solution

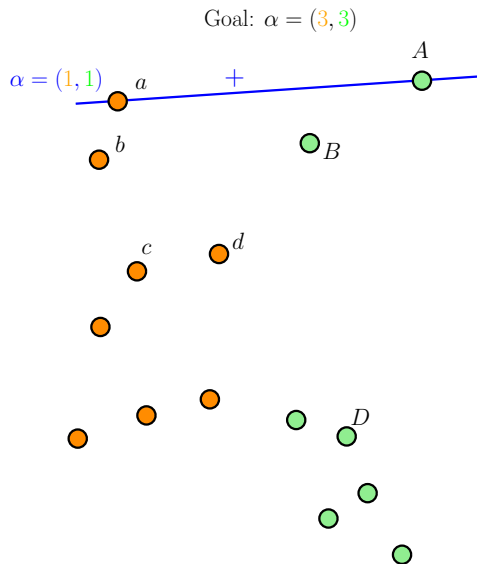
UV violations

- this formulation gives a total search problem
- defines class **UEOPL** \subset CLS (Continuous Local Search)
- fixed point of contraction map, P-matrix complementarity problem, unique sink orientation

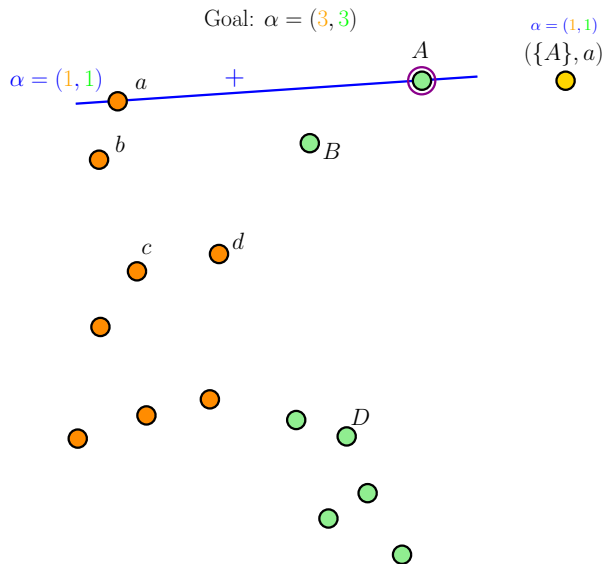
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



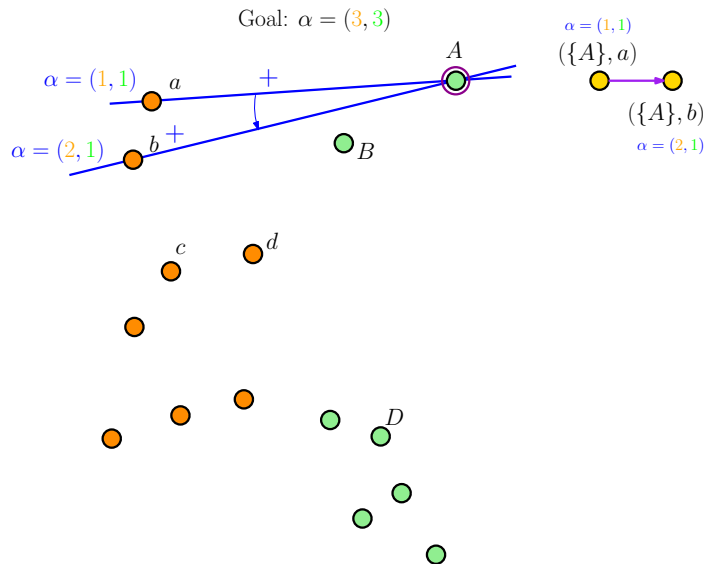
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



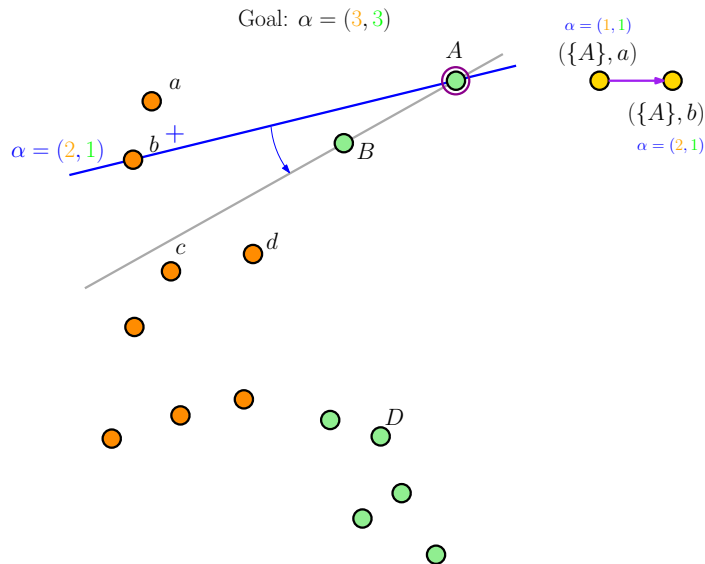
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



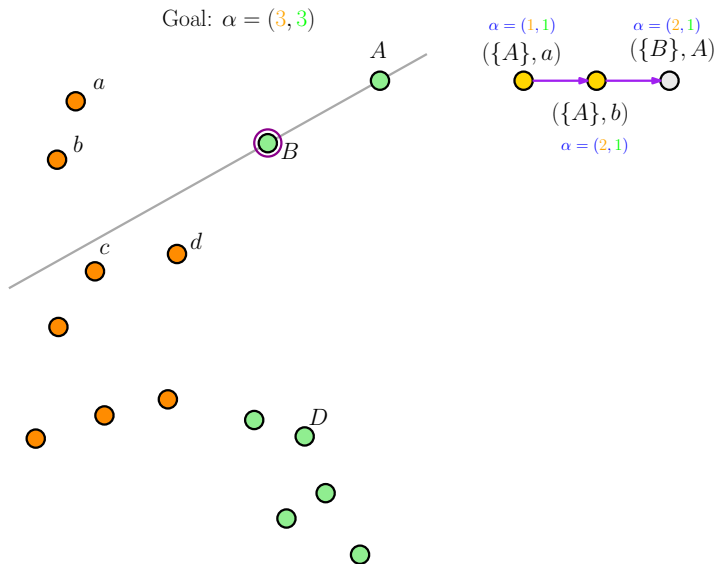
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



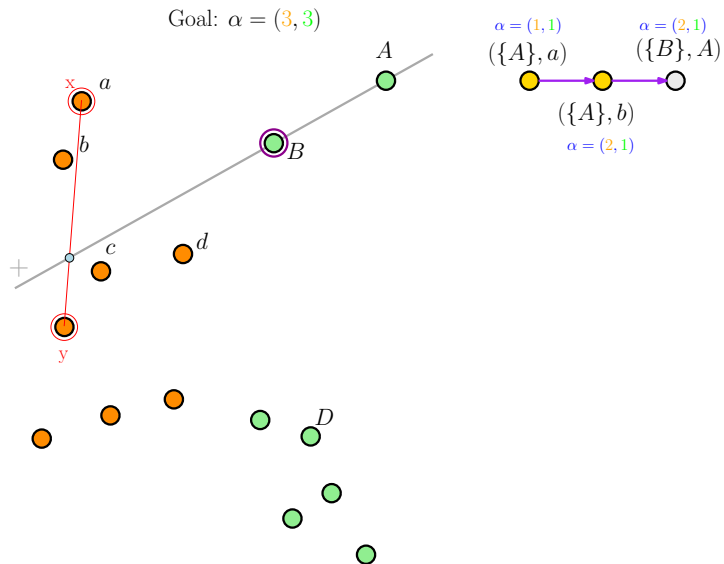
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$

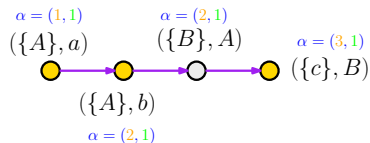
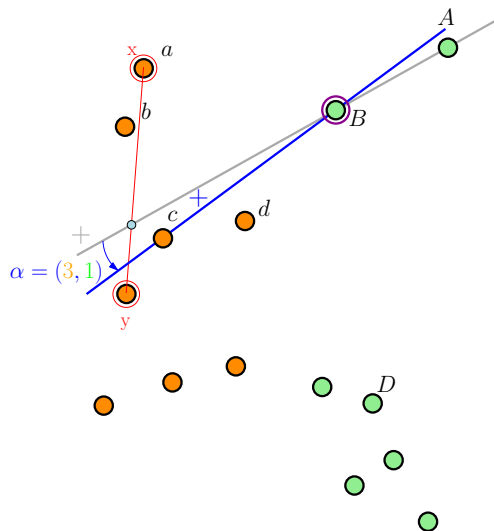


Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



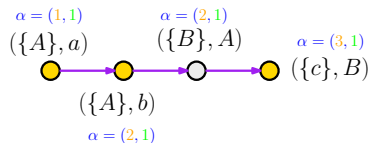
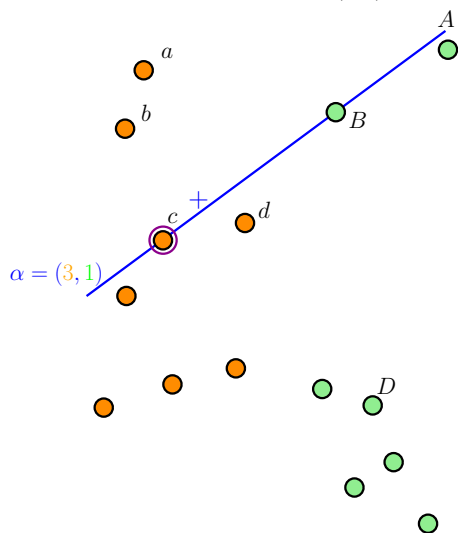
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$

Goal: $\alpha = (3, 3)$

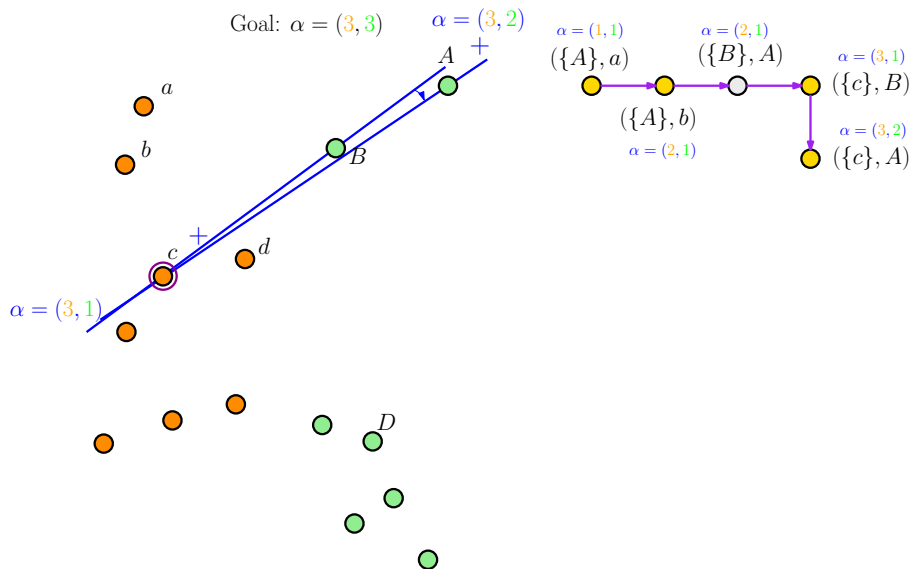


Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$

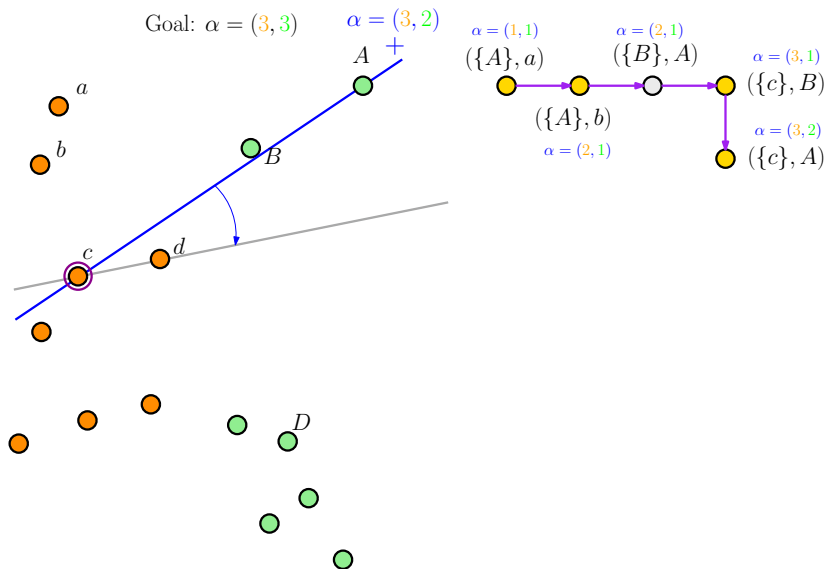
Goal: $\alpha = (3, 3)$



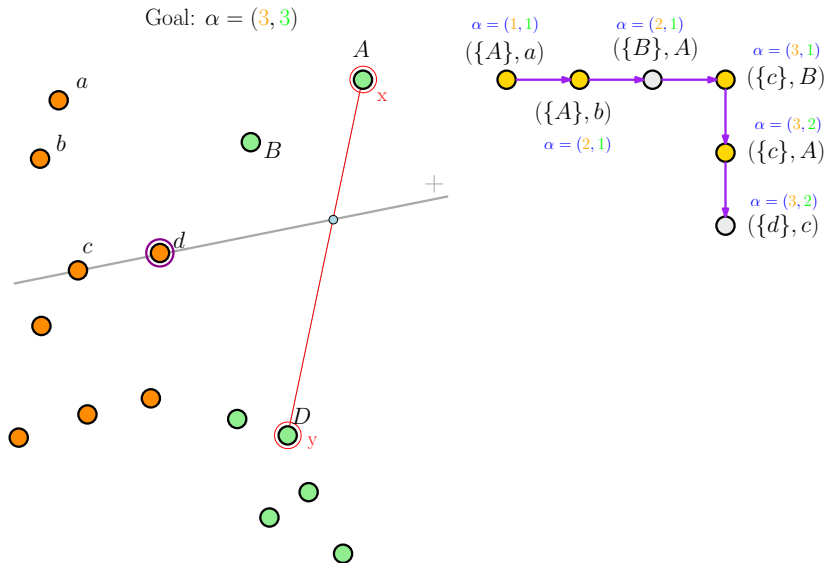
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



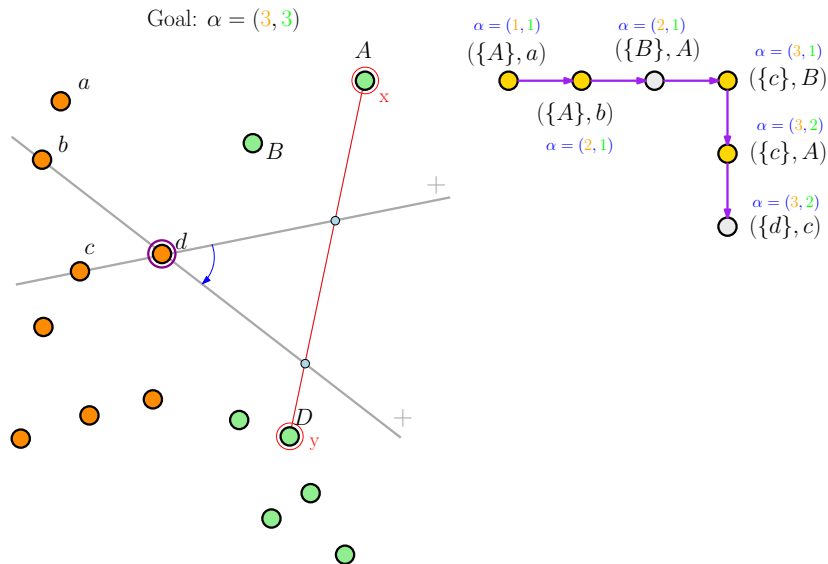
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



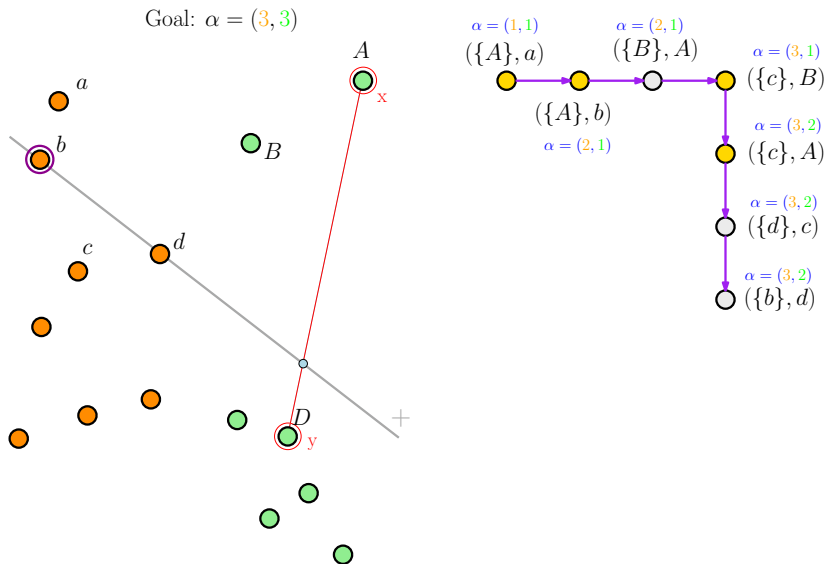
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



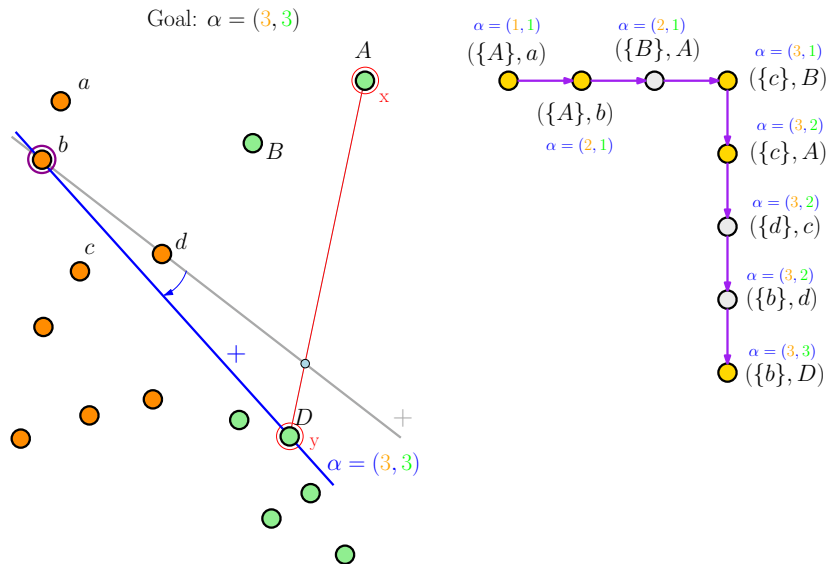
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



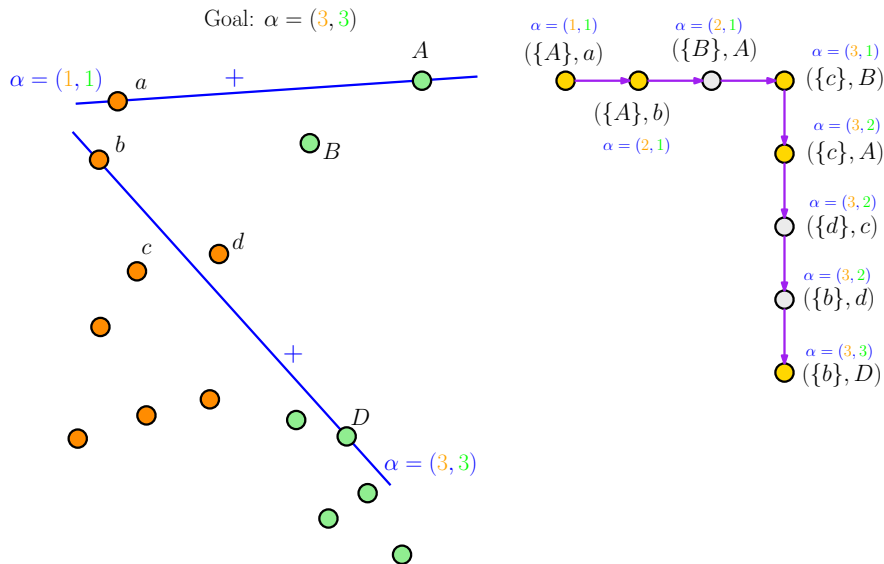
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



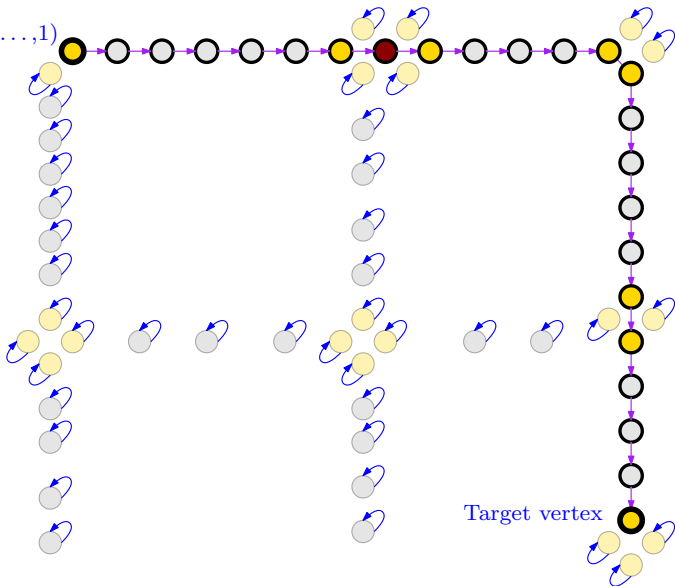
Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



Intuition behind $\text{ALPHA-HS} \leq_p \text{UNIQUEEOPL}$



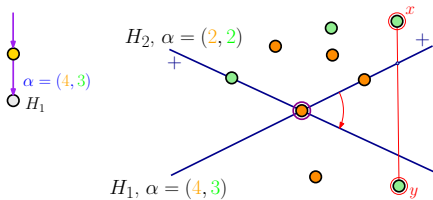
Vertex for $(1, \dots, 1)$



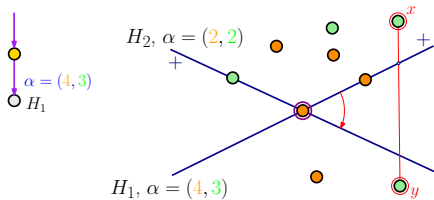
Target vertex

Graph **without** violations

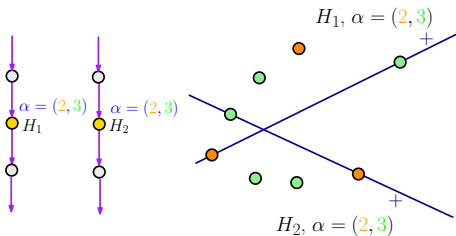
- **U1** with wrong α - a vertex on which the potential does not increase strictly



- **U1** with wrong α - a vertex on which the potential does not increase strictly



- **UV3**- certificate of multiple lines existing



Summary and outlook

- we show a poly-time reduction from ALPHA-HS to UNIQUEEOPL

$$\text{ALPHA-HS} \in \text{UEOPL}$$

Summary and outlook

- we show a poly-time reduction from ALPHA-HS to UNIQUEEOPL

$$\text{ALPHA-HS} \in \text{UEOPL}$$

- ALPHA-HS is weaker than HAM-SANDWICH

Summary and outlook

- we show a poly-time reduction from ALPHA-HS to UNIQUEEOPL

$$\text{ALPHA-HS} \in \text{UEOPL}$$

- ALPHA-HS is weaker than HAM-SANDWICH
- HAM-SANDWICH is PPA-complete...
- ...is ALPHA-HS hard for UEOPL?

Summary and outlook

- we show a poly-time reduction from ALPHA-HS to UNIQUEEOPL

ALPHA-HS \in UEOPPL

- ALPHA-HS is weaker than HAM-SANDWICH
- HAM-SANDWICH is PPA-complete...
- ...is ALPHA-HS hard for UEOPPL?
- ...is ALPHA-HS in P?