

# Constrained set-up of the tGAP structure for progressive vector data transfer

Jan-Henrik Haunert <sup>a,1</sup> — Arta Dilo <sup>b</sup> Peter van Oosterom <sup>b</sup>

<sup>a</sup>*Institut für Kartographie und Geoinformatik, Leibniz Universität Hannover,  
Appelstraße 9a, 30173 Hannover, Germany*

<sup>b</sup>*OTB, Section GIS-technology, Delft University of Technology,  
Jaffalaan 9, 2628 BX Delft, The Netherlands*

---

## 1 Abstract

2 A promising approach to submit a vector map from a server to a mobile client is to  
3 send a coarse representation first, which then is incrementally refined. We consider  
4 the problem of defining a sequence of such increments for polygons of different land  
5 cover classes in a planar partition. In order to submit well-generalised data sets, we  
6 propose a method of two steps: First, we create a generalised representation from a  
7 detailed data set, using an optimisation approach that satisfies certain cartographic  
8 constraints. Secondly, we define a sequence of basic merge and simplification opera-  
9 tions that transforms the most detailed data set gradually into the generalised data  
10 set. As each intermediate result defines an intermediate level of detail (LoD), we  
11 refer to this procedure as interpolation of LoDs. The obtained sequence of LoDs is  
12 stored without geometrical redundancy in the tGAP (topological Generalised Area  
13 Partitioning) structure, which is an existing data structure supporting progressive  
14 transfer of data. This structure and the algorithm for the interpolation of LoDs have

15 been implemented in an object-relational database and tested for land cover data  
16 from the official German topographic data set ATKIS at scale 1:50,000. Results  
17 of these tests allow to conclude that the data at lowest LoD and at intermediate  
18 LoDs is well generalised. Applying specialised heuristics the applied optimisation  
19 method copes with large data sets; the tGAP structure allows to efficiently query  
20 and retrieve a data set of an extent and at an LoD defined by the user.

21 *Key words:* progressive transfer, map generalisation, aggregation

---

## 22 **1 Introduction**

23 In recent years the Internet has become an important source of  
24 digital maps for mobile users. However, applications suffer from  
25 bandwidth limitations and restricting devices like small displays.  
26 Sending a large-scale map for each request is expensive and time  
27 consuming. From a user's perspective this is unsatisfactory if  
28 zoom and pan interactions are needed, for example, to first nav-  
29 igate to an area of interest. As this task does not require a map  
30 at highest resolution, it is reasonable to send less detailed maps  
31 first. In order to define these representations such that charac-  
32 teristic features are preserved, automatic generalisation methods  
33 are needed.

34 In this paper we discuss the generalisation problem in the context

35 of vector data sets for mobile users and focus on the generalisa-  
36 tion of polygons in a planar partition representing different land  
37 cover types. This data model is commonly used for topographic  
38 databases. Generalising such data requires operators for aggre-  
39 gation, collapse and line simplification. In order to explain our  
40 motivation and general approach, we first concentrate on the ag-  
41 gregation task; however, we also consider collapse of areas to lines  
42 and line simplification in our approach.

43 Often minimal allowed sizes are defined for polygons at a certain  
44 level of detail (LoD), thus generalisation requires to aggregate  
45 the polygons in the original data set to satisfy size constraints  
46 for a target LoD. An existing approach for this problem is to it-  
47 eratively select the smallest polygon in the data set and to merge  
48 it with its most compatible neighbour until all polygons satisfy  
49 the defined thresholds. In fact this procedure does not only yield  
50 a data set at a single output LoD, but, in each iteration, also  
51 defines an intermediate result. Due to this characteristic, the al-  
52 gorithm has earlier been applied to set up a data structure for  
53 progressive data submission: When zooming in, the merge oper-  
54 ations simply need to be inverted, in order to gradually refine  
55 the data set. We have earlier developed the tGAP (topological

56 Generalised Area Partitioning) data structure to store the results  
57 of this simple generalisation procedure (van Oosterom, 2005); it  
58 allows to progressively submit a data set by sending data at a low  
59 LoD first and refining the data set by sending increments. We ap-  
60 ply the tGAP structure also in this paper; however, we propose  
61 a new approach to define the sequence of generalisation steps, in  
62 order to improve the quality of generalisation at low LoDs.

63 Recent research on map generalisation has shown that constraint-  
64 and optimisation-based approaches are more flexible and pro-  
65 vide better results than classical rule-based approaches, in which  
66 conditions are bound to predefined actions (Harrie and Weibel,  
67 2007). In view of this, we developed a method for the general-  
68 isation of land cover data based on mixed-integer programming  
69 (Haurert and Wolff, 2006), which is a technique for constrained  
70 combinatorial optimisation. Figure 1 illustrates the advantages  
71 of our method compared to the simple iterative merging proce-  
72 dure. Figure 1(a) shows a sample from the german topographic  
73 database ATKIS DLM50, which contains the same amount of de-  
74 tails as a topographic map at scale 1:50,000. The sample contains  
75 a settlement that is fragmented into several small, non-adjacent  
76 polygons. We generalised this data set to satisfy constraints de-

77 fined for the database ATKIS DLM250, which corresponds to  
 78 scale 1:250,000. Figure 1(b) shows the result of iteratively merg-  
 79 ing polygons with their most compatible neighbours, only taking  
 80 local compatibility measures into account. In this case the settle-  
 81 ment is lost, as the small fragments are merged with the adjacent  
 82 forest polygons. Instead, our optimisation method globally min-  
 83 imises the change of land cover classes. The result is shown in  
 84 Fig. 1(c): the settlement is kept. We give a more detailed expla-  
 85 nation of our optimisation approach in Sect. 3.1.2.

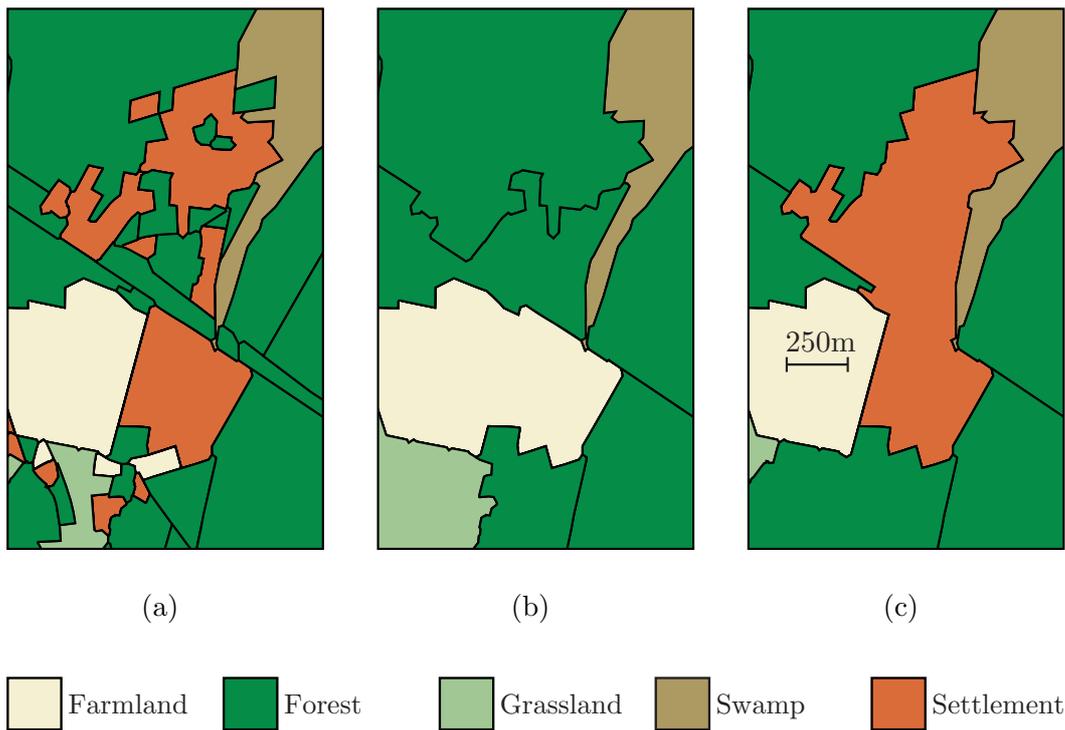


Fig. 1. Comparison of two aggregation methods: (a) Input data set ATKIS DLM50, (b) result of iterative merging, (c) result by optimisation; both results satisfy size constraints defined for the ATKIS DLM250.

86 In contrast to the iterative merging procedure, optimisation meth-  
87 ods for map generalisation normally generate data sets at a single  
88 target LoD, that is, they do not define a sequence of data sets  
89 that could be used for gradual refinement. Our ambition is to  
90 combine the benefits of both approaches: we still wish to provide  
91 the data in a hierarchical structure that allows a gradual refine-  
92 ment when zooming in, but would like to be more free in choosing  
93 the method to produce representations at low LoDs; in particular,  
94 we would like to apply our existing optimisation method. In order  
95 to achieve both, we suggest to set up the tGAP structure with  
96 two representations at different LoDs: the most detailed data set  
97 and a generalised data set, which, for example, can be obtained  
98 with our optimisation method. With this input, the iterative al-  
99 gorithm can be controlled to meet the given result or, from a  
100 different view, it can be used to interpolate intermediate LoDs.  
101 We refer to the obtained structure as *constrained tGAP structure*  
102 explained in Section 3. Before introducing this new approach, we  
103 review related work of other researchers.

## 104 2 Related work

### 105 2.1 Map generalisation

106 Map generalisation is the process of deriving a map of smaller  
107 scale from a given map. This task is closely related to the defini-  
108 tion of cartographic constraints (Beard (1991), Weibel and Dut-  
109 ton (1998)). Violated constraints are commonly referred to as  
110 conflicts that need to be resolved by generalisation operators,  
111 for example, areas that are too small to be represented in a cer-  
112 tain scale need to be aggregated or collapsed (Bader and Weibel,  
113 1997). We distinguish hard and soft constraints: While hard con-  
114 straints need to be ensured in any case, soft constraints are often  
115 contradicting, thus only satisfiable to a certain degree. Conse-  
116 quently, map generalisation is often expressed as optimisation  
117 problem, aiming to satisfy soft constraints as much as possible.  
118 The optimisation is often done by application of meta-heuristics  
119 such as hill-climbing and simulated annealing (Ware and Jones,  
120 1998). In recent years, the application of multi-agent systems has  
121 become a mainstream approach (Barrault et al., 2001). This al-  
122 lows to express constraints on map objects and groups of map  
123 objects in a generic way. Galanda (2003) discusses this approach

124 in the context of polygon maps, using a hill-climbing strategy  
125 for optimisation. Researchers in the field of computational ge-  
126 ometry have proposed global and deterministic optimisation ap-  
127 proaches, for example, to solve the line simplification problem  
128 (de Berg et al., 1998). Often specialised heuristics are needed to  
129 find efficient algorithms. We formalised the aggregation task in  
130 map generalisation as optimisation problem and also proposed  
131 a deterministic approach, which is based on mixed-integer pro-  
132 gramming (Haunert and Wolff, 2006). The iterative aggregation  
133 algorithm that we discussed in Sect. 1 has been applied in differ-  
134 ent versions by other authors, for example, Jaakkola (1997) use a  
135 similar algorithm for the generalisation of raster data with land-  
136 cover information. van Smaalen (2003) as well as Cheng and Li  
137 (2006) discuss criteria that need to be considered for automated  
138 aggregation. According to Timpf (1998) aggregation is the most  
139 common type of hierarchy occurring in map series.

## 140 *2.2 Progressive transfer of vector data*

141 The idea of gradually refining low-resolution vector data in mo-  
142 bile applications has been discussed by several researchers. The  
143 refinement of terrain models in computer graphics is presented

144 by De Floriani et al. (2000). Brenner and Sester (2005) present  
145 a method to gradually refine building ground plans. As in our  
146 first method by iterative aggregation, sequences of refinement in-  
147 crements result from inverted sequences of simplification steps.  
148 Bertolotto and Egenhofer (2001) and Follin et al. (2005a) gen-  
149 erally express differences between different given vector maps by  
150 atomic generalisation and refinement operators. These include  
151 the merge operation of two areas, which is sufficient to model  
152 the differences in the example of Fig. 1(a) and (c). However, we  
153 need to define an appropriate sequence of such pairwise merges,  
154 as we intend to also show intermediate results. Methods for the  
155 definition of intermediate representations between two scales are  
156 proposed by Cecconi (2003) and Merrick et al. (2007). Both are  
157 based on morphing algorithms between polygonal lines that allow  
158 a smooth animation when zooming in or out. Also the method of  
159 Brenner and Sester (2005) includes a morphing technique to give  
160 an impression of a continuous process, which is referred to as con-  
161 tinuous generalisation. However, these morphing techniques are  
162 not used to provide a gradual transformation between two given  
163 maps that would allow a progressive refinement. We do not con-  
164 sider the problem of animating discrete steps in a smooth way,

165 thus avoid the term continuous generalisation.

### 166 3 Map generalisation approach for defining a sequence of LoDs

167 Our basic assumption is that we are given an algorithm for the  
168 classical map generalisation problem, that is, for a given input  
169 data set we can produce a data set at any reduced LoD by ap-  
170 propriately setting the parameters of the algorithm. We can apply  
171 our optimisation approach for this task or any other generalisa-  
172 tion method available. Figure 2 illustrates three different ideas to  
173 generate a sequence of LoDs by applying such an algorithm.

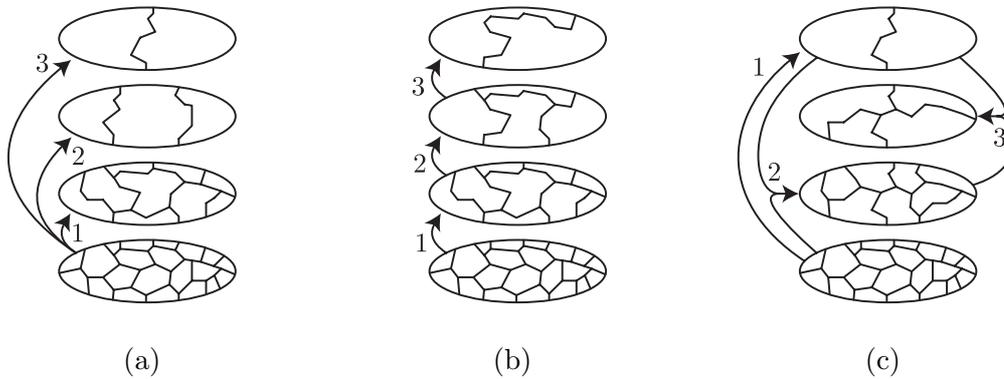


Fig. 2. Approaches to create a sequence of LoDs: (a) generalisation from a single source data set; (b) successive generalisation; (c) interpolation between two data sets.

174 In Fig. 2(a) the most detailed data set is used as input for the  
175 algorithm to generate all levels of the sequence. Though each  
176 single data set is well generalised, the obtained sequence of data

177 sets does not conform to the idea of gradual refinement: a single  
178 step in the sequence can imply large changes in the data set.

179 An alternative approach is to generate the sequence of LoDs in  
180 small steps, in each step using the previously generated data set  
181 as input for the generalisation algorithm (Fig. 2(b)). Though this  
182 iterative approach leads to a sequence of relatively small changes  
183 between two consecutive LoDs, it entails the risk of generating  
184 unsatisfactory results at low LoDs. In particular, this iterative  
185 approach does not allow to optimise global quality measures, for  
186 example, to minimise changes of land cover classes between the  
187 highest LoD and the lowest LoD.

188 Figure 2(c) shows a third approach, which we propose to over-  
189 come the disadvantages of both other methods: We first create  
190 the lowest LoD and then define a sequence of intermediate repre-  
191 sentations (Fig. 2(c)). Using our optimisation method for the first  
192 step, we can ensure a well-generalised data set at lowest LoD. In  
193 order to define the intermediate LoDs, we can apply a slightly  
194 modified version of the iterative algorithm that we have earlier  
195 used to set up the tGAP structure. We explain both parts of our  
196 method in the remainder of this section.

198 Our generalisation method for deriving a data set at a low LoD  
199 comprises three generalisation operators that we apply in succes-  
200 sion: A skeletonisation method that collapses narrow polygons  
201 and polygon parts to lines (Haunert and Sester, 2008), an op-  
202 timisation method that aggregates polygons to satisfy size con-  
203 straints (Haunert and Wolff, 2006), and an optimisation method  
204 for line simplification according to de Berg et al. (1998). Com-  
205 pared to existing generalisation methods, the proposed workflow  
206 implies improvements in terms of quality, which are mainly due  
207 to the application of optimisation techniques for aggregation. We  
208 first present an overview on the applied generalisation workflow  
209 and then give a more detailed presentation of the aggregation  
210 method.

### 211 *3.1.1 Applied generalisation operators*

212 Figure 3 shows a sample from the input data set after applying  
213 the procedures for collapse, aggregation, and line simplification.

214 Comparing Figures 3 (a) and (b) we observe that the river poly-  
215 gon (blue) in the upper right corner of Fig. 3(a) collapses. This



Fig. 3. Applied generalisation steps: (a) original map , (b) after collapse by skeletons, (c) after aggregation, (d) after line simplification.

216 is because the polygon's width is lower than 50m – a threshold  
 217 that we defined according to the resolution of a map at scale  
 218 1:250,000. Our procedure, which is based on straight skeletons,  
 219 also allows to collapse polygon parts, for example, the narrow

220 connection between the main body of the large settlement (red)  
221 and the small annex on its left side. Bader and Weibel (1997) pre-  
222 sented a similar collapse procedure, which uses a skeleton based  
223 on a triangulation of a polygon.

224 Aggregation is necessary to satisfy size constraints defined for  
225 the target LoD, which are given in our case with the specifica-  
226 tions of the ATKIS DLM250. In contrast to existing methods our  
227 approach is not based on iterative merging of pairs of polygons.  
228 Instead, we solve the problem by optimisation, aiming to keep  
229 class changes small and to create geometrically compact compos-  
230 ite polygons while satisfying hard size constraints. Figure 3 (c)  
231 shows the result of this method. Though the settlements in Fig. 3  
232 (b) do not have sufficient size for the target LoD, a settlement of  
233 sufficient size is created by including adjacent forest areas; this  
234 leads to a solution of little class changes and compact shapes.

235 Finally, we adapt the line geometries to the target LoD. To  
236 solve this task we implemented a line simplification algorithm  
237 of de Berg et al. (1998) that defines the simplified line by a sub-  
238 sequence of the original line vertices. The method ensures the  
239 bandwidth criterion, that is, for each vertex of the original line  
240 the distance to the simplified line must not exceed a user-defined

241 tolerance. Furthermore, the method ensures the simplicity of the  
242 planar partition. Subject to these hard constraints the number of  
243 vertices in the simplified line is minimised.

### 244 *3.1.2 Aggregation by optimisation*

245 We earlier presented our optimisation approach to area aggre-  
246 gation in map generalisation and proved that the problem is  
247 NP-hard (Haunert and Wolff, 2006). Due to the absence of ef-  
248 ficient exact algorithms, we solved the problem by mixed-integer  
249 programming and specialised heuristics. In particular, we intro-  
250 duced a heuristic that allows to decompose arbitrarily large data  
251 sets into multiple instances of manageable size (Haunert, 2007a).  
252 The results presented in this paper were generated with this ap-  
253 proach. However, for the set-up of the tGAP structure with two  
254 data sets of different LoD, we do not require the application of a  
255 specific optimisation technique, for example, we could also apply  
256 meta-heuristics like simulated annealing, which are common in  
257 map generalisation (Ware and Jones, 1998). Therefore, we only  
258 review the problem definition, including the defined constraints  
259 and optimisation criteria. For this we choose a graph-theoretical  
260 notation.

261 We are given a planar graph  $G(V, E)$ , with  $V$  containing a node  
 262 for each polygon in the original data set and  $E$  containing an  
 263 edge for each two polygons that share a common boundary. We  
 264 represent the sizes of polygons by weights  $w : V \rightarrow \mathbb{R}^+$  and their  
 265 land cover classes by  $\gamma : V \rightarrow \Gamma$ , with  $\Gamma$  being the set of all  
 266 classes. In order to represent minimal allowed sizes for polygons  
 267 in the target LoD, we introduce the function  $\theta : \Gamma \rightarrow \mathbb{R}^+$ , that  
 268 is, we allow for different thresholds for different classes. We aim  
 269 to partition  $V$  into mutually disjoint sets  $V_1 \cup \dots \cup V_k = V$ . Ad-  
 270 ditionally, we aim to find land cover classes  $\gamma'_1, \dots, \gamma'_k \in \Gamma$ . Note  
 271 that we do not assume that the number  $k$  is given in advance.  
 272 An object in the target scale is defined by a pair  $(V_i, \gamma'_i)$ . For each  
 273 such pair we introduce the requirements (hard constraints) that

- 274 (1)  $V_i$  has weight at least  $\theta(\gamma'_i)$ ,
- 275 (2)  $V_i$  contains at least one node  $v$  with  $\gamma(v) = \gamma'_i$ ,
- 276 (3) the subgraph induced by  $V_i$  is connected.

277 We identify two objectives (soft constraints) for the optimisation  
 278 problem:

- 279 (1) Changes of land cover classes should be minimised.
- 280 (2) Composite objects should have maximally compact shapes.

281 In order to express the first objective, we define a cost that is  
 282 charged when changing a polygon of unit size from one class into  
 283 another. For this we introduce the cost function  $d : \Gamma^2 \rightarrow \mathbb{R}_0^+$ ,  
 284 whose values could be given explicitly by a quadratic matrix with  
 285  $|\Gamma| \times |\Gamma|$  elements. The function  $d$  can be seen as a semantic dis-  
 286 tance between classes, that is, it is preferred to change a class to  
 287 a semantically similar one; different authors have proposed meth-  
 288 ods to derive such measures from given data models (Rodríguez  
 289 and Egenhofer, 2004; Yaolin et al., 2002). With these distances,  
 290 we define the total cost for class change as

$$\sum_{i=1}^k \sum_{v \in V_i} w(v) \cdot d(\gamma(v), \gamma'_i). \quad (1)$$

291 To express the compactness of a shape, we tested two different  
 292 measures (Haunert, 2007b). The first approach is simply to min-  
 293 imise the boundary length of the partition, that is, we charge a  
 294 cost proportional to the perimeter of a composite region  $V_i \subseteq V$ :

$$c_p(V_i) = \text{perimeter}(V_i). \quad (2)$$

295 The second measure is defined as cost for a composite region  $V_i$   
 296 receiving class  $\gamma'_i \in \Gamma$ :

$$c_d(V_i, \gamma'_i) = \min \left\{ \sum_{v \in V_i} w(v) \cdot \delta(v, u) \mid u \in V_i \wedge \gamma(u) = \gamma'_i \right\}, \quad (3)$$

297 with  $\delta : V^2 \rightarrow \mathbb{R}_0^+$  being the Euclidean distance between the  
 298 centroids of two polygons. This means that one node  $u \in V_i$  with  
 299 unchanged class is selected as a reference point and, for each  
 300 node  $v \in V_i$ , a cost is charged, which is equal to the product of  
 301 the size of  $v$  and its distance to  $u$ . As a composite region might  
 302 contain more than one node with unchanged class, we select the  
 303 reference point among them, such that the cost is minimal. We  
 304 refer to such a node as *centre*. Figure 4 illustrates this measure.

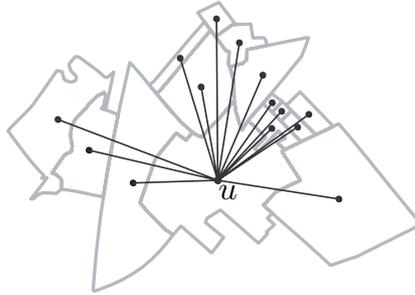


Fig. 4. Compactness according to Equation (3). Node  $u$  is selected as centre.

305 In order to define the trade-off between these criteria, we combine  
 306 the terms for class change, boundary length and distances to  
 307 centres in a weighted sum, that is, we minimise

$$\begin{aligned}
 & s \cdot \sum_{i=1}^k \sum_{v \in V_i} w(v) \cdot d(\gamma(v), \gamma'_i) \\
 & + (s - 1) \cdot \sum_{i=1}^k \left[ s' \cdot c_p(V_i) + (s' - 1) \cdot c_d(V_i, \gamma'_i) \right],
 \end{aligned} \tag{4}$$

308 with weight factors  $s, s' \in [0, 1]$ .

309 Applying our method with this cost function and size constraints

310 for the ATKIS DLM250, we automatically generalised a data set  
311 of the ATKIS DLM50. This has an extent of  $22 \text{ km} \times 22 \text{ km}$ ,  
312 which corresponds to a complete sheet of a map at scale 1:50,000.  
313 The processing took 82 minutes. Compared to the iterative merg-  
314 ing procedure we reduced the costs for class change by 20%, the  
315 costs for non-compact shapes by 2%, and the overall costs by  
316 8%. We conclude that, applying the developed heuristics, our ap-  
317 proach yields high-quality results in modest time. For a more  
318 detailed discussion of these tests we refer to our earlier publica-  
319 tion (Haunert, 2007a).

### 320 *3.2 Generalisation method for intermediate LoDs*

321 In order to define data sets at intermediate LoDs, we aim to  
322 find a gradual transformation of the data set at highest LoD into  
323 the given generalisation result from Sect. 3.1.2. We say that a  
324 polygon  $a$  is assigned to a polygon  $b$ , meaning that  $a$  is removed  
325 from the current data set and the new shape of  $b$  becomes the  
326 union of both shapes; the class of  $b$  is not changed. Formally, this  
327 merge operation is represented by the sorted pair  $(a, b)$ . We seek  
328 a sequence of such pairs that yields our generalised data set.

329 To guarantee that such a sequence exists, we first assure many-to-

330 one relationships between the polygons in both data sets. Since  
331 we applied a collapse operator in our generalisation workflow, this  
332 condition is not met: some polygons were decomposed into mul-  
333 tiple parts, different parts were potentially merged with different  
334 neighbours. Therefore, we need to expect many-to-many relation-  
335 ships between polygons at different LoDs. In other words, the  
336 generalised data set contains boundaries that were not present in  
337 the input data set. In order to ensure many-to-one relationships,  
338 we add the additional boundaries in the generalised data set to  
339 the data set at highest LoD, that is, we perform a map overlay  
340 between the input data set and the data set obtained from the  
341 aggregation method. Now there is a sequence of pairwise merges  
342 that transforms the input data set into the generalised one. Usu-  
343 ally, we have multiple possibilities to define such a sequence.

344 Our approach to define the sequence of merge operations is simi-  
345 lar to the original iterative algorithm. In contrast, when selecting  
346 the most compatible neighbour of a polygon, we restrict the set  
347 of candidates to polygons in the same composite region. We say  
348 that a polygon is active if it still has a neighbour that, in the  
349 given result, is contained in the same composite region. For each  
350 composite region we define a polygon of unchanged class accord-

351 ing to Equation 3 as centre. The following three requirements  
352 ensure that a sequence transforms the input map into the given  
353 aggregation result:

- 354 • The sequence must not be terminated, if there is an active  
355 polygon.
- 356 • A polygon can only be assigned to neighbours in the same  
357 composite region.
- 358 • A centre must not be assigned to another polygon.

359 According to our idea of gradual refinement, we also require that,  
360 in each step, the least important active polygon  $i$  becomes merged  
361 with a neighbour  $j$ . If  $i$  is not a centre, we assign  $i$  to  $j$ , else, to  
362 avoid a contradiction with the third requirement, we assign  $j$  to  
363  $i$ . According to the objective in Equation 4 we define  $\text{Cost}(a, b)$   
364 to be the cost of the resulting map when assigning  $a$  to  $b$ . The  
365 algorithm in Algorithm 1 specifies the approach. In Line 2 the  
366 smallest active area of the data set is selected. Lines 5–6 and  
367 Lines 8–9 define the merge operations for the cases that  $a$  is a  
368 centre or not, respectively.

369 It remains to define intermediate degrees of simplification for  
370 lines. We denote a line at highest LoD by  $l_1$ , its vertices by  $V_1$ , and

---

**Algorithm 1** Generation of intermediate LoDs

---

```
1: while there is an active polygon do
2:    $a \leftarrow$  smallest active polygon
3:    $N \leftarrow$  neighbours of  $a$  in the same composite region
4:   if  $a$  is a centre then
5:      $b \leftarrow b' \in N$  with minimum  $\text{Cost}(b', a)$ 
6:     assign  $b$  to  $a$ .
7:   else
8:      $b \leftarrow b' \in N$  with minimum  $\text{Cost}(a, b')$ 
9:     assign  $a$  to  $b$ 
10:  end if
11: end while
```

---

371 the simplified line at lowest LoD by  $l_2$ , having vertices  $V_2 \subseteq V_1$ .

372 To define intermediate LoDs we split  $l_1$  into multiple lines, each

373 corresponding to a single line segment of  $l_2$ . Simplifying these

374 lines with parameters for the intermediate scale, we obtain a set

375 of vertices  $V$  such that  $V_1 \supseteq V \supseteq V_2$ , thus an intermediate repre-

376 sentation that allows a refinement by adding vertices when zoom-

377 ing from low to high LoD. We have applied this procedure on the

378 client side to produce the presented results, but also could store

379 the resulting hierarchy of vertices on the server side.

380 Using Algorithm 1 and the explained procedure for intermediate

381 line simplification levels, we obtain intermediate representations

382 as shown in Fig. 5. The sequence only implies small changes in

383 each step and terminates with the well-generalised data set ob-

384 tained from our optimisation method. In the next section we  
385 explain how to represent this sequence in the tGAP structure.

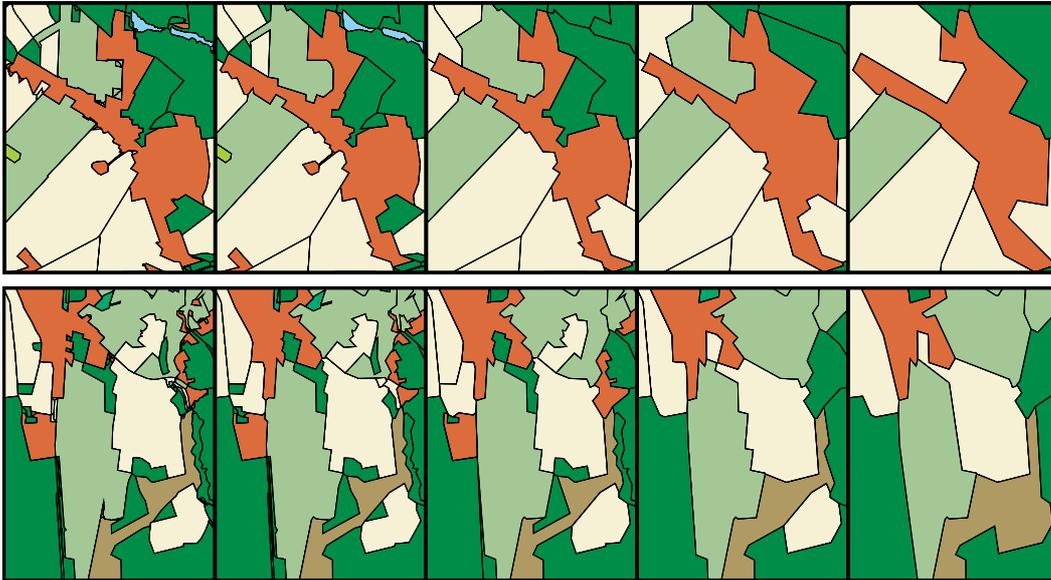


Fig. 5. Two examples processed with Algorithm 1. From left to right: Original map, found intermediate representations, and map generalised by optimisation.

#### 386 4 The tGAP structure

387 The tGAP structure is a collection of data structures that store  
388 the results of a generalisation performed in a preprocessing step.

389 The data structures support a vario-scale representation of a pla-  
390 nar partition without redundancy of geometry. Area features at  
391 the highest level of detail (LoD)<sup>\*</sup> are stored using a topological  
392 model. There is no redundancy of geometry in this level as the  
393 shared boundary edges between neighbour faces are stored only

<sup>\*</sup> We use the terms LoD and map scale interchangeably.

394 once. The generalisation process reduces the level of detail by  
 395 merging features (see Figure 6). For features created from gener-  
 396 alisation references are stored to the composing features of higher  
 397 detail level. The data structures provide the features to be shown  
 398 at any required LoD, thus enabling an on-the-fly generalisation  
 399 by feature selection.

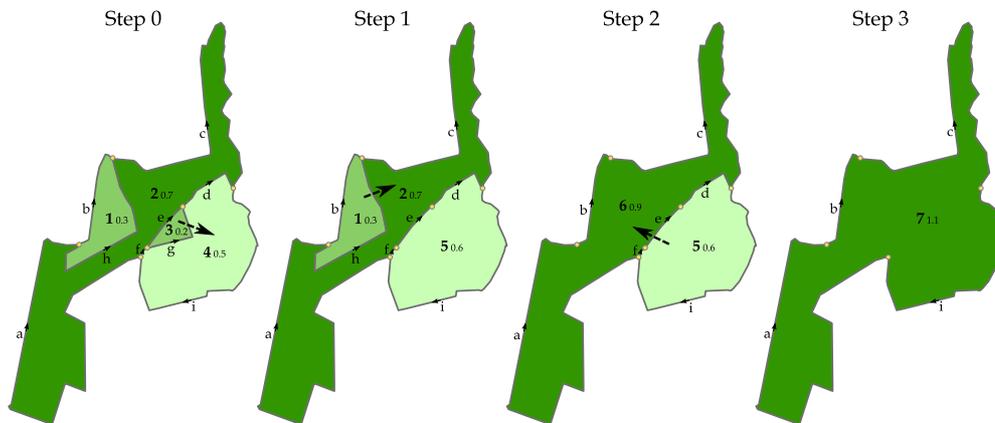


Fig. 6. Steps of the generalisation process for the partition shown at ‘Step 0’. Faces are numbered, and edges are labelled with letters. The subscript to a face number is its importance value.

400 The off-line generalisation that fills the tGAP is an iterative pro-  
 401 cess. Figure 6 illustrates the generalisation process for the planar  
 402 partition shown in ‘Step 0’; the other maps show the result of  
 403 each generalisation step. In each step, the least important area  
 404 feature is merged to its most compatible neighbour. A dashed  
 405 arrow shows the least important face for the current step, and its  
 406 most compatible neighbour (where the arrow is headed). In the

407 next step, the least important face is merged to its most compat-  
408 ible neighbour. The process continues until all is merged to one  
409 face.

#### 410 *4.0.1 Building tGAP structure*

411 The result of each generalisation step is again a planar partition,  
412 which is a collection of faces. A face is constructed by the set of  
413 edges that form its boundary. The collection of faces at a certain  
414 generalisation step determines the collection of edges that form  
415 the partition at this step. There is a last issue in the generalisa-  
416 tion process: boundary edges get simplified as the level of detail  
417 decreases. To capture the generalisation process we need to keep  
418 track of face merging, how this is reflected to boundary edges,  
419 and the simplification of edges. The data structures forming the  
420 tGAP take care of these three issues. The tGAP structure consists  
421 of a structure holding the hierarchy of faces, an edge forest that  
422 holds the corresponding relations between boundary edges, and  
423 BLG (Binary Line Generalisation) trees, for each edge one tree,  
424 which stores the result of the Douglas-Peucker algorithm (Dou-  
425 glas and Peucker, 1973) for line simplification. There is a trade-of  
426 decision between storing BLGs and do simplification from BLG

427 reading, or storing edges geometry and perform line simplification  
 428 online. Here we chose for online line simplification. For the com-  
 429 plete treatment of BLGs we refer the interested readers to (van  
 430 Oosterom and Schenkelaars, 1995; van Oosterom, 2005; Meijers,  
 431 2006).

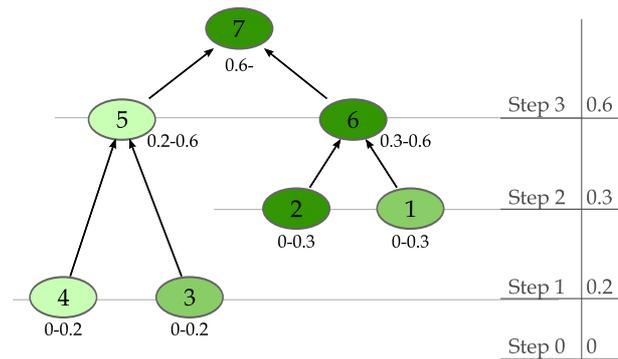


Fig. 7. The face tree corresponding to the generalisation of Figure 6. Nodes in the tree are faces, and lines depict merging of two faces into the parent face.

432 Generalisation starts with the original (i.e., highest LoD) faces.  
 433 A generalisation step merges two neighbour faces to a new one,  
 434 which continues further in the generalisation process. The new  
 435 face and the merged faces have a parent-child relation. The hier-  
 436 archy of faces created by this process is a tree. Leaf nodes are the  
 437 original faces, the root is the full map extent. Figure 7 shows the  
 438 face hierarchy created by the generalisation process of Figure 6.  
 439 In the right side of the tree are shown the steps performed to  
 440 create the tree, each step is associated with its importance value.

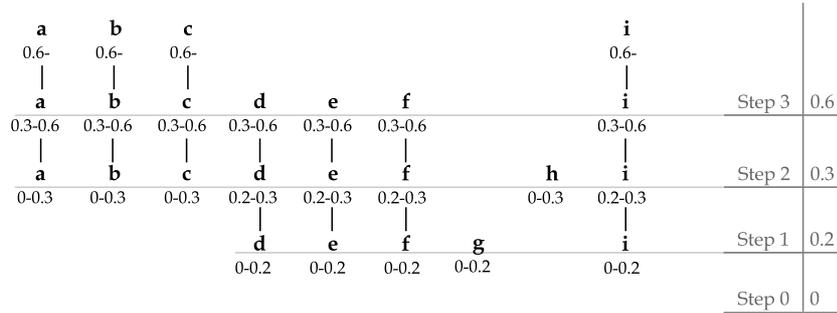


Fig. 8. The edge forest for the generalisation process of Figure 6.

441 We store faces using the left-right topology without edge refer-  
442 ences. This model stores the edge geometry (as a directed polyline  
443 with start and end node references), together with references to  
444 the left and right face of the edge. Each face is constructed from  
445 the list of edges that refer to it as a left or right face. That deter-  
446 mines the type of changes an edge undergoes in the generalisation  
447 process. An edge disappears if it is part of the common boundary  
448 of the two merged faces, e.g., edge ‘g’ in Step 1 (see Figure 6).  
449 The other edges may continue existing, but the left or right face  
450 of each edge is changed, e.g. edges ‘d’, ‘e’, ‘f’, and ‘i’ in Step 1. An  
451 edge takes the importance value from the importance of the step  
452 in which it changed. Figure 8 shows the complete edge hierarchy  
453 for the generalisation process of Figure 6. On the right side there  
454 are the steps at which changes occur, each step associated with  
455 its importance level.

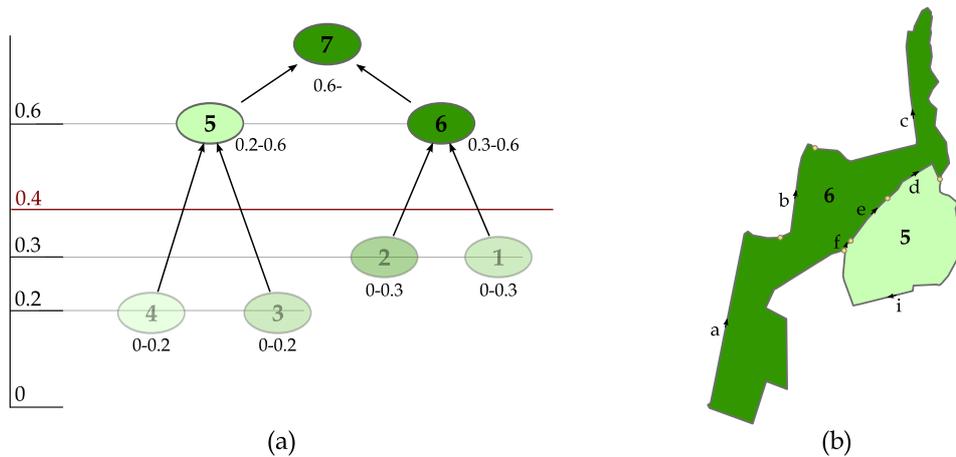


Fig. 9. Selection in the face tree: faces to appear at the importance value = 0.4.

457 After the tGAP structure is built, it can be used to select features  
 458 to be shown for a certain scale. A given map scale is translated to  
 459 importance value, which is used to select features. A face will be  
 460 shown if the given importance value is in the importance range  
 461 of the face. Figure 9 gives faces to be shown for an importance  
 462 value equal to 0.4. This importance value is in the range [0.3,  
 463 0.6) formed between steps 2 and 3. The map created from Step  
 464 2 is unchanged for values in this range. Faces to be shown are  
 465 the leaf nodes of the (sub)tree created by cutting all nodes with  
 466 importance values lower than 0.4; these are faces 5 and 6.

467 Edges to be shown at the importance value 0.4 are those that  
 468 include this value in their importance range. They are the bound-  
 469 aries of faces to be shown for that importance. Figure 10 shows

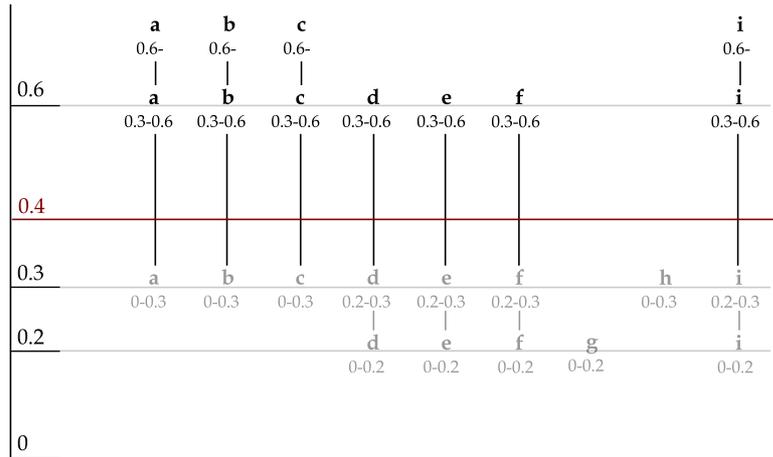


Fig. 10. Selection of edges to appear at the importance value = 0.4.

470 the edges to be displayed at the importance value 0.4. The edges  
 471 are the leaf nodes in the forest remained after cutting nodes with  
 472 importance less than 0.4.

#### 473 4.1 Implementation in Oracle Spatial

474 The constrained tGAP information is stored in Oracle Spatial.  
 475 The Oracle tables and their relationships are shown in Figure 11.  
 476 Arrows associating tables show foreign key relationships. Table  
 477 **Face** holds information about faces: the centroid needed from the  
 478 optimisation algorithm, the class to which it belongs, the small  
 479 scale aggregate region to which it is constrained, centre which  
 480 takes only values 1 and 0, 1 meaning the face is a centre, the  
 481 area size used to calculate the cost of merging, the importance  
 482 range, and its parent (in the face tree). Information about edges

483 is split in two tables: **EdgeGeo** that stores the geometry, its length  
 484 needed for cost calculation, and references to start and end node;  
 485 **EdgeLOD** that stores references to left, and right face as they  
 486 change during the generalisation (while the geometry remains  
 487 the same), and the corresponding importance ranges. **Node** table  
 488 stores the geometry of nodes. Table **ClassInfo** stores information  
 489 about classes: code as referred in **Face** table, name and descrip-  
 490 tion, as well as weight needed for calculation of importance values  
 491 of faces. Table **ClassCompatibility** stores the compatibility values  
 as **cost** of changing from the **from\_class** to the **to\_class**.

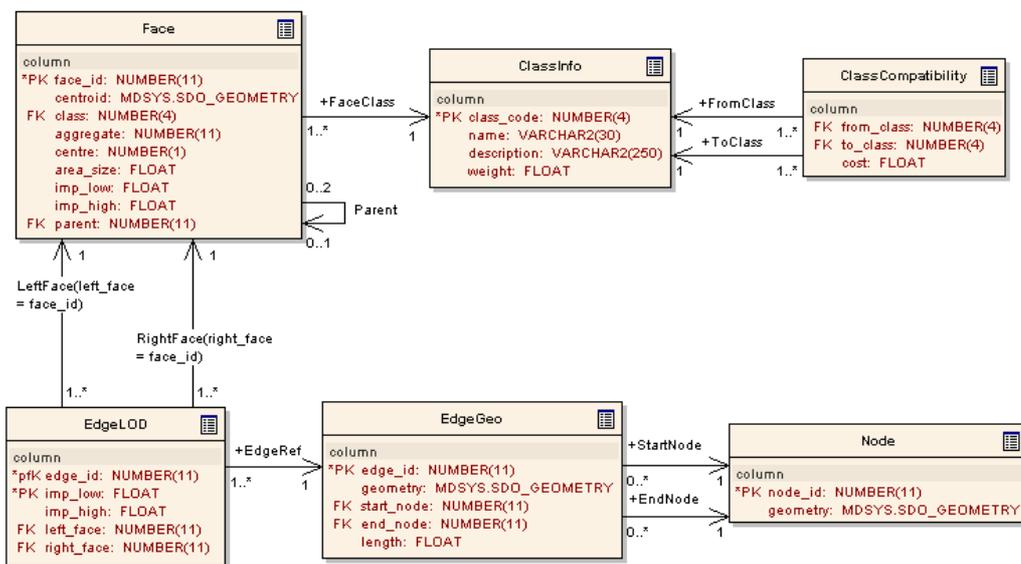


Fig. 11. UML diagram of tables and relationships that store the tGAP information in Oracle Spatial.

## 493 5 Progressive transfer

494 In our approach edges are sent progressively from the server to  
495 the client. Edge information sent by the server consists of edge ge-  
496 ometry, scale and topological information, i.e., importance range  
497 as well as references to left-right faces and start-end nodes. The  
498 server also sends thematic information about faces, but not their  
499 geometry. The client builds topology, i.e., creates geometry of  
500 faces, and visualises faces with their thematic information.

501 There are different situations in the server-client communication:  
502 the initial state, panning, zoom-in, and zoom-out operations. In  
503 all the situations the client provides a spatial extent and a scale  
504 to the server. The initial state is the first request from the client  
505 side. For an initial state requesting data, e.g., at the importance  
506 value 0.7, the SQL queries on the server are:

```
select g.edge_id, i.left_face, i.right_face,  
       g.start_node, g.end_node, g.geometry  
from EdgeLOD i, EdgeGeo g  
where i.edge_id = g.edge_id and  
       i.imp_low <= 0.7 and i.imp_high > 0.7  
order by i.imp_high desc;  
  
select face_id, class, region  
from Face
```

```
where imp_low <= 0.7 and imp_high > 0.7
order by imp_high desc;
```

507 The first query collects edge information, the second collects face  
508 information. Additionally, both queries should consider the spa-  
509 tial extent (in the where clause). Ordering by `imp_high` allows  
510 sending edges according to their importance, i.e., edges that are  
511 visible at smaller scales will be sent first. Queries for pan and  
512 zoom-out operations are similar to the above, the only difference  
513 being in the spatial extent request.

514 A zoom-in operation on the client side requires refinement of  
515 the already received information. Features that appear at larger  
516 scales have lower importance values (see Figures 9 and 10). This  
517 requires faces and edges whose importance is lower than the cur-  
518 rent importance. To get additional information, e.g., for the range  
519  $[0.2, 0.7[$ , we collect separately the geometry of edges that appear  
520 for the first time in this range, topological information for edges  
521 to appear at importance value 0.2 as well as face information  
522 for this last value. The first query below collects geometry of new  
523 edges, the second collects topology information of edges to appear  
524 at importance 0.2, and the third collects thematic information of  
525 faces.

```

select edge_id, start_node, end_node, geometry
from EdgeGeo
where edge_id in
    (select edge_id
     from EdgeLOD
     group by edge_id
     having min(imp_high) > 0.2 and max(imp_high) <= 0.7);

```

```

select edge_id, left_face, right_face
from EdgeLOD
where imp_low <= 0.2 and imp_high > 0.2;

```

```

select face_id, class, region
from Face
where imp_low <= 0.2 and imp_high > 0.2;

```

526 The client visualises edges as they come, but has to wait until all  
527 the information for the requested range is sent, then builds the  
528 topology of faces and visualises them.

529 We may send information in small packages, one package contain-  
530 ing the information about two faces merged in a step. We collect  
531 the information for each step with importance in the requested  
532 range. The importance values of all these steps are collected by :

```

select distinct(imp_high) as step_imp
from Face
where imp_high > 0.2 and imp_high <= 0.7
order by imp_high desc;

```

533 Information for one package is collected from similar queries with  
534 the above, replacing the ‘having’ condition in the first query with  
535 `max(imp_high) = step_imp`, and the ‘where’ condition in the last two  
536 queries with `imp_high = step_imp`. A drawback of this solution is  
537 that a lot of queries and requests have to be send from the client  
538 to the sever. First of all: this causes overhead, but perhaps more  
539 serious, due to network delays it is not sure that all answers  
540 are also received in the proper order. A specific communication  
541 channel supporting ‘streaming’ data has to be used. On the server  
542 side still the original queries are executed (including the order  
543 by), but before sending the query results to the client, smaller  
544 streaming packages are created. One package contains the used  
545 edges and the faces involved in a step of the tGAP structure  
546 creation: two faces are merged and at least one edge is removed.  
547 The streaming communication at the client side will also make  
548 sure that the packages are processed in the right order. In case  
549 a package is missing due to a delay, the client waits for it before  
550 processing others.

## 551 6 Future work

552 Our method is based on the assumption that the aggregation is  
553 the dominating relationship between features of two given data  
554 sets. Additional lines resulting from the collapse operation ex-  
555 plained in (Haunert and Sester, 2008) are simply included in the  
556 original (large scale) map. Using the proposed skeleton operator,  
557 the overhead is limited, but, if we applied more generalisation  
558 operators like displacement, exaggeration, and typification, this  
559 will result in more additional edges and faces. Instead of hav-  
560 ing the collapse operation (and other operations) only available  
561 as preprocessing operation, it might also be fully integrated in  
562 the tGAP structure. The effect of including the area-to-line col-  
563 lapse function is that the tGAP face-tree will become a tGAP  
564 face-DAG (directed acyclic graph) as the collapsed face will be  
565 partitioned and assigned to multiple parents. However, this will  
566 happen at most only once for every face (area object) and does  
567 fit well in the proposed table structure after a slight modification  
568 in change of cardinality of the parent attribute in the face table.

569 Until now we do not have empirical results concerning the in-  
570 clusion of additional operators. For the future, we plan to per-

571 form tests on a data set of realistic size. We also plan to test our  
572 method with two different settings for the line simplification. This  
573 can either be done by progressively sending the (stored) BLGs to  
574 the client or by sending the full edge geometry to the client and  
575 performing the line simplification on-the-fly.

576 As discussed at the end of Section 5, additional research is needed  
577 concerning the use of streaming protocols and the appropriate  
578 size of submitted packages.

## 579 **7 Conclusion**

580 We have presented a new approach to set up a data structure for  
581 the progressive submission of vector maps. Our idea is to first  
582 generalise the large scale map to a much smaller scale (of op-  
583 timised high quality) and, in a second step, to find a sequence  
584 of basic merge operations that enables a gradual transformation  
585 between both representations. Though we used a simple itera-  
586 tive algorithm for the second task, our approach ensures a well-  
587 generalised map at small scale; this is often needed for navigation  
588 tasks, e.g., to pan to the user’s area of interest.

589 We have shown how to cope with aggregation and line simplifica-

tion and suggested a simple way to also consider area to line (or  
point) collapse. Generally, we do not restrict to any certain gener-  
alisation method in the first preprocessing step. The main contri-  
bution of the paper is that it demonstrates an approach to have  
a good quality variable scale structure. The unconstrained tGAP  
structure may result in less quality medium and small scale repre-  
sentations. Using constraints, either computed (see Section 3.1.2)  
or from other medium/small scale source, will guarantee that the  
quality at the constraint scale is obtained (and that the quality at  
the intermediate scales is improved based on the conducted visual  
inspection). Besides the improved quality there are two important  
additional characteristics for the constrained tGAP structure: 1.  
it does not contain any geometric redundancy (and only minimal  
multiple representations of a feature; e.g. at most once for an  
area to line collapse) and 2. it does support progressive transfer  
of vector data to be used in smooth zoom functionality at the  
client side.

## References

Ai, T., Li, Z., Liu, Y., 2005. Developments in Spatial Data Handling. Springer, Berlin, Germany, Ch. Progressive Transmission

- of Vector Data Based on Changes Accumulation Model, pp. 85–96, in Proceedings of 11th International Symposium on Spatial Data Handling.
- Bader, M., Weibel, R., 1997. Detecting and resolving size and proximity conflicts in the generalization of polygonal maps. In: Proceedings of the 18th International Cartographic Conference (ICC'97), Stockholm, Sweden. pp. 1525–1532.
- Barrault, M., Regnaud, N., Duchene, C., Haire, K., Baeijs, C., Demazeau, Y., Hardy, P., Mackaness, W., Ruas, A., Weibel, R., 2001. Integrating multi-agent, object-oriented, and algorithmic techniques for improved automated map generalization. In: Proc. of the 20th International Cartographic Conference, Beijing, China. Vol. 3. pp. 2110–2116.
- Beard, M. K., 1991. Constraints on rule formulation. In: Buttenfield, B. P., McMaster, R. B. (Eds.), Map Generalization: Making rules for knowledge representation. Longman Scientific & Technical, Ch. 7, pp. 121–149.
- Bertolotto, M., August 2007. Spatial Data on the Web. Springer Berlin Heidelberg, Ch. Progressive Techniques for Efficient Vector Map Data Transmission: An Overview, pp. 65–84.
- Bertolotto, M., Egenhofer, M. J., 2001. Progressive transmission

- of vector map data over the world wide web. *GeoInformatica* 5, 345–373.
- Bo, A., Ai, T., Xinming, T., 2008. Progressive transmission of vector map on the map. In: Proceedings of the XXIst ISPRS Congress, July 3–11, 2008, Beijing, China. No. XXXVII(Part B2) in *International Archives of Photogrammetry, Remote Sensing and Spatial Information Sciences*. pp. 411–418.
- Brenner, C., Sester, M., July 2005. Continuous generalisation for small mobile displays. In: *Next Generation Geospatial Information*. Vol. 1. Routledge, UK, pp. 33–42.
- Buttenfield, B. P., 2002. Transmitting vector geospatial data across the internet. In: Proceedings of the Second International Conference on Geographic Information Science (GIScience'02), September 25 - 28, 2002. Vol. 2478 of *Lecture Notes In Computer Science*. Springer, Berlin, Germany, pp. 51–64.
- Cecconi, A., 2003. Integration of cartographic generalization and multi-scale databases for enhanced web mapping. Ph.D. thesis, University of Zurich, Switzerland.
- Cheng, T., Li, Z., 2006. Toward quantitative measures for the semantic quality of polygon generalization. *Cartographica* 41, 135–147.

- de Berg, M., van Kreveld, M., Schirra, S., 1998. Topologically correct subdivision simplification using the bandwidth criterion. *Cartography and Geographic Information Systems* 25 (4), 243–257.
- De Floriani, L., Magillo, P., Morando, F., Puppo, E., 2000. Dynamic view-dependent multiresolution on a client–server architecture. *Computer-Aided Design* 32 (13), 805–823.
- Douglas, D. H., Peucker, T. K., 1973. Algorithms for the reduction of the number of points required to represent a line or its caricature. *The Canadian Cartographer* 10 (2), 112—122.
- Follin, J.-M., Bouju, A., Bertrand, F., Boursier, P., 2005a. Multi-resolution extension for transmission of geodata in a mobile context. *Computers & Geosciences* 31, 179–188.
- Follin, J.-M., Bouju, A., Bertrand, F., Stockus, A., 2005b. Web and Wireless Geographical Information Systems. Vol. 3833 of *Lecture Notes in Computer Science*. Springer Berlin / Heidelberg, Ch. An Increment Based Model for Multi-resolution Geodata Management in a Mobile System, pp. 42–53, in *Proceedings of Web and Wireless Geographical Information Systems, W2GIS'05*.
- Galanda, M., 2003. Automated polygon generalization in a multi

- agent system. Ph.D. thesis, Department of Geography, University of Zurich, Switzerland.
- Harrie, L., Weibel, R., 2007. Modelling the overall process of map generalization. In: Mackaness, W., Ruas, A., Sarjakoski, T. L. (Eds.), *Generalisation of geographic information: Cartographic modelling and applications*. Elsevier, Oxford, UK, Ch. 4, pp. 67–88.
- Hauert, J.-H., 2007a. Efficient area aggregation by combination of different techniques. In: *Proc. of 10th ICA Workshop on Generalisation and Multiple Representation, 2–3 August 2007, Moscow, Russia*.
- Hauert, J.-H., 2007b. A formal model and mixed-integer program for area aggregation in map generalization. In: *Proc. Photogrammetric Image Analysis (PIA07), IntArchPhRS XXXVI, PART 3/W49A. ISPRS*, pp. 161–166.
- Hauert, J.-H., Sester, M., 2008. Area collapse and road centerlines based on straight skeletons. *GeoInformatica* 12 (2), 169–191.
- Hauert, J.-H., Wolff, A., 2006. Generalization of land cover maps by mixed integer programming. In: *Proc. 14th Int. ACM Sympos. Advances in Geographic Information Systems (ACM-GIS)*

- '06). pp. 75–82.
- Jaakkola, O., 1997. Quality and automatic generalization of land cover data. Ph.D. thesis, Department of Geography, University of Helsinki.
- Meijers, M., June 2006. Implementation and testing of variable scale topological data structures. Master's thesis, TU Delft.
- Merrick, D., Nöllenburg, M., Wolff, A., Benkert, M., 2007. Morphing polygonal lines: A step towards continuous generalization. In: Winstanley, A. (Ed.), Proc. 15th Annu. Geograph. Inform. Sci. Research Conf. UK (GISRUK'07). Maynooth, Ireland, pp. 390–399.
- Monmonier, M., 1989. Regionalizing and matching features for interpolated displacement in the automated generalization of digital cartographic databases. *Cartographica* 26 (2), 21–39.
- Rodríguez, M. A., Egenhofer, M. J., 2004. Comparing geospatial entity classes: an asymmetric and context dependent similarity measure. *International Journal of Geographical Information Science* 18 (3), 229–256.
- Sester, M., Brenner, C., 2005. Developments in Spatial Data Handling. Springer, Ch. Continuous Generalization for Visualization on Small Mobile Devices, pp. 355–368, in Proceedings of

- 11th International Symposium on Spatial Data Handling.
- Timpf, S., 1998. Hierarchical structures in map series. Ph.D. thesis, Technical University Vienna, Austria.
- van Oosterom, P., 2005. Variable-scale topological data structures suitable for progressive data transfer: the gap-face tree and gap-edge forest. *Cartography and geographic information science* 32, 331–346.
- van Oosterom, P., Schenkelaars, V., 1995. The development of an interactive multi-scale gis. *International Journal of Geographical Information Systems* 9 (5), 489–507.
- van Smaalen, J. W. N., 2003. Automated aggregation of geographic objects. Ph.D. thesis, Wageningen University, The Netherlands.
- Ware, J. M., Jones, C. B., 1998. Conflict reduction in map generalization using iterative improvement. *GeoInformatica* 2 (4), 383–407.
- Weibel, R., Dutton, G., 1998. Constraint-based automated map generalization. In: 8th International Symposium on Spatial Data Handling. pp. 214–224.
- Yang, B., June 2005. A multi-resolution model of vector map data for rapid transmission over the internet. *Computers &*

Geosciences 31 (5), 569–578.

Yang, B., Purves, R., Weibel, R., 2005. Efficient transmission of vector data over the internet. *International Journal of Geographical Information Science* 21 (2), 215–237.

Yaolin, L., Molenaar, M., Kraak, M.-J., 2002. Semantic similarity evaluation model in categorical database generalization. In: *Proceedings of the ISPRS Commission IV Symposium "Geospatial Theory, Processing and Applications"*, July 9–12, 2002, Ottawa, Canada. No. XXXIV(Part 4) in *International Archives of Photogrammetry, Remote Sensing and Spatial Information Sciences*.

Zhou, M., Bertolotto, M., 2004. A data structure for efficient transmission of generalised vector maps. In: Bubak, M., van Albeda, G. D., Sloot, P. M., Dongarra, J. (Eds.), *Computational Science – ICCS 2004*. Vol. 3039 of *Lecture Notes in Computer Science*. Springer-Verlag Berlin Heidelberg, pp. 948–955.