# Graph Planarity Testing with Hierarchical Embedding Constraints



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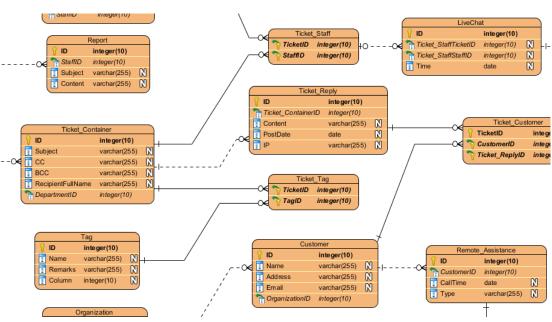
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- In many contexts, data can be represented as networks of interconnected elements
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- Graph representations need to take into account layout rules

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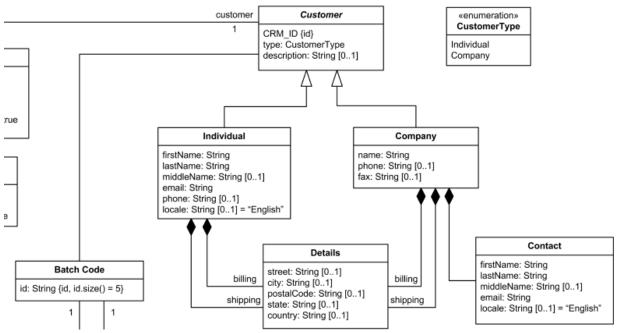
#### Database diagrams

links between attributes should enter the tables only at the left or right side

Image: https://www.visual-paradigm.com

## Constraints in Graph Drawings

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#### UML class diagrams

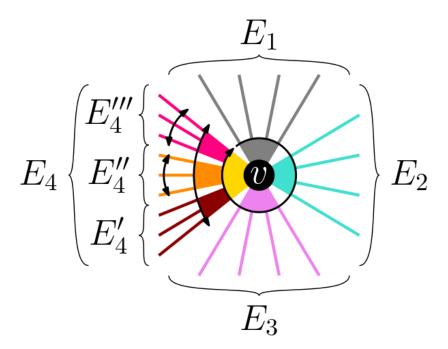
generalization edges should leave a class object at the top and enter a base class object at the bottom

Image: https://www.uml-diagrams.org/

• These layout rules impose restrictions on the admissible embeddings for a graph

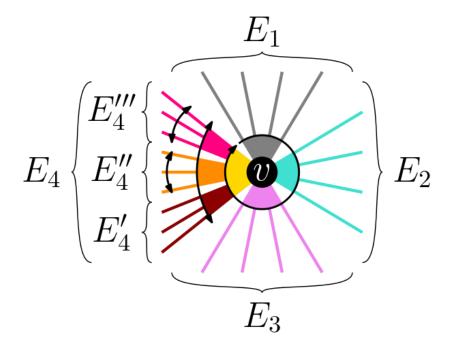
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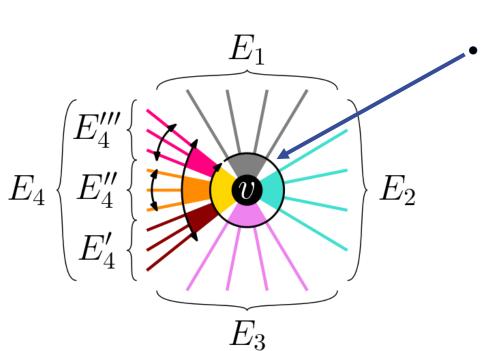


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• Four sets:  $E_1$ ,  $E_2$ ,  $E_3$ ,  $E_4$ 



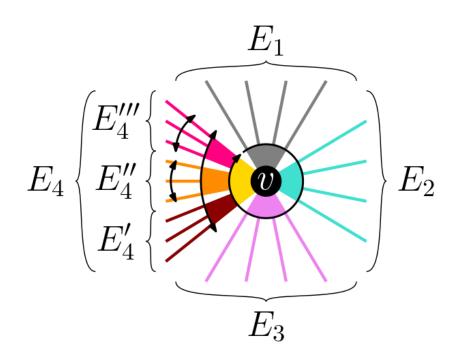
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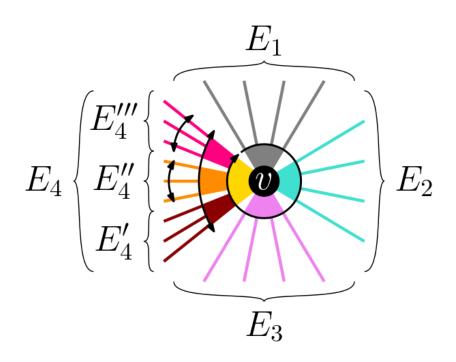
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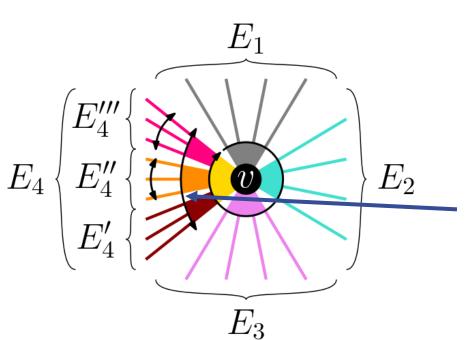
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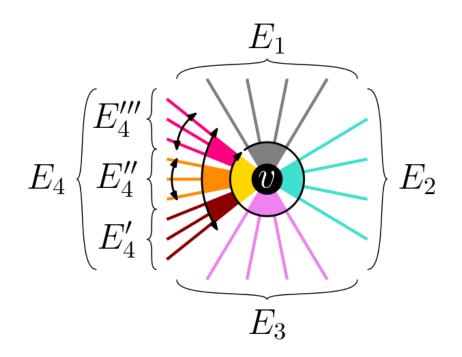
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ullet  $E_4$  is partitioned into subsets  $E_4^\prime$  ,  $E_4^{\prime\prime\prime}$  ,  $E_4^{\prime\prime\prime\prime}$ 

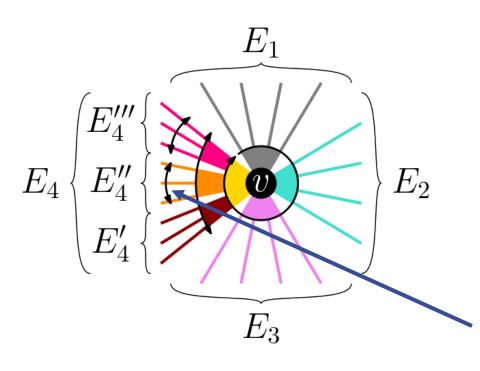
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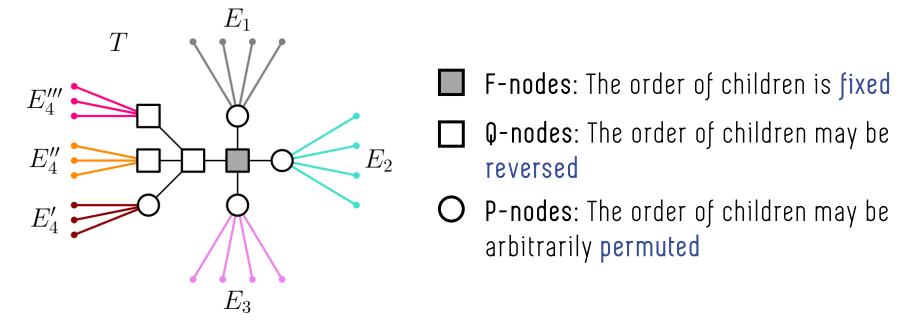
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- The edges of  $E_4^\prime$  can be arbitrarily permuted
- The edges of and  $E_4^{\prime\prime}$  have only two possible orders that are the reverse of one another

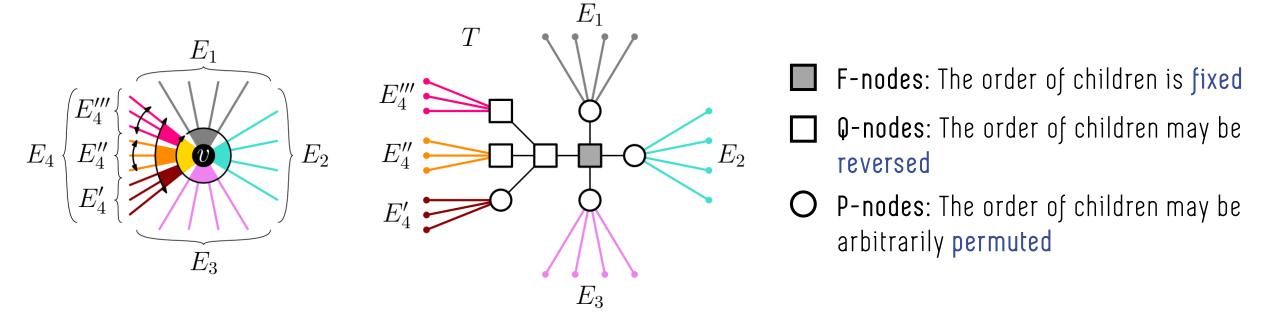
## FPQ-trees

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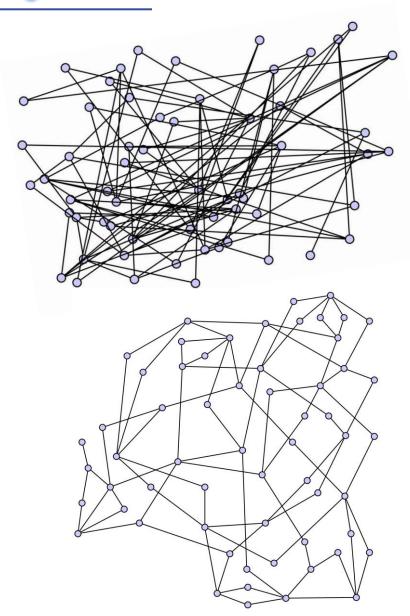
- Embeddings constraints are modeled by means of FPQ-trees
  - Represent the cyclic orders of the edges incident to a vertex
  - Each edge is a leaf in T

# **Graph Planarity Testing**

• Edge crossings negatively affect the readability of graph representations

#### Cognitive experiments:

- Purchase 1997
- Purchase, Carrington, Allder 2002
- Ware, Purchase, Colpoys, McGill 2002



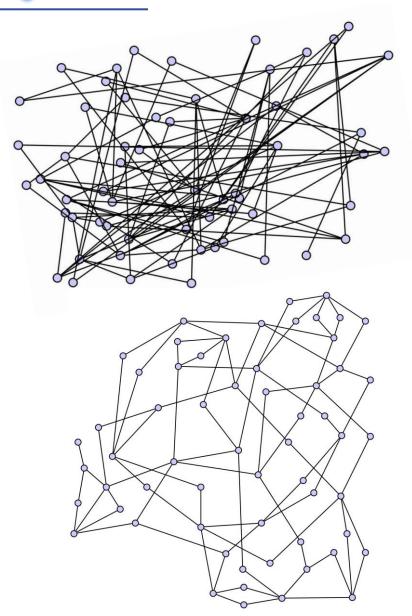
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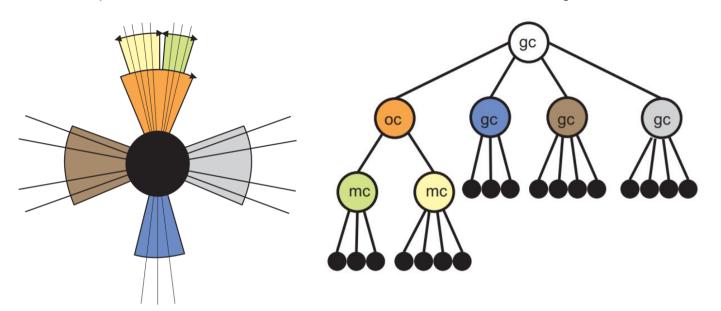
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- The graph planarity testing problem is at the heart of graph algorithms and of their applications
  - Remark. Minimizing the total number of crossings in a graph drawing is NP-hard [Garey, Johnson - 1983]



# Graph Planarity Testing + Embedding Constraints

- Introduced by [Gutwenger, Klein, Mutzel 2008]
- They model each hierarchical embedding constraint as a constraint tree



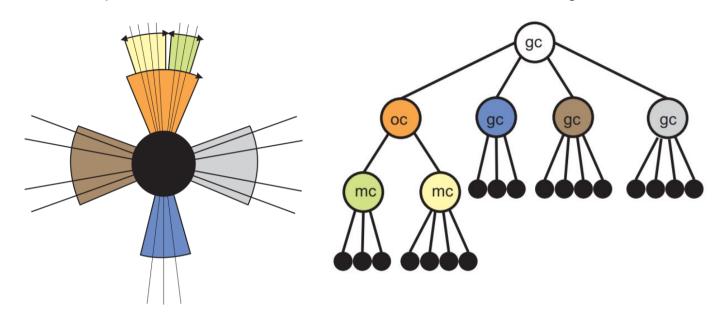
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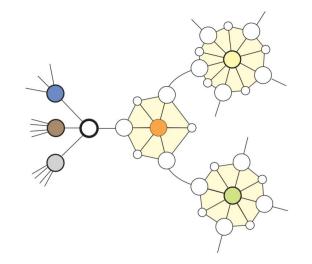
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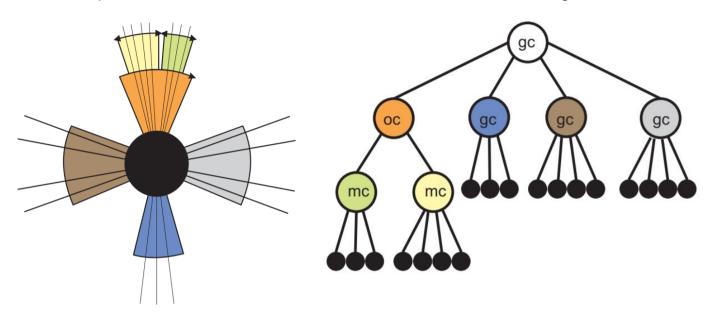
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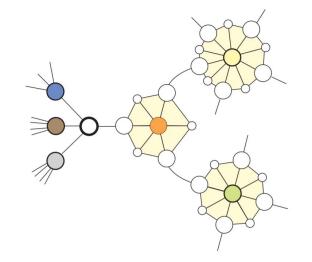
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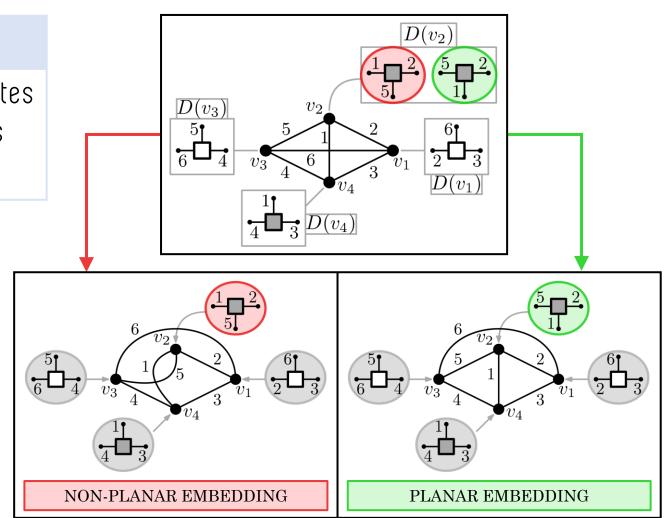
- Constrained planarity testing is linear-time solvable
- Constraint trees  $\equiv$  FPQ-trees



## FPQ-Choosable Planarity Testing

#### FPQ-Choosable Graph

A (multi-)graph G and a mapping D that associates each vertex v of G with a set D(v) of FPQ-trees whose leaves represent the edges incident to v.



## FPQ-Choosable Planarity Testing

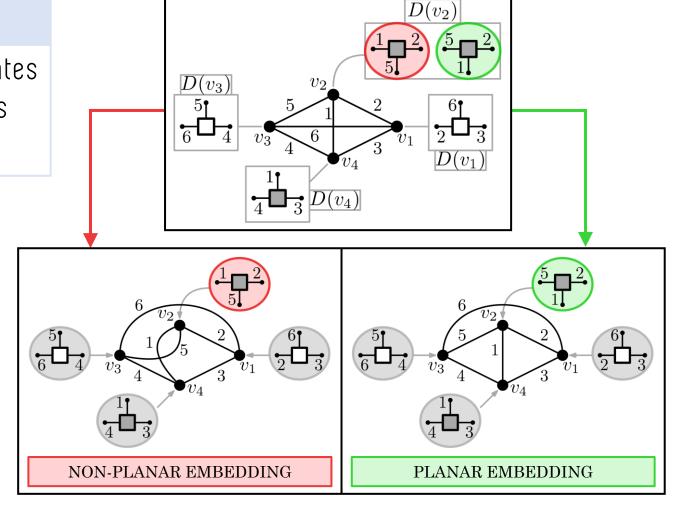
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QUESTION: Does G admit a planar embedding such that, for each vertex v, the cyclic order of the edges incident to v is encoded by an FPQ-tree in D(v)?



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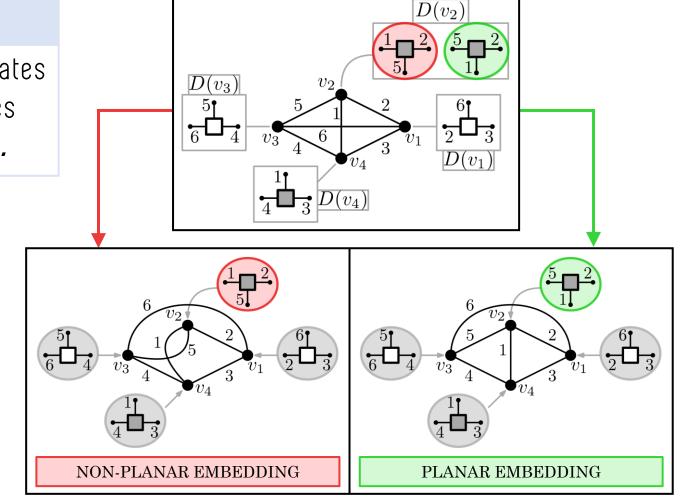
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**Remark.** If |D(v)| = 1 for each v, then the problem can be solved in linear time [Gutwenger et al. - 2008]

## Our Results

| Parameters      | Complexity                |
|-----------------|---------------------------|
| $D_{max}$       | NP-complete - (Theorem 1) |
| t               | W[1]-hard - (Theorem 2)   |
| $D_{max}$ , $t$ | FPT - (Theorem 3)         |

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Theorem 3. FPQ-Choosable Planarity Testing is FPT for biconnected graphs, where the parameters are t and  $D_{max} \to O(D_{max}^{\frac{9}{4}t} \cdot n^2 + n^3)$ -time algorithm

FPQ-Choosable Planarity Testing with a bounded number of FPQ-trees per vertex (>1) is NP-complete. It remains NP-complete even whe the FPQ-trees have only P-nodes.

• Reduction from the 3-edge-coloring problem for triconnected cubic non-planar graphs

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## Theorem 2

FPQ-Choosable Planarity Testing parameterized by treewidth is W[1]-hard. It remains W[1]-hard even when the FPQ-trees have only P-nodes.

• Parameterized reduction from the list coloring problem

FPQ-Choosable Planarity Testing is FPT for biconnected graphs, where the parameters are t and  $D_{max} \rightarrow O(D_{max}^{\frac{9}{4}t} \cdot n^2 + n^3)$ -time algorithm

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#### Proof outline:

1. Compute the SPQR-decomposition tree  ${\mathcal T}$  of G rooted at an arbitrary Q-node

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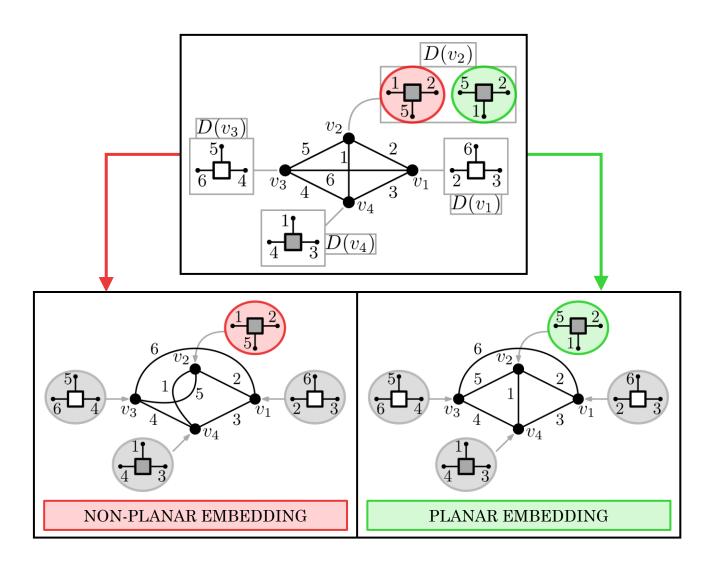
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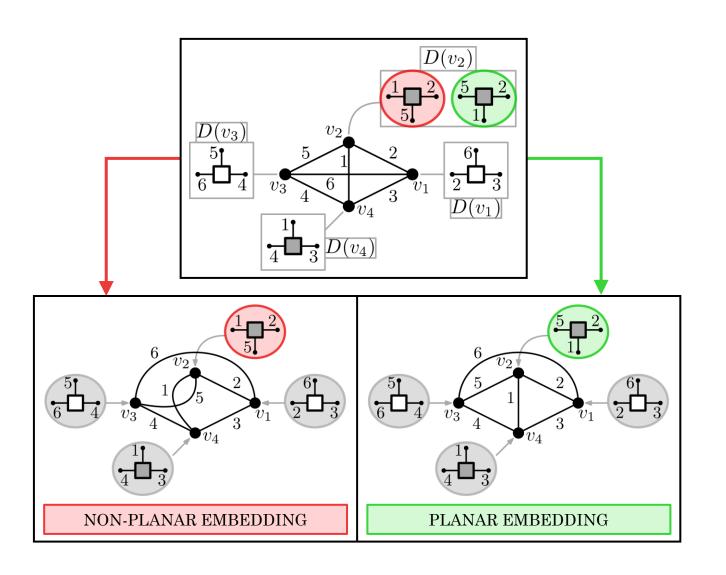
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- 2. Visit  $\mathcal{T}$  from the leaves to the root
- 3. At each step of the visit, equip the current node  $\mu$  with the set  $\Psi(\mu)$  of admissible tuples
- 4. Do we reach the root?
  - YES  $\Rightarrow$  (G, D) is FPQ-choosable planar
  - NO: We find a node such that  $\Psi(\mu) = \emptyset \Rightarrow (G,D)$  is **not** FPQ-choosable planar

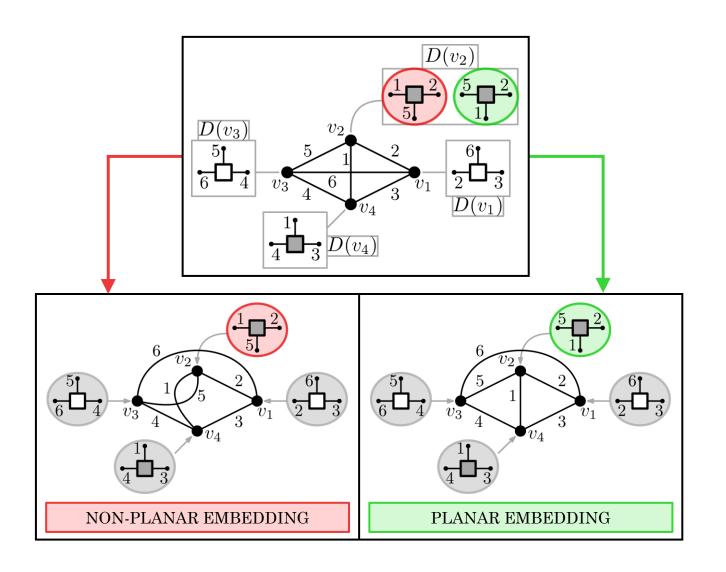
## Admissible Tuple



• Assignment A is a function that assigns to each vertex v an FPQ-tree  $T_v \in D(v)$ 



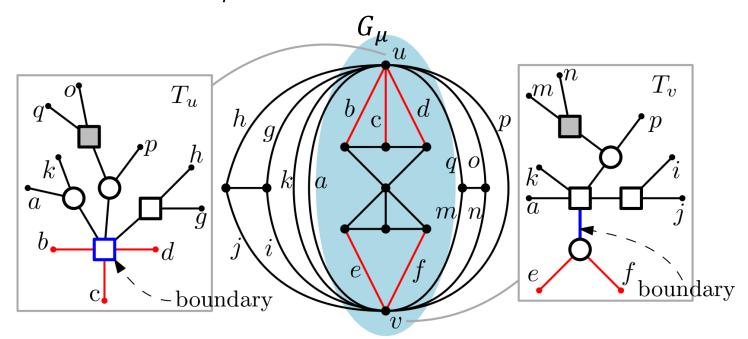
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- A is compatible with G if there exists a planar embedding  $\mathcal{E}$  such that, for each v,  $\mathcal{E}$  induces a cyclic order of its incident edges that is described by  $T_v$



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- A is consistent with  $\mathcal{E}$

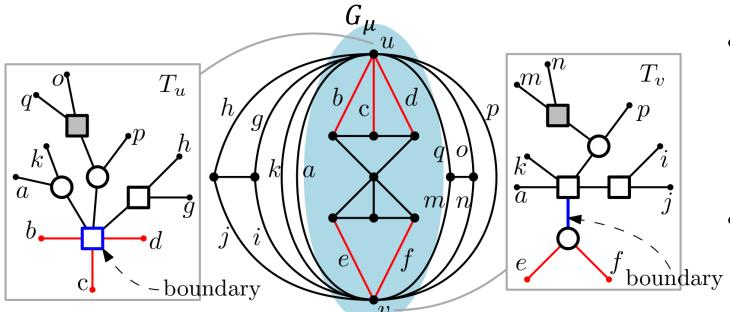
For each internal node  $\mu$  of  $\mathcal T$  with poles u and v:

- $G_{\mu}$  is the pertinent graph
- The boundary of  $T_u$  is the element that separates the edges that belong to  $G_\mu$  and the edges that are external to  $G_\mu$
- The boundary can be either a Q-node (or F-node) or an edge



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- If the boundary of  $T_u$  is a  $\mathbb{Q}$ (or F-) node, it imposes an orientation  $o_u$  that defines the permutation of its children
  - We establish a default orientation and we call it the clockwise orientation

Tuple of a node 
$$\mu$$
:  $\langle T_u, T_v, o_u, o_v \rangle \in D(u) \times D(v) \times \{0,1\} \times \{0,1\}$  clockwise

Tuple of a node  $\mu$ :  $\langle T_u, T_v, o_u, o_v \rangle \in D(u) \times D(v) \times \{0,1\} \times \{0,1\}$  clockwise counter-clockwise

A tuple is admissible for  $\mu$  if there exists an assignment  $A_\mu$  that is consistent with a planar embedding  $\mathcal{E}_\mu$  of  $G_\mu$ 

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•  $\Psi(\mu)$  is the set of admissible tuples for  $\mu$ 

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- $\Psi(\mu)$  is the set of admissible tuples for  $\mu$
- $\Psi(\mu)$  is computed from the set of admissible tuples of the children of  $\mu$ 
  - Depending on whether  $\mu$  is an S-, P-, Q-, or R-node

#### Theorem 3

FPQ-Choosable Planarity Testing is FPT for biconnected graphs, where the parameters are t and  $D_{max} \rightarrow O(D_{max}^{\frac{9}{4}t} \cdot n^2 + n^3)$ -time algorithm

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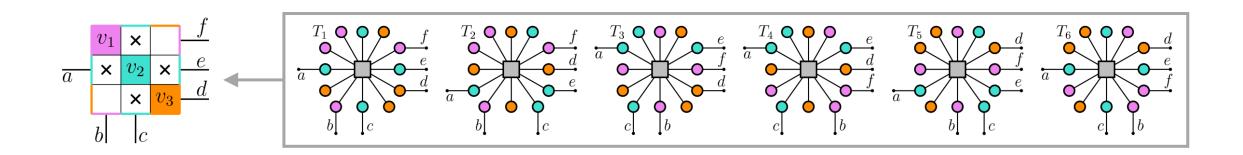
For R-nodes, in order to compute the set of admissible tuples:

- ullet We execute the sphere-cut decomposition of the skeleton of  $\mu$ 
  - It has branchwidth at most b (the branchwidth of G)
- For a graph G with treewidth t and branchwidth b>1, it holds

$$b-1 \le t \le \left|\frac{3}{2}b\right|-1$$
 [Robertson, Seymour - 1991]

#### Remarks

Let G be a clustered n-vertex graph whose clusters have size at most k. Let t be the treewidth of G. If the (multi-)graph obtained by collapsing each cluster of G into a vertex is biconnected, there exists an  $O(k!^{\frac{9}{4}t} \cdot n^2 + n^3)$ -time algorithm to test whether G is NodeTrix planar with fixed sides.



Each FPQ-tree allows a possible permutation described by the matrix

## Open Problems

- Theorem 1 is based on a reduction that associates 6 FPQ-trees to each vertex. What is the time complexity if  $2 \le D_{max} \le 5$ ?
- Is it possible to extend Theorem 3 to simply connected graphs?
- Improve the time complexity of Theorem 3.
- Apply our approach to other hybrid representation models.

# Thank you for your attention

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